### Office of the Long Term Care Ombudsman

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House Early Childhood and Human Services – February 4, 2025

#### Office of the Long Term Care Ombudsman

Separate, independent state agency

Uses person-centered and systems advocacy to:

- To protect individual rights,
- promote independence, and
- ensure quality of life

### Office of the Long Term Care Ombudsman Supports Oregonians who:

OREGON LONG-TERM CARE OMBUDSMAN	Live in long-term care facilities
<b>Residential</b> Facilities Ombudsman Program	Live in Developmental Disability or Mental Health Residential Facilities or Foster Homes
OPG	Need Significant Help making Decisions (Oregon Public Guardian)



### LTCO Program Overview

- Established: Older Americans Act in 1977
- Established as individual state agency in 1985

- Statutory authority: ORS 441.402 441.419
- 10 Deputy Ombudsman staff; ~120 volunteer Ombudsmen



### LTCO Program Overview

Deputy Ombudsman District Map





### Advocacy Role

Advocate for residents of:

- nursing homes,
- assisted living,
- residential care facilities,
- memory care communities,
- adult foster care homes, and
- Continuing Care Retirement Communities



### Advocacy and Policy Work

Utilize federal and state statutory authorities to:

- Visit facilities/residents without interference
- Investigate complaints
- Obtain records (from facility or other governmental agencies)
  - Subpoena authority
- Work with facility and regulatory agency to correct problems

Compel systems change to improve life and care for residents



Advocacy and Policy Work To create systems change, LTCO protected by law to work with:

- Media
- Legislature
- System partners

We also investigate public agencies' "administrative actions" that impact residents



Top Resident Issues

- Evictions / Discharges
- Care Planning
- Billing and Charges
- Medications
- Food / Dietary Needs
- Loss of Personal Property
- Responses to Requests for Assistance
- Housekeeping, Laundry
- Staffing Issues
- Other Rights and Preferences



### Investigatory Authority & Mandate

#### Relevant State Laws

ORS 441.406: LTCO shall "Investigate and resolve complaints made by or for residents of long term care facilities about <u>administrative</u> <u>actions</u> that may adversely affect their health, safety, welfare or rights...."

ORS 441.402 defines "administrative actions" as: "<u>any action, inaction or decision made</u> by an owner, employee or agent of <u>a long term care</u> <u>facility</u> or <u>by a public agency</u> that affects the services to residents of long term care facilities."



Oversight of Regulatory Agency

#### **Relevant Federal Laws**

45 CFR 1324.13(a)(1): "The Ombudsman shall ... Identify, investigate, and resolve complaints that:

(i) Are made by, or on behalf of, residents; and
(ii) Relate to action, inaction, or decisions, that may adversely affect the health, safety, welfare, or rights of residents ... of:
(B) Public agencies; or
(C) Health and social service agencies."



Systems Advocacy

#### Relevant Federal Laws cont'd:

45 CFR 1324.13(7):

- "<u>Analyze, comment on, and monitor the development</u> and implementation of Federal, State, and local laws, regulations, and other governmental policies and actions, that pertain to the health, safety, welfare, and rights of the residents..."
- "Recommend any changes in such laws, regulations, policies, and actions as the Office [of the Long Term Care Ombudsman] determines to be appropriate;"
- "Provide leadership to statewide systems advocacy efforts of the Office on behalf of long-term care facility residents..."
- "Provide information to public and private agencies, legislators, the media, and other persons, regarding the problems and concerns of residents and recommendations related to the problems and concerns;"



LTCO Investigation Report Re: Mt. Hood Senior Living December 24, 2023 – resident walked out an open door of an ODHS-licensed, "locked" memory care

LTCO findings:

- Licensing inexperienced owner
- Red flags known or should have been known to ODHS prior to incident
- Effective ODHS licensing response took 4 weeks
- Facility then closed by ODHS within hours of notifying residents/families
- Many residents moved to settings that should have been recognized as unsafe



LTCO Investigation Report Re: Mt. Hood Senior Living

#### Recommendations:

- Develop process to more immediately address red flags at a long term care facility – ODHS has now done this
- An audit to review ODHS regulatory processes and compliance with consumer protection laws Started Dec. 5
- Address recognized gaps in law see: SB 739

#### Additional Recommendations:

Establish clarity between roles for:

- Consumer protection
- Provider support education/training/technical assistance
- Caregiver support

Full LTCO report:

https://drive.google.com/file/d/1j55hefVORoxT8quFmCqXb3FZE QQ5PTmm/view



### Questions?







Residential Facilities Ombudsman Program

### **Residential Facilities Ombudsman**



Uses advocacy to create change in people's lives and systems policy

so that people with disabilities can live full lives in Oregon's communities

### **RFO Supports Oregonians who live in:**

- Adult Mental Health Residential and Foster Homes
- Adult Developmental Disabilities Foster or 24-hour group homes (residential facilities)
- Child Foster Homes, Host Homes, and 24-hour group homes



# **RFO Core Work:**

- Conduct unannounced home visits
- Investigate complaints
- Obtain records for investigations
- Work with facilities and system partners to resolve complaints
- Recommend and advocate for policy system changes



## **RFO has same powers as LTCO**

- Conduct unannounced home visits
- Investigate complaints, including subpoena powers
- Obtain records for investigations
- Work with facilities and system partners to resolve complaints
- Recommend and advocate for policy system changes



### RFO Oversight of Regulatory Agencies: Modeled after LTCO authorities

ORS 443.382(3): "The Residential Facilities Ombudsman shall: (a) Identify, investigate and resolve complaints made by or on behalf of residents about administrative actions."

ORS 443.380: "Administrative action" means <u>an action, inaction</u> <u>or decision</u> by an owner, employee or agent of a residential facility or <u>by a state, local, social service or health agency</u> that could affect the health, safety, welfare or rights of residents of the facility.

Ombudsman

Proaram

### Other RFO Duties in ORS 443.382:



<u>Protect Rights</u>: Provide services to residents to assist them in protecting their health, safety, welfare and rights.

<u>Access</u>: Ensure residents have regular, timely, unimpeded, private access to RFO services

<u>System change</u>: Participate in conferences, inquiries and meetings or studies that may lead to system improvements. Recommend changes to laws to improve health, safety, welfare and rights of residents

<u>Representation</u>: represent interests of residents before government agencies and seek administrative, legal or other remedies to protect the health, safety welfare and rights of residents

### Who RFO Serves:

# 11,268 Oregonians with Mental Health or Developmental Disabilities

13% live in Mental Health Facilities

87% live in Developmental Disability Facilities

### **Number of Facilities in RFO Purview**



3,219 facilities and foster homes across Oregon



7% are are licensed or certified by Oregon Health Authority for people with mental health support needs



93% are licensed or certified by ODHS Office of Developmental Disability Services for people with developmental disabilities

1 in 10 homes in the Developmental Disability system are for children

# Increases in RFO Population Served 2013-2025

Oregon Legislature created RFO in 2013 (ORS ORS 443.380-443.394)

Since 2013:

- 48% increase in people living in RFO covered facilities
- 77% increase in number of facilities

Since 2016:

• 829% increase in case volume

# **Program Structure**



The Residential Facilities Ombudsman

- 5 Deputy Ombudsmen
- 2 Deputies on temporary job rotation
- 1 Program Coordinator
- 5 volunteers

RFO has not had a staff increase despite a 75% increase in homes and a 56% increase in individuals since 2013

### Statewide Coverage

- **RFO** serves every area of Oregon
- Deputy Ombudsmen are assigned to a region with between 175 and 895 homes (depending on geography)
- Program Coordinator receives complaints from all over the state





# Visits and Connections

Between July 2023 and December 2024, RFO:

- Visited 2,848 individuals in their homes
- Visited 1,013 homes or facilities
- Visited 31% of homes and 25% of people in our purview



Who contacts RFO?

#### Between July 2023 and December 2024:

- 26% of cases came from people who have worked with RFO previously
- 22% of cases came from Deputies and Volunteers conducting unannounced visits
- 27% of cases were referred by case managers
- 25% of cases came from:
  - Adult Protective Services
  - Hospitals
  - Guardians
  - Providers
  - Family, friends, and community members
  - Other professionals such as Disability Rights Oregon, OHA Ombudsman, DD Services, SEIU, legislators



• Since 2016, these issues make up 22% of all issues

Residents in Mental Health facilities represent 20% of all cases despite representing 7% of homes covered by RFO





# Questions? Leslie Sutton

### Residential Facilities Ombudsman



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