SB 710-2 (LC 2161) 4/1/21 (LAS/ps)

Requested by Senator GELSER

PROPOSED AMENDMENTS TO SENATE BILL 710

On page 1 of the printed bill, line 2, after "418.257" delete the rest of the 1 line and delete line 3 and insert "and 418.259; and declaring an 2 emergency.". 3 Delete lines 5 through 28 and delete pages 2 through 14 and insert: 4 5 **"RESTRAINT AND INVOLUNTARY SECLUSION** 6 7 "SECTION 1. Definitions. As used in sections 1 to 11 of this 2021 8 Act: 9 "(1) 'Certified foster home' means a foster home subject to ORS 10 418.625 to 418.645. 11 "(2) 'Chemical restraint' means a drug or medication that is ad-12 ministered to a child in care to control behavior or restrict freedom 13 of movement. 14 "(3) 'Child-caring agency' has the meaning given that term in ORS 15418.205. 16 "(4) 'Child in care' has the meaning given that term in ORS 418.257. 17 "(5) 'Children's emergency safety intervention specialist' means a 18 qualified mental health professional licensed to order, monitor and 19 evaluate the use of seclusion and restraint in accredited and certified 20 facilities that provide intensive mental health treatment services to 21

1 individuals under 21 years of age.

"(6) 'Developmental disabilities residential facility' has the meaning
given that term in ORS 418.257.

4 "(7)(a) 'Involuntary seclusion' means the confinement of a child in
5 care alone in a room from which the child in care is prevented from
6 leaving by any means.

"(b) 'Involuntary seclusion' does not include age-appropriate discipline, including, but not limited to, time-out if the time-out is in a
setting from which the child in care is not prevented from leaving.

10 "(8) 'Mechanical restraint' means a device used to restrict the 11 movement of a child in care or the movement or normal function of 12 a portion of the body of a child in care.

"(9) 'Proctor foster home' means a foster home certified by a
 child-caring agency under ORS 418.248.

15 **"(10) 'Program' means:**

16 "(a) A child-caring agency;

17 "(b) A proctor foster home; or

"(c) A developmental disabilities residential facility that is a resi dential training home or facility licensed under ORS 443.415 to serve
 children under 18 years of age.

"(11) 'Prone restraint' means a restraint in which a child in care is
 held face down on the floor.

"(12) 'Reportable injury' means any type of injury to a child in care,
including but not limited to rug burns, fractures, sprains, bruising,
pain, soft tissue injury, punctures, scratches, concussions, abrasions,
dizziness, loss of consciousness, loss of vision, visual disturbance or
death.

"(13) 'Restraint' means the physical restriction of a child in care's
actions or movements by holding the child in care or using pressure
or other means.

1 "(14) 'Secure adolescent inpatient treatment program' means a 2 child-caring agency that is an intensive treatment services program, 3 as described by the Oregon Health Authority by rule, that provides 4 inpatient psychiatric stabilization and treatment services to individ-5 uals under 21 years of age who require a secure intensive treatment 6 setting.

"(15) 'Secure children's inpatient treatment program' means a
child-caring agency that is an intensive treatment services program,
as described by the authority by rule, that provides inpatient psychiatric stabilization and treatment services to children under 14 years
of age who require a secure intensive treatment setting.

"(16) 'Serious bodily injury' means any significant impairment of
 the physical condition of an individual, as determined by qualified
 medical personnel, whether self-inflicted or inflicted by someone else.
 "(17) 'Supine restraint' means a restraint in which a child in care
 is held face up on the floor.

17 "SECTION 2. Prohibitions on restraint or involuntary seclusion. (1)
18 A child-caring agency, proctor foster home, certified foster home or
19 developmental disabilities residential facility may not place a child in
20 care in a restraint or involuntary seclusion as a form of discipline,
21 punishment or retaliation or for the convenience of staff, contractors
22 or volunteers of the child-caring agency, proctor foster home, certified
23 foster home or developmental disabilities residential facility.

"(2) Except as provided in section 3 (4) of this 2021 Act, the use of
 the following types of restraint of a child in care are prohibited:

26 "(a) Chemical restraint.

27 **"(b) Mechanical restraint.**

28 "(c) Prone restraint.

29 "(d) Supine restraint.

30 "(e) Any restraint that includes the nonincidental use of a solid

object, including the ground, a wall or the floor, to impede a child in
care's movement.

"(f) Any restraint that places, or creates a risk of placing, pressure
on a child in care's neck or throat.

"(g) Any restraint that places, or creates a risk of placing, pressure
on a child in care's mouth.

"(h) Any restraint that impedes, or creates a risk of impeding, a
child in care's breathing.

9 "(i) Any restraint that involves the intentional placement of hands,
10 feet, elbows, knees or any object on a child in care's neck, throat,
11 genitals or other intimate parts.

"(j) Any restraint that causes pressure to be placed, or creates a
risk of causing pressure to be placed, on a child in care's stomach,
chest, joints, throat or back by a knee, foot or elbow.

"(k) Any other restraint, the primary purpose of which is to inflict
 pain.

"SECTION 3. Permissible use of restraint or involuntary seclusion.
(1) Except as otherwise provided in this section, a child-caring agency,
proctor foster home or developmental disabilities residential facility
may only place a child in care in a restraint or involuntary seclusion
if the child in care's behavior poses a reasonable risk of imminent serious bodily injury to the child in care or others and less restrictive
interventions would not effectively reduce that risk.

24 "(2) A certified foster home may not place a child in care in a re 25 straint or involuntary seclusion.

"(3) Notwithstanding subsection (1) or (2) of this section, a childcaring agency, proctor foster home, certified foster home or developmental disabilities residential facility may use the following types of restraints on a child in care:

30 "(a) Holding the child in care's hand or arm to escort the child in

1 care safely and without the use of force from one area to another;

"(b) Assisting the child in care to complete a task if the child in
care does not resist the physical contact; or

4 "(c) Using a physical intervention if:

"(A) The intervention is necessary to break up a physical fight or
to effectively protect a person from an assault, serious bodily injury
or sexual contact;

8 "(B) The intervention uses the least amount of physical force and
9 contact possible; and

"(C) The intervention is not a prohibited restraint described in
 section 2 (2) of this 2021 Act.

12 "(4) Notwithstanding section 2 (2) of this 2021 Act:

"(a) The restraint described in section 2 (2)(e) of this 2021 Act may
be used if the restraint is necessary to gain control of a weapon.

"(b) The restraint described in section 2 (2)(g) of this 2021 Act may
 be used if the restraint is necessary for the purpose of extracting a
 body part from a bite.

"(c) If a program is a secure children's inpatient treatment program
or secure adolescent inpatient treatment program, the program may
place a child in care in a restraint described in section 2 (2)(d) or (e)
of this 2021 Act only if:

²² "(A) The child in care is currently admitted to the program;

"(B) The restraint is authorized by an order written at the time of
and specifically for the current situation by a licensed medical practitioner or a licensed children's emergency safety intervention specialist;

"(C) The restraint is used only as long as needed to prevent serious
physical injury, as defined in ORS 161.015, and while no other intervention or form of restraint is possible;

30 "(D) A licensed medical practitioner, children's emergency safety

intervention specialist or qualified mental health professional, who is 1 certified in the use of the type of restraint used, continuously moni- $\mathbf{2}$ tors the use of the restraint and the physical and psychological well-3 being of the child in care at all times while the restraint is being used; 4 "(E) Each individual placing the child in care in the restraint is $\mathbf{5}$ trained, as required by the Department of Human Services by rule, in 6 the use of the type of restraint used and the individual's training is 7 current; 8

9 "(F) One or more individuals with current cardiopulmonary resus-10 citation training are present for the duration of the restraint;

11 "(G) The program has written policies that require a licensed 12 children's emergency safety intervention specialist or other licensed 13 practitioner to evaluate and document the physical, psychological and 14 emotional well-being of the child in care immediately following the use 15 of the restraint; and

"(H) The program is in compliance with any other requirements
 under sections 1 to 11 of this 2021 Act, and the use of the restraint does
 not otherwise violate any applicable contract requirements or any
 state or federal law related to the use of restraints.

"(5) In addition to the restraints described in subsection (3) of this
 section, a program may place a child in care in a restraint or invol untary seclusion if:

"(a) The restraint or involuntary seclusion is used only for as long
as the child in care's behavior poses a reasonable risk of imminent
serious bodily injury;

"(b) The individuals placing the child in care in the restraint are
trained, as required by the department by rule, in the use of the type
of restraint or involuntary seclusion used;

"(c) The program staff continuously monitor the child in care for
 the duration of the restraint or involuntary seclusion; and

1 "(d) The restraint or involuntary seclusion is performed in a man-2 ner that is safe, proportionate and appropriate, taking into consider-3 ation the child in care's chronological and developmental age, size, 4 gender identity, physical, medical and psychiatric condition and per-5 sonal history, including any history of physical or sexual abuse.

6 "(6) In addition to the requirements described in subsection (5) of 7 this section, if a program places a child in care in a restraint or in-8 voluntary seclusion for more than 10 minutes:

9 "(a) The program must provide the child in care with adequate ac10 cess to the bathroom and water at least every 30 minutes; and

"(b)(A) Every five minutes after the first 10 minutes of the restraint or involuntary seclusion, a program supervisor who is trained, as required by the department by rule, in the use of the type of restraint or involuntary seclusion being used must provide written authorization for the continuation of the restraint or involuntary seclusion.

"(B) If the supervisor is not on-site at the time the restraint is
 used, the supervisor may provide the written authorization electron ically.

19 "(C) The written authorization must document why the restraint 20 or involuntary seclusion continues to be the least restrictive inter-21 vention to reduce the risk of imminent serious bodily injury in the 22 given circumstances.

²³ **"SECTION 4.** Section 3 of this 2021 Act is amended to read:

"Sec. 3. (1) Except as otherwise provided in this section, a child-caring agency, proctor foster home or developmental disabilities residential facility may only place a child in care in a restraint or involuntary seclusion if the child in care's behavior poses a reasonable risk of imminent serious bodily injury to the child in care or others and less restrictive interventions would not effectively reduce that risk.

30 "(2) A certified foster home may not place a child in care in a restraint

1 or involuntary seclusion.

"(3) Notwithstanding subsection (1) or (2) of this section, a child-caring
agency, proctor foster home, certified foster home or developmental disabilities residential facility may use the following types of restraints on a child
in care:

6 "(a) Holding the child in care's hand or arm to escort the child in care 7 safely and without the use of force from one area to another;

"(b) Assisting the child in care to complete a task if the child in care does
not resist the physical contact; or

10 "(c) Using a physical intervention if:

"(A) The intervention is necessary to break up a physical fight or to effectively protect a person from an assault, serious bodily injury or sexual contact;

"(B) The intervention uses the least amount of physical force and contactpossible; and

"(C) The intervention is not a prohibited restraint described in section 2
(2) of this 2021 Act.

¹⁸ "(4) Notwithstanding section 2 (2) of this 2021 Act:

"(a) The restraint described in section 2 (2)(e) of this 2021 Act may be used if the restraint is necessary to gain control of a weapon.

"(b) The restraint described in section 2 (2)(g) of this 2021 Act may be used if the restraint is necessary for the purpose of extracting a body part from a bite.

"(c) If a program is a secure children's inpatient treatment program or secure adolescent inpatient treatment program, the program may place a child in care in a restraint described in section 2 (2)(d) or (e) of this 2021 Act only if:

28 "(A) The child in care is currently admitted to the program;

(B) The restraint is authorized by an order written at the time of and specifically for the current situation by a licensed medical practitioner or a 1 licensed children's emergency safety intervention specialist;

"(C) The restraint is used only as long as needed to prevent serious
physical injury, as defined in ORS 161.015, and while no other intervention
or form of restraint is possible;

5 "(D) A licensed medical practitioner, children's emergency safety inter-6 vention specialist or qualified mental health professional, who is certified in 7 the use of the type of restraint used, continuously monitors the use of the 8 restraint and the physical and psychological well-being of the child in care 9 at all times while the restraint is being used;

"(E) Each individual placing the child in care in the restraint is [trained,
 as required by the Department of Human Services by rule,] certified as de scribed in section 9 of this 2021 Act in the use of the type of restraint used
 and the individual's training is current;

"(F) One or more individuals with current cardiopulmonary resuscitation
 training are present for the duration of the restraint;

"(G) The program has written policies that require a licensed children's emergency safety intervention specialist or other licensed practitioner to evaluate and document the physical, psychological and emotional well-being of the child in care immediately following the use of the restraint; and

"(H) The program is in compliance with any other requirements under sections 1 to 11 of this 2021 Act, and the use of the restraint does not otherwise violate any applicable contract requirements or any state or federal law related to the use of restraints.

"(5) In addition to the restraints described in subsection (3) of this section, a program may place a child in care in a restraint or involuntary seclusion if:

"(a) The restraint or involuntary seclusion is used only for as long as the
child in care's behavior poses a reasonable risk of imminent serious bodily
injury;

30 "(b) The individuals placing the child in care in the restraint or invol-

untary seclusion are certified as described in section 9 of this 2021 Act in the use of the type of restraint used or are trained, as required by the department by rule, in the use of the [type of restraint or] involuntary seclusion used;

5 "(c) The program staff continuously monitor the child in care for the du-6 ration of the restraint or involuntary seclusion; and

"(d) The restraint or involuntary seclusion is performed in a manner that
is safe, proportionate and appropriate, taking into consideration the child in
care's chronological and developmental age, size, gender identity, physical,
medical and psychiatric condition and personal history, including any history
of physical or sexual abuse.

"(6) In addition to the requirements described in subsection (5) of this section, if a program places a child in care in a restraint or involuntary seclusion for more than 10 minutes:

"(a) The program must provide the child in care with adequate access to
the bathroom and water at least every 30 minutes; and

"(b)(A) Every five minutes after the first 10 minutes of the restraint or 17 involuntary seclusion, a program supervisor who is certified as described 18 in section 9 of this 2021 Act in the use of the type of restraint being 19 used or trained, as required by the department by rule, in the use of the 20[type of restraint or] involuntary seclusion being used must provide written 21authorization for the continuation of the restraint or involuntary seclusion. 22"(B) If the supervisor is not on-site at the time the restraint is used, the 23supervisor may provide the written authorization electronically. 24

"(C) The written authorization must document why the restraint or involuntary seclusion continues to be the least restrictive intervention to reduce the risk of imminent serious bodily injury in the given circumstances.

"<u>SECTION 5. Procedures, notices and reports.</u> (1) A program shall
 establish procedures for the program to follow when a child in care is
 placed in a restraint or involuntary seclusion. The procedures must

be consistent with the provisions of this section and sections 2 and 3
of this 2021 Act.

"(2) A program shall maintain a record of each incident in which a reportable injury arises from the use of a restraint or involuntary seclusion. The record under this subsection must include any audio or video recording immediately preceding, during and following the incident.

8 "(3)(a) If a program places a child in care in a restraint except as 9 provided in section 3 (3)(a) or (b) of this 2021 Act, or involuntary se-10 clusion, the program shall provide the child in care's case manager, 11 attorney, court appointed special advocate and parents or guardians 12 with:

"(A) Verbal or electronic notice that the restraint or involuntary
 seclusion was used as soon as practicable following the incident but
 not later than the end of the next business day; and

"(B) Written notice that the restraint or involuntary seclusion was
 used as soon as practicable following the incident but not later than
 the end of the next business day.

19 **"(b) The written notice must include:**

"(A) A description of the restraint or involuntary seclusion, the date of the restraint or involuntary seclusion, the times when the restraint or involuntary seclusion began and ended and the location of the restraint or involuntary seclusion.

"(B) A description of the child in care's activity that necessitated
 the use of restraint or involuntary seclusion.

"(C) The efforts the program used to de-escalate the situation and the alternatives to restraint or involuntary seclusion the program attempted before placing the child in care in the restraint or involuntary seclusion.

30 "(D)(i) The names of each of individual who placed the child in care

in the restraint or involuntary seclusion or who monitored or approved
the placement of the child in care in the restraint or involuntary seclusion.

"(ii) For each individual identified in this subparagraph, whether the individual was trained, as required by the Department of Human Services by rule, in the use of the type of restraint or involuntary seclusion used, the date of the individual's most recent training and a description of the types of restraint the individual is trained to use, if any.

"(iii) If an individual identified in this subparagraph was not trained
 in the type of restraint or involuntary seclusion used, or if the
 individual's training was not current, a description of the individual's
 training deficiency and the reason an individual without the proper
 training was involved in the restraint or involuntary seclusion.

"(4) If an incident requires notice under subsection (3) of this sec-15tion, not later than two business days following the date of the re-16 straint or involuntary seclusion, the program shall hold a debriefing 17 meeting with each individual who was involved in the incident and 18 with any other appropriate program staff, shall take written notes of 19 the debriefing meeting and shall provide copies of the written notes 20to the child in care's case manager, attorney, court appointed special 21advocate and parents or guardians. 22

"(5)(a) If a program places a child in care in a restraint or invol-23untary seclusion and the child in care suffers a reportable injury 24arising from the restraint or involuntary seclusion, the program shall 25immediately provide the department and the child in care's attorney, 26court appointed special advocate and parents or guardians with writ-27ten notification of the incident and access to and, upon request, copies 28of all records related to the restraint or involuntary seclusion, in-29 cluding any photographs and audio or video recordings. 30

1 "(b) If serious bodily injury or the death of staff personnel occurs 2 in connection to the use of the restraint or involuntary seclusion, the 3 program shall provide the department with written notification of the 4 incident not later than 24 hours following the incident.

5

"SECTION 6. Section 5 of this 2021 Act is amended to read:

"Sec. 5. (1) A program shall establish procedures for the program to follow when a child in care is placed in a restraint or involuntary seclusion.
The procedures must be consistent with the provisions of this section and
sections 2 and 3 of this 2021 Act.

"(2) A program shall maintain a record of each incident in which a reportable injury arises from the use of a restraint or involuntary seclusion.
The record under this subsection must include any audio or video recording
immediately preceding, during and following the incident.

"(3)(a) If a program places a child in care in a restraint except as provided in section 3 (3)(a) or (b) of this 2021 Act, or involuntary seclusion, the program shall provide the child in care's case manager, attorney, court appointed special advocate and parents or guardians with:

"(A) Verbal or electronic notice that the restraint or involuntary seclusion was used as soon as practicable following the incident but not later
than the end of the next business day; and

"(B) Written notice that the restraint or involuntary seclusion was used as soon as practicable following the incident but not later than the end of the next business day.

24 "(b) The written notice must include:

²⁵ "(A) A description of the restraint or involuntary seclusion, the date of ²⁶ the restraint or involuntary seclusion, the times when the restraint or in-²⁷ voluntary seclusion began and ended and the location of the restraint or ²⁸ involuntary seclusion.

"(B) A description of the child in care's activity that necessitated the use
of restraint or involuntary seclusion.

1 "(C) The efforts the program used to de-escalate the situation and the 2 alternatives to restraint or involuntary seclusion the program attempted be-3 fore placing the child in care in the restraint or involuntary seclusion.

"(D)(i) The names of each of individual who placed the child in care in
the restraint or involuntary seclusion or who monitored or approved the
placement of the child in care in the restraint or involuntary seclusion.

"(ii) For each individual identified in this subparagraph, whether the individual was certified as described in section 9 of this 2021 Act in the use of the type of restraint used or trained, as required by the Department of Human Services by rule, in the use of the [type of restraint or] involuntary seclusion used, the date of the individual's most recent certification or training and a description of the types of restraint the individual is [trained] certified to use, if any.

"(iii) If an individual identified in this subparagraph was not **certified** or trained in the type of restraint or involuntary seclusion used, or if the individual's **certification or** training was not current, a description of the individual's **certification or** training deficiency and the reason an individual without the proper **certification or** training was involved in the restraint or involuntary seclusion.

"(4) If an incident requires notice under subsection (3) of this section, not later than two business days following the date of the restraint or involuntary seclusion, the program shall hold a debriefing meeting with each individual who was involved in the incident and with any other appropriate program staff, shall take written notes of the debriefing meeting and shall provide copies of the written notes to the child in care's case manager, attorney, court appointed special advocate and parents or guardians.

"(5)(a) If a program places a child in care in a restraint or involuntary seclusion and the child in care suffers a reportable injury arising from the restraint or involuntary seclusion, the program shall immediately provide the department and the child in care's attorney, court appointed special advocate and parents or guardians with written notification of the incident and access
to and, upon request, copies of all records related to the restraint or involuntary seclusion, including any photographs and audio or video recordings.

"(b) If serious bodily injury or the death of staff personnel occurs in
connection to the use of the restraint or involuntary seclusion, the program
shall provide the department with written notification of the incident not
later than 24 hours following the incident.

8 "<u>SECTION 7. Reporting requirements.</u> (1) A program must prepare 9 and submit to the Department of Human Services a quarterly report 10 detailing the program's use of restraint and involuntary seclusion for 11 the preceding three-month period, including, at a minimum:

12 "(a) The total number of incidents involving restraint.

13 "(b) The total number of incidents involving involuntary seclusion.

14 "(c) The total number of involuntary seclusions in a locked room.

"(d) The total number of rooms available for use by the program
 for involuntary seclusion and a description of the dimensions and de sign of the rooms.

¹⁸ "(e) The total number of children in care placed in restraint.

"(f) The total number of children in care placed in involuntary se clusion.

"(g) The total number of incidents under paragraph (a) or (b) of this
subsection that resulted in reportable injuries.

"(h) The number of children in care who were placed in restraint
or involuntary seclusion more than three times during the preceding
three-month period and a description of the steps the program has
taken to decrease the use of restraint and involuntary seclusion.

"(i) The number of incidents in which an individual who placed a
child in care in a restraint or involuntary seclusion was not trained,
as required by the department by rule, in the use of the type of restraint or involuntary seclusion used.

"(j) The demographic characteristics of the children in care who the program placed in a restraint or involuntary seclusion, including race, ethnicity, gender, disability status, migrant status, English proficiency and status as economically disadvantaged, unless the demographic information would reveal personally identifiable information about an individual child in care.

"(2)(a) If a program provides services in more than one location, the
reports under subsection (1) of this section must separate the data for
each location that serves five or more children in care.

"(b) If the site-specific data for a given location is not provided 10 under paragraph (a) of this subsection because the program serves 11 fewer than five children in care at that location, the program's report 12 must include a notation indicating the aggregate number of children 13 in care served by the program across all of the program's locations 14 and the reporting requirements under paragraph (a) of this subsection 15continue to apply to any of the program's other locations serving five 16 or more children in care. 17

"(3)(a) The department shall make each quarterly report it receives
under this section available to the public on the department's website.
"(b) Each program that submits a report under this section shall
make its quarterly report available to the public upon request at the
program's main office and on the program's website if the program
maintains a website.

"(c) Each program shall provide notice regarding how to access the
quarterly reports to the parents or guardians of children in care in the
program. The program shall provide the notice upon the child in care's
admission and at least two times each year thereafter.

"<u>SECTION 8.</u> Section 7 of this 2021 Act is amended to read:

Sec. 7. (1) A program must prepare and submit to the Department of Human Services a quarterly report detailing the program's use of restraint

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and involuntary seclusion for the preceding three-month period, including,
at a minimum:

3 "(a) The total number of incidents involving restraint.

4 "(b) The total number of incidents involving involuntary seclusion.

⁵ "(c) The total number of involuntary seclusions in a locked room.

6 "(d) The total number of rooms available for use by the program for in-7 voluntary seclusion and a description of the dimensions and design of the 8 rooms.

9 "(e) The total number of children in care placed in restraint.

10 "(f) The total number of children in care placed in involuntary seclusion.

"(g) The total number of incidents under paragraph (a) or (b) of this subsection that resulted in reportable injuries.

"(h) The number of children in care who were placed in restraint or involuntary seclusion more than three times during the preceding three-month period and a description of the steps the program has taken to decrease the use of restraint and involuntary seclusion.

"(i) The number of incidents in which an individual who placed a child in care in a restraint or involuntary seclusion was not **certified as described in section 9 of this 2021 Act or** trained, as required by the department by rule, in the use of the type of restraint or involuntary seclusion used.

"(j) The demographic characteristics of the children in care who the program placed in a restraint or involuntary seclusion, including race, ethnicity, gender, disability status, migrant status, English proficiency and status as economically disadvantaged, unless the demographic information would reveal personally identifiable information about an individual child in care.

"(2)(a) If a program provides services in more than one location, the reports under subsection (1) of this section must separate the data for each location that serves five or more children in care.

30 "(b) If the site-specific data for a given location is not provided under

paragraph (a) of this subsection because the program serves fewer than five 1 children in care at that location, the program's report must include a nota- $\mathbf{2}$ tion indicating the aggregate number of children in care served by the pro-3 gram across all of the program's locations and the reporting requirements 4 under paragraph (a) of this subsection continue to apply to any of the $\mathbf{5}$ program's other locations serving five or more children in care. 6

"(3)(a) The department shall make each quarterly report it receives under 7 this section available to the public on the department's website. 8

"(b) Each program that submits a report under this section shall make its 9 quarterly report available to the public upon request at the program's main 10 office and on the program's website if the program maintains a website. 11

"(c) Each program shall provide notice regarding how to access the 12 quarterly reports to the parents or guardians of children in care in the pro-13 gram. The program shall provide the notice upon the child in care's admis-14 sion and at least two times each year thereafter. 15

"SECTION 9. Training. If a program places a child in care in a re-16 straint or involuntary seclusion, the individuals using the restraint or 17 involuntary seclusion must be trained, as required by the Department 18 of Human Services by rule in effect on the effective date of this 2021 19 Act, to administer the type of restraint or involuntary seclusion used. 2021

"SECTION 10. Section 9 of this 2021 Act is amended to read:

"Sec. 9. [If a program places a child in care in a restraint or involuntary 22seclusion, the individuals using the restraint or involuntary seclusion must be 23trained, as required by the Department of Human Services by rule in effect on 24the effective date of this 2021 Act, to administer the type of restraint or invol-25untary seclusion used.] 26

"(1)(a) The Department of Human Services shall adopt by rule 27training standards and certification requirements regarding the 28placement of a child in care in a restraint or involuntary seclusion, 29 consistent with this section. 30

1 "(b) The department shall designate two or three nationally recog-2 nized providers of crisis intervention training that meet the 3 department's training standards and whose certifications issued upon 4 completion of the training programs the department will recognize as 5 satisfying the department's certification requirements.

6 "(2) The department's rules under this section must:

7 "(a) Ensure consistency of training and professional development
8 across all programs;

9 "(b) Require the teaching of techniques for nonviolent crisis inter10 vention that do not require restraint;

"(c) Focus on de-escalation and trauma-informed behavioral sup port as the core of a training program;

"(d) Offer options for certification in skills that do not include the
 use of restraint to improve agency-wide safety, culture and trauma informed practices;

"(e) Prioritize the reduction or elimination of the use of restraint
 and involuntary seclusion;

18 "(f) Ensure that any physical intervention skills taught are 19 trauma-informed, age-appropriate and developmentally appropriate for 20 children in care, reduce the risk of physical or emotional harm and 21 are consistent with all state and federal laws;

"(g) Include training to identify the physical, psychological and
 emotional risks for children and program staff related to the use of
 restraint and involuntary seclusion;

"(h) Ensure fidelity of training through the publication of consist ent training materials and resources for certified instructors and cer tified program staff;

"(i) Include requirements for instructor training and certification;
 and

30 "(j) Require regular, ongoing support to certified instructors, in-

cluding quality control, monitoring of outcomes and provision of in formation regarding networks for professional collaboration and
 support.

4 "(3) The department's rules must require that training instructors:
5 "(a) Be certified to conduct the type of training the instructor is
6 providing;

"(b) Complete a minimum of 26 hours of initial education with a
focus on de-escalation, nonviolent intervention and methods consistent with the department's rules for the use of physical intervention;
"(c) Complete a minimum of 12 hours of continuing education every

11 two years;

12 "(d) Be recertified at least once every two years; and

"(e) Demonstrate written and physical competency before receiving
 certification or recertification.

15 "(4) The department's rules must provide that an individual who 16 places a child in care in a program in a restraint must be certified in 17 the use of the specific type of restraint used. The department's rules 18 must describe the minimum certification requirements, including:

"(a) Completion of a minimum of 12 hours of initial training in person from an instructor certified as provided in subsection (3) of this section, including at least six hours of training in positive behavior support, nonviolent crisis intervention and other methods of nonphysical intervention to support children in care in crisis;

"(b) Annual continuing education with a certified instructor; and
"(c) Demonstration of a mastery of the training program material
both in writing and by physical competency before receiving certification.

28 "(5) A certification issued under this section:

"(a) Must be personal to the individual certified by the training
 provider;

"(b) May be valid for no more than two years without recertif ication;
 "(c) Must require annual continuing education to maintain;
 "(d) Must require additional training to renew the certification;

5 "(e) Must be portable between employers; and

6 **"(f) Must include:**

7 "(A) The dates during which the certification is current;

8 "(B) The types of restraint in which the individual is certified, if
9 any;

10 "(C) The types of training the individual is certified to conduct, if 11 any;

12 "(D) Any special endorsements earned by the individual;

13 "(E) The level of training; and

"(F) The name of the certified instructor who conducted the train ing and administered the assessment of proficiency.

16 "(6) An individual whose certification is consistent with the 17 department's rules under this section shall maintain the documenta-18 tion of the certification and make that documentation available to the 19 department upon request.

20 "<u>SECTION 11.</u> <u>Information provided to children in care.</u> (1) Each 21 child in care receiving services from a child-caring agency must be 22 provided with information that:

23 "(a) Explains the provisions of sections 1 to 11 of this 2021 Act;

"(b) Provides instruction regarding how a child in care may report
 suspected inappropriate use of restraint or involuntary seclusion;

"(c) Assures the child in care that the child will not experience re taliation for reporting suspected inappropriate uses of restraint or in voluntary seclusion; and

"(d) Includes the telephone number for the toll-free child abuse
 hotline described in ORS 417.805 and the telephone numbers and elec-

tronic mail addresses for the program's licensing or certification
 agency, the child in care's caseworker and attorney, the child in care's
 court appointed special advocate and Disability Rights Oregon.

4 "(2) The information described in subsection (1) of this section must
5 be provided by:

6 "(a) The Department of Human Services if the department placed 7 the child in care in the child-caring agency;

8 "(b) The Oregon Youth Authority if the child in care has been
9 committed to the custody of the authority; or

"(c) The child-caring agency, as required by the department by rule,
 for all other children in care.

¹² "SECTION 12. ORS 418.257 is amended to read:

¹³ "418.257. As used in ORS 418.257 to 418.259:

14 "(1) 'Abuse' means one or more of the following:

"(a) Any physical injury to a child in care caused by other than accidental means, or that appears to be at variance with the explanation given
of the injury.

18 "(b) Neglect of a child in care.

"(c) Abandonment, including desertion or willful forsaking of a child in care or the withdrawal or neglect of duties and obligations owed a child in care by a child-caring agency, caretaker, certified foster home, developmental disabilities residential facility or other person.

²³ "(d) Willful infliction of physical pain or injury upon a child in care.

"(e) An act that constitutes a crime under ORS 163.375, 163.405, 163.411,
163.415, 163.425, 163.427, 163.465, 163.467 or 163.525.

26 "(f) Verbal abuse.

27 "(g) Financial exploitation.

²⁸ "(h) Sexual abuse.

29 "[(i) Involuntary seclusion of a child in care for the convenience of a 30 child-caring agency, caretaker, certified foster home or developmental disabili-

1 ties residential facility or to discipline the child in care.]

"[(j) A wrongful use of a physical or chemical restraint of a child in care,
excluding an act of restraint prescribed by a physician licensed under ORS
chapter 677 and any treatment activities that are consistent with an approved
treatment plan or in connection with a court order.]

"(i) The use of restraint or involuntary seclusion of a child in care
in violation of section 2 or 3 of this 2021 Act.

"(2) 'Certified foster home' means a foster home certified by the Department of Human Services and subject to ORS 418.625 to 418.645.

"(3)(a) 'Child in care' means a person under 21 years of age who is re siding in or receiving care or services from:

"(A) A child-caring agency or proctor foster home subject to ORS 418.205
to 418.327, 418.470, 418.475 or 418.950 to 418.970;

14 "(B) A certified foster home; or

¹⁵ "(C) A developmental disabilities residential facility.

"(b) 'Child in care' does not include a person under 21 years of age who is residing in any of the entities listed in paragraph (a) of this subsection when the care provided is in the home of the child by the child's parent.

19 "[(4) 'Child-caring agency' has the meaning given that term in ORS 20 418.205.]

"[(5)] (4) 'Developmental disabilities residential facility' means a residential facility or foster home for children who are [18] 17 years of age or younger and receiving developmental disability services that is subject to ORS 443.400 to 443.455, 443.830 and 443.835.

²⁵ "[(6) 'Involuntary seclusion' means the confinement of a child in care alone ²⁶ in a room from which the child in care is physically prevented from leaving. ²⁷ 'Involuntary seclusion' does not include age-appropriate discipline, including ²⁸ but not limited to a time-out.]

29 "[(7) 'Proctor foster home' has the meaning given that term in ORS 30 418.205.] 1 "[(8)(a)] (5)(a) 'Financial exploitation' means:

2 "(A) Wrongfully taking the assets, funds or property belonging to or in-3 tended for the use of a child in care.

"(B) Alarming a child in care by conveying a threat to wrongfully take
or appropriate moneys or property of the child in care if the child would
reasonably believe that the threat conveyed would be carried out.

"(C) Misappropriating, misusing or transferring without authorization
any moneys from any account held jointly or singly by a child in care.

9 "(D) Failing to use the income or assets of a child in care effectively for 10 the support and maintenance of the child in care.

11 "(b) 'Financial exploitation' does not include age-appropriate discipline 12 that may involve the threat to withhold, or the withholding of, privileges.

"[(9)] (6) 'Intimidation' means compelling or deterring conduct by threat.
'Intimidation' does not include age-appropriate discipline that may involve
the threat to withhold privileges.

"(7) 'Involuntary seclusion' has the meaning given that term in
 section 1 of this 2021 Act.

18 "[(10)] (8) 'Law enforcement agency' means:

19 "(a) Any city or municipal police department.

20 "(b) Any county sheriff's office.

21 "(c) The Oregon State Police.

22 "(d) Any district attorney.

"(e) A police department established by a university under ORS 352.121
or 353.125.

25 "[(11)] (9) 'Neglect' means:

26 "(a) Failure to provide the care, supervision or services necessary to 27 maintain the physical and mental health of a child in care; or

"(b) The failure of a child-caring agency, proctor foster home, certified
foster home, developmental disabilities residential facility, caretaker or other
person to make a reasonable effort to protect a child in care from abuse.

"(10) 'Restraint' has the meaning given that term in section 1 of this 2021 Act.

"[(12)] (11) 'Services' includes but is not limited to the provision of food,
clothing, medicine, housing, medical services, assistance with bathing or
personal hygiene or any other service essential to the well-being of a child
in care.

7 "[(13)] (12) 'Sexual abuse' means:

8 "(a) Sexual harassment, sexual exploitation or inappropriate exposure to
9 sexually explicit material or language;

"(b) Any sexual contact between a child in care and an employee of a child-caring agency, proctor foster home, certified foster home, developmental disabilities residential facility, caretaker or other person responsible for the provision of care or services to a child in care;

"(c) Any sexual contact between a person and a child in care that is unlawful under ORS chapter 163 and not subject to a defense under that chapter; or

"(d) Any sexual contact that is achieved through force, trickery, threator coercion.

"[(14)] (13) 'Sexual contact' has the meaning given that term in ORS
163.305.

"[(15)] (14) 'Sexual exploitation' means sexual exploitation as described
in ORS 419B.005 (1)(a)(E).

"[(16)] (15) 'Verbal abuse' means to threaten significant physical or emotional harm to a child in care through the use of:

"(a) Derogatory or inappropriate names, insults, verbal assaults, profanity
 or ridicule; or

"(b) Harassment, coercion, threats, intimidation, humiliation, mental cruelty or inappropriate sexual comments.

"<u>SECTION 13.</u> ORS 418.259, as amended by section 9, chapter 19, Oregon
 Laws 2020 (first special session), is amended to read:

"418.259. (1) The investigation conducted by the Department of Human 1 Services under ORS 418.258 must result in one of the following findings: $\mathbf{2}$ "(a) That the report is substantiated. A report is substantiated when there 3 is reasonable cause to believe that the abuse of a child in care occurred. 4 "(b) That the report is unsubstantiated. A report is unsubstantiated when $\mathbf{5}$ there is no evidence that the abuse of a child in care occurred.

"(c) That the report is inconclusive. A report is inconclusive when there 7 is some indication that the abuse occurred but there is insufficient evidence 8 to conclude that there is reasonable cause to believe that the abuse occurred. 9 "(2) When a report is received under ORS 418.258 alleging that a child in 10 care may have been subjected to abuse, the department shall notify the case 11 managers for the child, the attorney for the child, the child's court appointed 12 special advocate, the parents or guardians of the child, any attorney repre-13 senting a parent or guardian of the child and any governmental agency that 14 has a contract with the child-caring agency or developmental disabilities 15residential facility to provide care or services to the child that a report has 16 been received. 17

"(3)(a) The department may interview the child in care who is the subject 18 of suspected abuse and any witnesses, including other children, without 19 the presence of employees of the child-caring agency, proctor foster home or 20developmental disabilities residential facility, the provider of services at a 21certified foster home or department personnel. The department shall inform 22the child in care that the child may have the child's parent or guardian, if 23the child has not been committed to the custody of the department or the 24Oregon Youth Authority, or attorney present when participating in an 25interview conducted in the course of an abuse investigation. 26

"(b) When investigating an allegation of inappropriate use of re-27straint or involuntary seclusion, the department shall: 28

"(A) Conduct the interviews described in paragraph (a) of this sub-29 section; 30

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"(B) Review all incident reports related to the child in care and
 other reports related to the restraint or involuntary seclusion of the
 child in care;

"(C) Review any audio, video or photographic recordings of the restraint or involuntary seclusion, including the circumstances immediately before and following the incident;

"(D) During an interview with the child in care who is the subject
of the suspected abuse, ask the child about whether they experienced
any reportable injury or pain as a result of the restraint or involuntary
seclusion;

"(E) Review the training records related to all of the individuals who were involved in the use of restraint or involuntary seclusion; and "(F) Make all reasonable efforts to conduct trauma-informed interviews of each child witness, including the child in care who is the subject of suspected abuse unless the investigator makes a specific determination that the interview may significantly traumatize the child and is not in the best interests of the child.

"(4) The department shall notify the following when a report of abuse issubstantiated:

20 "(a) The Director of Human Services.

"(b) Personnel in the department responsible for the licensing, certificate
or authorization of child-caring agencies.

"(c) The department's lead personnel in that part of the department thatis responsible for child welfare generally.

"(d) With respect to the child in care who is the subject of the abuse report and investigation, the case managers for the child, the attorney for the child, the child's court appointed special advocate, the parents or guardians of the child, any attorney representing a parent or guardian of the child and any governmental agency that has a contract with the child-caring agency to provide care or services to the child.

"(e) The parents or guardians of the child in care who is the subject of the abuse report and investigation if the child in care has not been committed to the custody of the department or the youth authority. Notification under this paragraph may not include any details or information other than that a report of abuse has been substantiated.

6 "(f) Any governmental agency that has a contract with the child-caring 7 agency to provide care or services to a child in care.

"(g) The local citizen review board established by the Judicial Department
under ORS 419A.090.

"(5) The department shall report on a quarterly basis to the interim leg-10 islative committees on child welfare for the purposes of public review and 11 oversight of the quality and safety of child-caring agencies, certified foster 12 homes and developmental disabilities residential facilities that are licensed, 13 certified or authorized by the department in this state and of proctor foster 14 homes that are certified by the child-caring agencies. Information provided 15in reports under this subsection may not contain the name or any identifying 16 information of a child in care but must contain all of the following: 17

"(a) The name of any child-caring agency, including an out-of-state child-caring agency, proctor foster home or developmental disabilities residential facility, or, provided there are five or more certified foster homes in the county, the name of the county where a certified foster home is located, where the department conducted an investigation pursuant to ORS 418.258 that resulted in a finding that the report of abuse was substantiated during that quarter;

²⁵ "(b) The approximate date that the abuse occurred;

"(c) The nature of the abuse and a brief narrative description of the abusethat occurred;

"(d) Whether physical injury, sexual abuse or death resulted from theabuse;

30 "(e) Corrective actions taken or ordered by the department and the out-

1 come of the corrective actions; and

"(f) Information the department received in that quarter regarding any
substantiated allegations of child abuse made by any other state involving
a congregate care residential setting, as defined in ORS 419B.354, in which
the department has placed Oregon children.

6 "(6) The department's quarterly report under subsection (5) of this
7 section must also contain all of the following:

8 "(a) The total number of restraints used in programs that quarter;
9 "(b) The total number of programs that reported the use of re-

10 straints of children in care that quarter;

"(c) The total number of individual children in care who were
placed in restraints by programs that quarter;

"(d) The number of reportable injuries to children in care that re sulted from those restraints;

"(e) The number of incidents in which an individual who was not
 appropriately trained in the use of the restraint used on a child in care
 in a program; and

"(f) The number of incidents that were reported for potential inap propriate use of restraint.

"[(6)] (7) In compiling records, reports and other information during an investigation under ORS 418.258 (1) and in issuing findings, letters of concern or reprimands, the Director of Human Services or the director's designee and the department may not refer to the employee, person or entity that is the subject of the investigation as an 'alleged perpetrator' but must refer to the employee, person or entity as the 'respondent.'

"(8) As used in this section, 'program,' 'reportable injury' and 'restraint' have the meanings given those terms in section 1 of this 2021
Act.

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"SECURE TRANSPORTATION SERVICES PROVIDERS

"SECTION 14. Referrals to secure transportation services providers. 1 (1) A person or organization that makes a referral or recommendation $\mathbf{2}$ related to the use of a secure transportation services provider to 3 transport a child to a school, agency, organization or program de-4 scribed in ORS 418.205 (2)(a)(A) must provide the written referral dis- $\mathbf{5}$ closure described in subsection (2) of this section if the child to be 6 transferred is a resident of this state or if the school, agency, organ-7 ization or program to which the secure transportation services pro-8 vider will deliver the child is located in this state. 9

10 "(2) The referral disclosure under this section must state:

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ORS 418.215 requires a secure transportation services provider that transports children to or from a school, agency, organization or program along a route that begins or ends in Oregon to be licensed by the Department of Human Services.

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"(3) As used in this section, 'child' and 'secure transportation ser vices provider' have the meanings given those terms in ORS 418.205.

"SECTION 15. ORS 418.205, as amended by sections 15a and 15b, chapter
19, Oregon Laws 2020 (first special session), is amended to read:

"418.205. As used in ORS 418.205 to 418.327, 418.470, 418.475, 418.950 to
418.970 and 418.992 to 418.998, unless the context requires otherwise:

"(1) 'Child' means an unmarried person under 21 years of age who resides
in or receives care or services from a child-caring agency.

²⁵ "(2)(a) 'Child-caring agency':

"(A) Means any private school, private agency, private organization or
 county program providing:

28 "(i) Day treatment for children with emotional disturbances;

29 "(ii) Adoption placement services;

30 "(iii) Residential care, including but not limited to foster care or resi-

1 dential treatment for children;

2 "(iv) Residential care in combination with academic education and 3 therapeutic care, including but not limited to treatment for emotional, be-4 havioral or mental health disturbances;

5 "(v) Outdoor youth programs; or

6 "(vi) Other similar care or services for children.

7 "(B) Includes the following:

8 "(i) A shelter-care home that is not a foster home subject to ORS 418.625
9 to 418.645;

¹⁰ "(ii) An independent residence facility as described in ORS 418.475;

11 "(iii) A private residential boarding school; [and]

¹² "(iv) A child-caring facility as defined in ORS 418.950[.]; and

"(v) A secure transportation services provider that transports or
 provides escort services for children on the highways of this state
 along a route that begins or ends in this state.

16 "(b) 'Child-caring agency' does not include:

"(A) Residential facilities or foster care homes certified or licensed by the
Department of Human Services under ORS 443.400 to 443.455, 443.830 and
443.835 for children receiving developmental disability services;

"(B) Any private agency or organization facilitating the provision of respite services for parents pursuant to a properly executed power of attorney under ORS 109.056. For purposes of this subparagraph, 'respite services' means the voluntary assumption of short-term care and control of a minor child without compensation or reimbursement of expenses for the purpose of providing a parent in crisis with relief from the demands of ongoing care of the parent's child;

27 "(C) A youth job development organization as defined in ORS 344.415;

"(D) A shelter-care home that is a foster home subject to ORS 418.625 to
418.645;

30 "(E) A foster home subject to ORS 418.625 to 418.645;

1 "(F) A facility that exclusively serves individuals 18 years of age and 2 older; or

"(G) A facility that primarily serves both adults and children but requires
that any child must be accompanied at all times by at least one custodial
parent or guardian.

6 "(3) 'Child-caring facility' has the meaning given that term in ORS 7 418.950.

8 "(4)(a) 'County program' means any county operated program that pro9 vides care or services to children:

"(A) In the custody of the Department of Human Services or the Oregon
Youth Authority[.]; or

12 "(B) Under a contract with the Oregon Health Authority.

"(b) 'County program' does not include any local juvenile detention fa cility that receives state services provided and coordinated by the Depart ment of Corrections under ORS 169.070.

"(5) 'Governmental agency' means an executive, legislative or judicial agency, department, board, commission, authority, institution or instrumentality of this state or of a county, municipality or other political subdivision of this state.

20 "(6) 'Independent residence facility' means a facility established or certi-21 fied under ORS 418.475.

"(7)(a) 'Outdoor youth program' means a program that provides, in an
outdoor living setting, services to children who have behavioral problems,
mental health problems or problems with abuse of alcohol or drugs.

25 "(b) 'Outdoor youth program' does not include any program, facility or 26 activity:

27 "(A) Operated by a governmental entity;

28 "(B) Operated or affiliated with the Oregon Youth Corps;

"(C) Licensed by the Department of Human Services under other author ity of the department; or

"(D) Operated by a youth job development organization as defined in ORS
344.415.

"(8) 'Private' means not owned, operated or administered by any governmental agency or unit.

5 "(9) 'Private residential boarding school' means either of the following 6 as the context requires:

"(a) A child-caring agency that is a private school that provides residential care in combination with academic education and therapeutic care, including but not limited to treatment for emotional, behavioral or mental
health disturbances; or

"(b) A private school providing residential care that is primarily engaged
 in educational work under ORS 418.327.

"(10) 'Proctor foster home' means a foster home certified by a child-caring
agency under ORS 418.248 that is not subject to ORS 418.625 to 418.645.

"(11) 'Provider of care or services for children' means a person, entity or organization that provides care or services to children, regardless of whether the child is in the custody of the Department of Human Services, and that does not otherwise meet the definition of, or requirements for, a child-caring agency. 'Provider of care or services for children' includes a proctor foster home certified by a child-caring agency under ORS 418.248.

"(12) 'Qualified residential treatment program' means a program described
in section 12b, chapter 19, Oregon Laws 2020 (first special session).

"(13) 'Secure transportation services provider' means a private organization or person that provides secure transportation or secure escort services for children to or from a school, agency, organization or program described in subsection (2)(a)(A) of this section, if the school, agency, organization or program is located in this state or in any other state.

"[(13)] (14) 'Shelter-care home' has the meaning given that term in ORS
418.470.

"MISCELLANEOUS

<u>SECTION 16.</u> <u>Reports first due.</u> The reports under section 7 of this
2021 Act are due on November 1, 2021, and quarterly thereafter. The
reports due on November 1, 2021, must include data regarding the
program's use of restraint and involuntary seclusion from July 1, 2021,
through September 1, 2021.

8 "SECTION 17. Operative dates. (1)(a) The amendments to sections
9 3, 5, 7 and 9 of this 2021 Act by sections 4, 6, 8 and 10 of this 2021 Act
10 become operative on July 1, 2022.

"(b) The Department of Human Services may adopt rules and take any other action before the operative date specified in paragraph (a) of this subsection that is necessary to enable the department, on or after the operative date specified in paragraph (a) of this subsection, to undertake and exercise all of the duties, functions and powers conferred on the department by the amendments to sections 3, 5, 7 and 9 of this 2021 Act by sections 4, 6, 8 and 10 of this 2021 Act.

"(2)(a) Section 14 of this 2021 Act and the amendments to ORS
418.205 by section 15 of this 2021 Act become operative on January 2,
2022.

"(b) The department may adopt rules and take any other action before the operative date specified in paragraph (a) of this subsection that is necessary to enable the department, on or after the operative date specified in paragraph (a) of this subsection, to undertake and exercise all of the duties, functions and powers conferred on the department by section 14 of this 2021 Act and the amendments to ORS 418.205 by section 15 of this 2021 Act.

"<u>SECTION 18. Captions.</u> The unit and section captions used in this
 2021 Act are provided only for the convenience of the reader and do
 not become part of the statutory law of this state or express any leg-

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1 islative intent in the enactment of this 2021 Act.

<u>"SECTION 19. Effective date.</u> This 2021 Act being necessary for the
immediate preservation of the public peace, health and safety, an
emergency is declared to exist, and this 2021 Act takes effect on its
passage.".

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