Requested by Senator GELSER

PROPOSED AMENDMENTS TO SENATE BILL 710

- On page 1 of the printed bill, delete lines 5 through 28 and delete pages 2 through 14 and insert:
- "SECTION 1. Definitions. As used in sections 1 to 7 of this 2021 Act:
- "(1) 'Chemical restraint' means a drug or medication that is administered to a child in care to control behavior or restrict freedom of movement.
- "(2) 'Children's emergency safety intervention specialist' means a qualified mental health professional licensed to order, monitor and evaluate the use of seclusion and restraint in accredited and certified facilities that provide intensive mental health treatment services to individuals under 21 years of age.
- "(3) 'Mechanical restraint' means a device used to restrict the movement of a child in care or the movement or normal function of a portion of the body of a child in care.
- 15 "(4) 'Program' means:
- "(a) A child-caring agency or proctor foster home subject to ORS
 418.205 to 418.327, 418.470, 418.475 or 418.950 to 418.970; or
- 18 "(b) A residential training home or facility licensed under ORS 19 443.415 to serve children under 18 years of age.
- 20 "(5) 'Prone restraint' means a restraint in which a child in care is 21 held face down on the floor.

- "(6) 'Reportable injury' means any type of injury to a child in care, including but not limited to rug burns, fractures, sprains, bruising, pain, soft tissue injury, punctures, scratches, concussions, abrasions, dizziness, loss of consciousness, loss of vision, visual disturbance or death.
- "(7) 'Restraint' means the physical restriction of a child in care's actions or movements by holding the child in care or using pressure or other means.
 - "(8) 'Secure adolescent inpatient treatment program' means an intensive treatment services program, as described by the Oregon Health Authority by rule, that provides inpatient psychiatric stabilization and treatment services to individuals under 21 years of age who require a secure intensive treatment setting.
 - "(9) 'Secure children's inpatient treatment program' means an intensive treatment services program, as described by the authority by rule, that provides inpatient psychiatric stabilization and treatment services to children under 14 years of age who require a secure intensive treatment setting.
 - "(10) 'Serious bodily injury' means any significant impairment of the physical condition of an individual, as determined by qualified medical personnel, whether self-inflicted or inflicted by someone else.
 - "(11) 'Supine restraint' means a restraint in which a child in care is held face up on the floor.
- "SECTION 2. Prohibitions on restraint or involuntary seclusion. (1)
 Restraint or involuntary seclusion may not be administered to a child
 in care for discipline, punishment or retaliation or for the convenience
 of personnel, contractors or volunteers of a program.
- 28 "(2) Except as provided in section 3 (3) of this 2021 Act, the use of 29 the following types of restraint of a child in care are prohibited:
 - "(a) Chemical restraint.

10

11

12

13

14

15

16

17

18

19

20

21

22

23

- 1 "(b) Mechanical restraint.
- 2 "(c) Prone restraint.

14

15

- 3 "(d) Supine restraint.
- "(e) Any restraint that includes the nonincidental use of a solid object, including the ground, a wall or the floor, to impede a child in care's movement.
- "(f) Any restraint that places, or creates a risk of placing, pressure on a child in care's neck or throat.
- 9 "(g) Any restraint that places, or creates a risk of placing, pressure 10 on a child in care's mouth.
- 11 "(h) Any restraint that impedes, or creates a risk of impeding, a 12 child in care's breathing.
 - "(i) Any restraint that involves the intentional placement of hands, feet, elbows, knees or any object on a child in care's neck, throat, genitals or other intimate parts.
- "(j) Any restraint that causes pressure to be placed, or creates a risk of causing pressure to be placed, on a child in care's stomach, chest, joints, throat or back by a knee, foot or elbow.
- 19 "(k) Any other restraint, the primary purpose of which is to inflict 20 pain.
- "SECTION 3. Permissible use of restraint or involuntary seclusion.
 (1) Restraint or involuntary seclusion may be used on a child in care only if the child in care's behavior poses a reasonable risk of imminent serious bodily injury to the child in care or others and less restrictive interventions would not effectively reduce that risk.
- "(2) Notwithstanding subsection (1) of this section, the following types of restraint may be used on a child in care:
- 28 "(a) Holding a child in care's hand or arm to escort the child in care
 29 safely and without the use of force from one area to another;
 - "(b) Assisting a child in care to complete a task if the child in care

- does not resist the physical contact; or
- "(c) Administering the minimal exertion of force necessary if the intervention does not include a restraint described in section 2 (2) of this 2021 Act and the intervention is necessary to break up a physical fight or effectively protect oneself or another from an assault, serious
- 6 bodily injury or sexual contact with the minimum physical contact
- 7 necessary for protection.

15

16

17

18

19

20

- 8 "(3) Notwithstanding section 2 (2) of this 2021 Act:
- 9 "(a) The restraint described in section 2 (2)(e) of this 2021 Act may 10 be used if the restraint is necessary to gain control of a weapon.
- "(b) The restraint described in section 2 (2)(g) of this 2021 Act may
 be used if the restraint is necessary for the purpose of extracting a
 body part from a bite.
 - "(c) The restraints described in section 2 (2)(d) and (e) of this 2021 Act may be used by a secure children's inpatient treatment program or secure adolescent inpatient treatment program only if the following requirements are met:
 - "(A) The child in care is currently admitted to the secure children's inpatient treatment program or secure adolescent inpatient treatment program;
- "(B) The restraint is administered under the current written order of a licensed medical practitioner or a licensed children's emergency safety intervention specialist;
 - "(C) The order is written specifically for the current situation;
- "(D) The restraint is used only as long as needed to prevent serious physical injury, as defined in ORS 161.015, and while no other intervention or form of restraint is possible;
- "(E) A licensed medical practitioner, children's emergency safety intervention specialist, or qualified mental health professional, who is certified in the administration of the type of restraint used, contin-

- uously monitors the use of the restraint and the physical and psychological well-being of the child in care at all times while the restraint is being used;
- "(F) Each individual administering the restraint is trained, as required by the Department of Human Services by rule, to administer the type of restraint used and the individual's certification is current;
- "(G) One or more individuals with current cardiopulmonary resuscitation training are present at all times while the restraint is being administered;
- "(H) The program has written policies that require a licensed children's emergency safety intervention specialist or other licensed practitioner to evaluate and document the physical, psychological and emotional well-being of the child in care immediately following the use of the restraint; and
 - "(I) The program is in compliance with any other requirements under sections 1 to 7 of this 2021 Act, any applicable contract requirements and any other state or federal law related to the use of restraints.
- "(4) If restraint or involuntary seclusion is used other than as provided in subsection (2) of this section, the restraint or involuntary seclusion must be:
 - "(a) Used only for as long as the child in care's behavior poses a reasonable risk of imminent serious bodily injury;
 - "(b) Administered by personnel of the program who are trained, as required by the department by rule, to use that type of restraint or involuntary seclusion;
 - "(c) Continuously monitored by personnel of the program at all times while the restraint or involuntary seclusion is being used; and
- "(d) Performed in a manner that is safe, proportionate and appropriate to the child in care's chronological and developmental age, size,

16

17

18

22

23

24

25

26

27

- gender identity, physical, medical and psychiatric condition and any personal history, including history of physical or sexual abuse.
- "(5) In addition to the requirements described in subsection (4) of this section, if a restraint or involuntary seclusion continues for more than 10 minutes:
- 6 "(a) The child in care must be provided with adequate access to the 7 bathroom and water at least every 30 minutes; and
- "(b)(A) Every five minutes after the first 10 minutes of the restraint or involuntary seclusion, a supervisor for the program who is trained, as required by the department by rule, to administer that type of restraint or involuntary seclusion must provide written authorization for the continuation of the restraint or involuntary seclusion.
 - "(B) If the supervisor is not on-site at the time the restraint is used, the written authorization may be issued electronically.
 - "(C) The written authorization must document why the restraint or involuntary seclusion continues to be the least restrictive intervention to reduce the risk of imminent serious bodily injury in the given circumstances.
- "SECTION 3a. Section 3 of this 2021 Act is amended to read:
- "Sec. 3. (1) Restraint or involuntary seclusion may be used on a child in care only if the child in care's behavior poses a reasonable risk of imminent serious bodily injury to the child in care or others and less restrictive interventions would not effectively reduce that risk.
- "(2) Notwithstanding subsection (1) of this section, the following types of restraint may be used on a child in care:
- 26 "(a) Holding a child in care's hand or arm to escort the child in care
 27 safely and without the use of force from one area to another;
- 28 "(b) Assisting a child in care to complete a task if the child in care does 29 not resist the physical contact; or
 - "(c) Administering the minimal exertion of force necessary if the inter-

14

15

16

17

18

- 1 vention does not include a restraint described in section 2 (2) of this 2021
- 2 Act and the intervention is necessary to break up a physical fight or effec-
- 3 tively protect oneself or another from an assault, serious bodily injury or
- 4 sexual contact with the minimum physical contact necessary for protection.
- 5 "(3) Notwithstanding section 2 (2) of this 2021 Act:
- "(a) The restraint described in section 2 (2)(e) of this 2021 Act may be used if the restraint is necessary to gain control of a weapon.
- "(b) The restraint described in section 2 (2)(g) of this 2021 Act may be used if the restraint is necessary for the purpose of extracting a body part from a bite.
- "(c) The restraints described in section 2 (2)(d) and (e) of this 2021 Act may be used by a secure children's inpatient treatment program or secure adolescent inpatient treatment program only if the following requirements are met:
 - "(A) The child in care is currently admitted to the secure children's inpatient treatment program or secure adolescent inpatient treatment program;
 - "(B) The restraint is administered under the current written order of a licensed medical practitioner or a licensed children's emergency safety intervention specialist;
 - "(C) The order is written specifically for the current situation;
 - "(D) The restraint is used only as long as needed to prevent serious physical injury, as defined in ORS 161.015, and while no other intervention or form of restraint is possible;
- "(E) A licensed medical practitioner, children's emergency safety intervention specialist, or qualified mental health professional, who is certified in the administration of the type of restraint used, continuously monitors the use of the restraint and the physical and psychological well-being of the child in care at all times while the restraint is being used;
- "(F) Each individual administering the restraint is [trained, as required by the Department of Human Services by rule,] certified as described in

16

17

18

19

20

21

22

- section 6 of this 2021 Act to administer the type of restraint used and the individual's certification is current;
- "(G) One or more individuals with current cardiopulmonary resuscitation training are present at all times while the restraint is being administered;
- "(H) The program has written policies that require a licensed children's emergency safety intervention specialist or other licensed practitioner to evaluate and document the physical, psychological and emotional well-being of the child in care immediately following the use of the restraint; and
- "(I) The program is in compliance with any other requirements under sections 1 to 7 of this 2021 Act, any applicable contract requirements and any other state or federal law related to the use of restraints.
- "(4) If restraint or involuntary seclusion is used other than as provided in subsection (2) of this section, the restraint or involuntary seclusion must be:
- 15 "(a) Used only for as long as the child in care's behavior poses a rea-16 sonable risk of imminent serious bodily injury;
 - "(b) Administered by personnel of the program who are [trained, as required by the Department of Human Services by rule,] currently certified as described in section 6 of this 2021 Act to use that type of restraint or involuntary seclusion;
 - "(c) Continuously monitored by personnel of the program at all times while the restraint or involuntary seclusion is being used; and
- "(d) Performed in a manner that is safe, proportionate and appropriate to the child in care's chronological and developmental age, size, gender identity, physical, medical and psychiatric condition and any personal history, including history of physical or sexual abuse.
- "(5) In addition to the requirements described in subsection (4) of this section, if a restraint or involuntary seclusion continues for more than 10 minutes:
 - "(a) The child in care must be provided with adequate access to the

18

19

20

21

22

- bathroom and water at least every 30 minutes; and
- 2 "(b)(A) Every five minutes after the first 10 minutes of the restraint or
- 3 involuntary seclusion, a supervisor for the program who is [trained, as re-
- 4 quired by the department by rule,] currently certified as described in sec-
- 5 tion 6 of this 2021 Act to administer that type of restraint or involuntary
- 6 seclusion must provide written authorization for the continuation of the re-
- 7 straint or involuntary seclusion.
- 8 "(B) If the supervisor is not on-site at the time the restraint is used, the
- 9 written authorization may be issued electronically.
- "(C) The written authorization must document why the restraint or in-
- voluntary seclusion continues to be the least restrictive intervention to re-
- duce the risk of imminent serious bodily injury in the given circumstances.
- 13 "SECTION 4. Notices and reports required following use of restraint
- or involuntary seclusion. (1) A program must establish procedures for
- 15 the program to follow relating to the administration of restraint or
- involuntary seclusion consistent with the provisions of sections 2 and
- 3 of this 2021 Act and relating to the notices and reports required un-
- der this section following the use of restraint or involuntary seclusion
- 19 of a child in care.
- 20 "(2) A program must maintain a record of each incident in which
 - a reportable injury arises from the use of a restraint or involuntary
- 22 seclusion. The record under this subsection must include any audio
- or video recording immediately preceding, during and following the
- 24 incident.

- 25 "(3) A program that administers a restraint or involuntary seclu-
- sion of a child in care other than as provided in section 3 (2)(a) or (b)
- of this 2021 Act must:
- 28 "(a) Provide the following to the child in care's case managers, at-
- 29 torney, court appointed special advocate and parents or guardians:
- 30 "(A) As soon as practicable but in no event later than the end of

- the next business day, verbal or electronic notification that the restraint or involuntary seclusion occurred; and
- "(B) As soon as practicable but in no event later than the end of the next business day, written notification that includes:
- "(i) A description of the restraint or involuntary seclusion, the date of the restraint or involuntary seclusion, the times when the restraint or involuntary seclusion began and ended and the location of the restraint or involuntary seclusion.
- 9 "(ii) A description of the child in care's activity that prompted the 10 use of restraint or involuntary seclusion.
 - "(iii) The efforts used to de-escalate the situation and the alternatives to restraint or involuntary seclusion that were attempted.
 - "(iv) The names of each of individual who administered, monitored or approved the restraint or involuntary seclusion.
 - "(v) For each individual who administered, monitored or approved the restraint or involuntary seclusion, whether the individual was trained, as required by the Department of Human Services by rule, to administer the type of restraint used or involuntary seclusion and, if so, the date of the most recent training and a description of the types of restraint the individual is trained to administer or, if the individual was not trained, the information required under paragraph (b) of this subsection.
 - "(b) If an individual who administered, monitored or approved the restraint or involuntary seclusion was not currently certified in the administration of the type of restraint used or involuntary seclusion, written notice to the department and the child in care's attorney, court appointed special advocate and parents or guardians describing the certification deficiency and the reason the restraint or involuntary seclusion was administered, monitored or approved by an individual without the proper certification.

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- "(c) Hold a debriefing meeting with each individual who was involved in the incident and any other appropriate program personnel no later than two business days following the date of the restraint or involuntary seclusion, take written notes of the debriefing meeting and provide copies of the written notes to the child in care's case managers, attorney, court appointed special advocate and parents or guardians.
 - "(d) If the child in care suffers a reportable injury arising from the restraint or involuntary seclusion, immediately provide written notification of the incident to the department and release all records related to the restraint or involuntary seclusion, including any photographs and audio or video recordings, to the department and the child in care's attorney, court appointed special advocate and parents or guardians.
 - "(e) If serious bodily injury or the death of program personnel occurs in relation to the use of the restraint or involuntary seclusion, provide the department with written notification of the incident no later than 24 hours following the incident.
 - "(4)(a) If restraint or involuntary seclusion is used on a child in care who is receiving services from a program more than two times in a seven-day period, the program shall immediately assemble a team to review the child in care's treatment plan.
 - "(b) The team must include an administrator of the program, a representative of the department who is familiar with the child in care's case, a representative of the program's licensing agency, the child in care's attorney and court appointed special advocate and, if the child in care is 10 years of age or older and wants to participate, the child in care.
 - "(c) The team shall prepare a written report identifying each of the team members and documenting the team's conclusions regarding the

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

1 **following:**

18

19

20

21

- 2 "(A) The suitability of the program for the child in care;
- "(B) Any necessary modifications to the child in care's treatment plan;
- 5 "(C) What, if any, staff training regarding alternative therapeutic 6 behavior management techniques are appropriate; and
- "(D) The impact of the restraint or involuntary seclusion on the child in care's physical, mental and emotional well-being.
- "(d) The program and any agency that was involved in placing the child in care in the program shall immediately implement any necessary corrective actions identified in the report.
- "SECTION 4a. Section 4 of this 2021 Act is amended to read:
- "Sec. 4. (1) A program must establish procedures for the program to follow relating to the administration of restraint or involuntary seclusion consistent with the provisions of sections 2 and 3 of this 2021 Act and relating to the notices and reports required under this section following the use of restraint or involuntary seclusion of a child in care.
 - "(2) A program must maintain a record of each incident in which a reportable injury arises from the use of a restraint or involuntary seclusion. The record under this subsection must include any audio or video recording immediately preceding, during and following the incident.
- "(3) A program that administers a restraint or involuntary seclusion of a child in care other than as provided in section 3 (2)(a) or (b) of this 2021 Act must:
- 25 "(a) Provide the following to the child in care's case managers, attorney, 26 court appointed special advocate and parents or guardians:
- "(A) As soon as practicable but in no event later than the end of the next business day, verbal or electronic notification that the restraint or involuntary seclusion occurred; and
 - "(B) As soon as practicable but in no event later than the end of the next

- business day, written notification that includes:
- 2 "(i) A description of the restraint or involuntary seclusion, the date of the 3 restraint or involuntary seclusion, the times when the restraint or involun-
- 4 tary seclusion began and ended and the location of the restraint or invol-
- 5 untary seclusion.

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

- 6 "(ii) A description of the child in care's activity that prompted the use 7 of restraint or involuntary seclusion.
- 8 "(iii) The efforts used to de-escalate the situation and the alternatives to 9 restraint or involuntary seclusion that were attempted.
 - "(iv) The names of each of individual who administered, monitored or approved the restraint or involuntary seclusion.
 - "(v) For each individual who administered, monitored or approved the restraint or involuntary seclusion, whether the individual was [trained, as required by the Department of Human Services by rule,] currently certified as described in section 6 of this 2021 Act to administer the type of restraint used or involuntary seclusion and, if so, the date of the most recent [training] certification and a description of the types of restraint the individual is [trained] certified to administer or, if the individual was not [trained] currently certified, the information required under paragraph (b) of this subsection.
 - "(b) If an individual who administered, monitored or approved the restraint or involuntary seclusion was not currently certified in the administration of the type of restraint used or involuntary seclusion, written notice to the department and the child in care's attorney, court appointed special advocate and parents or guardians describing the certification deficiency and the reason the restraint or involuntary seclusion was administered, monitored or approved by an individual without the proper certification.
- "(c) Hold a debriefing meeting with each individual who was involved in the incident and any other appropriate program personnel no later than two business days following the date of the restraint or involuntary seclusion,

- take written notes of the debriefing meeting and provide copies of the written notes to the child in care's case managers, attorney, court appointed special advocate and parents or guardians.
- "(d) If the child in care suffers a reportable injury arising from the restraint or involuntary seclusion, immediately provide written notification of the incident to the department and release all records related to the restraint or involuntary seclusion, including any photographs and audio or video recordings, to the department and the child in care's attorney, court appointed special advocate and parents or guardians.
 - "(e) If serious bodily injury or the death of program personnel occurs in relation to the use of the restraint or involuntary seclusion, provide the department with written notification of the incident no later than 24 hours following the incident.
 - "(4)(a) If a restraint or involuntary seclusion is used on a child in care who is receiving services from a program more than two times in a seven-day period, the program shall immediately assemble a team to review the child in care's treatment plan.
 - "(b) The team must include an administrator of the program, a representative of the department who is familiar with the child in care's case, a representative of the program's licensing agency, the child in care's attorney and court appointed special advocate and, if the child in care is 10 years of age or older and wants to participate, the child in care.
 - "(c) The team shall prepare a written report identifying each of the team members and documenting the team's conclusions regarding the following:
 - "(A) The suitability of the program for the child in care;
- 26 "(B) Any necessary modifications to the child in care's treatment plan;
- "(C) What, if any, staff training regarding alternative therapeutic behavior management techniques are appropriate; and
- "(D) The impact of the restraint or involuntary seclusion on the child in care's physical, mental and emotional well-being.

11

12

13

14

15

16

17

18

19

20

21

22

23

24

- "(d) The program and any agency that was involved in placing the child in care in the program shall immediately implement any necessary corrective actions identified in the report.
- "SECTION 5. Reporting requirements. (1) A program must prepare and submit to the Department of Human Services a quarterly report detailing the use of restraint and involuntary seclusion for the preceding three-month period, including, at a minimum:
- 8 "(a) The total number of incidents involving restraint.
- 9 "(b) The total number of incidents involving involuntary seclusion.
 - "(c) The total number of involuntary seclusions in a locked room.
 - "(d) The total number of rooms available for use by the program for involuntary seclusion and a description of the dimensions and design of the rooms.
- 14 "(e) The total number of children in care placed in restraint.
- 15 "(f) The total number of children in care placed in involuntary se-16 clusion.
 - "(g) The total number of incidents under paragraph (a) or (b) of this subsection that resulted in reportable injuries.
 - "(h) The number of children in care who were placed in restraint or involuntary seclusion more than three times during the preceding three-month period and a description of the steps the program has taken to decrease the use of restraint and involuntary seclusion.
 - "(i) The number of incidents in which individuals who administered restraint or involuntary seclusion were not trained, as required by the department by rule, to administer the type of restraint used or involuntary seclusion.
 - "(j) The demographic characteristics of all children in care to whom restraint or involuntary seclusion was administered, including race, ethnicity, gender, disability status, migrant status, English proficiency and status as economically disadvantaged, unless the demographic in-

11

12

13

17

18

19

20

21

22

23

24

25

26

27

28

29

- formation would reveal personally identifiable information about an individual child in care.
- "(2)(a) If a program provides services in more than one location, the reports under subsection (1) of this section must separate the data for each location that serves five or more children in care.
 - "(b) If the site-specific data for a given location is not provided under paragraph (a) of this subsection because the program serves fewer than five children in care at that location, the program's report must include a notation indicating the aggregate number of children in care served by the program across all of the program's locations and the reporting requirements under paragraph (a) of this subsection continue to apply to any of the program's other locations serving five or more children in care.
 - "(3)(a) The department shall make each quarterly report it receives under this section available to the public on the department's website.
 - "(b) Each program that submits a report under this section shall make its quarterly report available to the public upon request at the program's main office and on the program's website if the program maintains a website.
 - "(c) Each program shall provide notice regarding how to access the quarterly reports to the parents or guardians of children in care in the program. The program shall provide the notice upon the child in care's admission and at least two times each year thereafter.
 - **"SECTION 5a.** Section 5 of this 2021 Act is amended to read:
- "Sec. 5. (1) A program must prepare and submit to the Department of Human Services a quarterly report detailing the use of restraint and involuntary seclusion for the preceding three-month period, including, at a minimum:
- 29 "(a) The total number of incidents involving restraint.
 - "(b) The total number of incidents involving involuntary seclusion.

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

- "(c) The total number of involuntary seclusions in a locked room.
- "(d) The total number of rooms available for use by the program for involuntary seclusion and a description of the dimensions and design of the rooms.
- 5 "(e) The total number of children in care placed in restraint.
- 6 "(f) The total number of children in care placed in involuntary seclusion.
- "(g) The total number of incidents under paragraph (a) or (b) of this subsection that resulted in reportable injuries.
 - "(h) The number of children in care who were placed in restraint or involuntary seclusion more than three times during the preceding three-month period and a description of the steps the program has taken to decrease the use of restraint and involuntary seclusion.
 - "(i) The number of incidents in which individuals who administered restraint or involuntary seclusion were not [trained, as required by the department by rule,] certified as described in section 6 of this 2021 Act to administer the type of restraint used or involuntary seclusion.
 - "(j) The demographic characteristics of all children in care to whom restraint or involuntary seclusion was administered, including race, ethnicity, gender, disability status, migrant status, English proficiency and status as economically disadvantaged, unless the demographic information would reveal personally identifiable information about an individual child in care.
 - "(2)(a) If a program provides services in more than one location, the reports under subsection (1) of this section must separate the data for each location that serves five or more children in care.
 - "(b) If the site-specific data for a given location is not provided under paragraph (a) of this subsection because the program serves fewer than five children in care at that location, the program's report must include a notation indicating the aggregate number of children in care served by the program across all of the program's locations and the reporting requirements under paragraph (a) of this subsection continue to apply to any of the

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- 1 program's other locations serving five or more children in care.
- "(3)(a) The department shall make each quarterly report it receives under this section available to the public on the department's website.
- "(b) Each program that submits a report under this section shall make its quarterly report available to the public upon request at the program's main office and on the program's website if the program maintains a website.
- "(c) Each program shall provide notice regarding how to access the quarterly reports to the parents or guardians of children in care in the program. The program shall provide the notice upon the child in care's admission and at least two times each year thereafter.
 - "SECTION 6. Training in use of restraint or involuntary seclusion. A person who administers the restraint or involuntary seclusion of a child in care in a program must be trained, as required by the Department of Human Services by rule in effect on the effective date of this 2021 Act, to administer the type of restraint used.
 - **"SECTION 6a.** Section 6 of this 2021 Act is amended to read:
 - "Sec. 6. [A person who administers the restraint or involuntary seclusion of a child in care in a program must be trained, as required by the Department of Human Services by rule in effect on the effective date of this 2021 Act, to administer the type of restraint used.]
 - "(1)(a) The Department of Human Services shall adopt by rule training standards and certification requirements regarding the administration of restraint and involuntary seclusion to children in care, consistent with this section.
 - "(b) The department shall designate two to three nationally recognized crisis intervention training programs that meet the department's training standards and whose certifications issued upon completion of the training programs the department will recognize as satisfying the department's certification requirements.
 - "(2) The department's rules under this section must:

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- "(a) Ensure consistency of training and professional development across all programs;
- "(b) Require the teaching of techniques for nonviolent crisis intervention that do not require restraint;
- 5 "(c) Focus on de-escalation and trauma-informed behavioral sup-6 port as the core of a training program;
- "(d) Offer options for certification in skills that do not include the use of restraint to improve agency-wide safety, culture and traumainformed practices;
- "(e) Prioritize the reduction or elimination of the use of restraint and involuntary seclusion;
 - "(f) Ensure that any physical intervention skills taught are trauma-informed, age-appropriate and developmentally appropriate for children in care, reduce the risk of physical or emotional harm and are consistent with all state and federal laws;
 - "(g) Include training to identify the physical, psychological and emotional risks for children and program staff related to the use of restraint and involuntary seclusion;
 - "(h) Ensure fidelity of training through the publication of consistent training materials and resources for certified instructors and certified program staff;
- 22 "(i) Include requirements for instructor training and certification; 23 and
- "(j) Require regular, ongoing support to certified instructors, including quality control, monitoring of outcomes and provision of information regarding networks for professional collaboration and support.
- "(3) The department's rules must require that training program instructors:
- 30 "(a) Be certified to conduct the type of training the instructor is

13

14

15

16

17

18

19

20

1 providing;

7

10

11

12

13

14

15

16

17

18

19

- "(b) Complete a minimum of 26 hours of initial education with a focus on de-escalation, nonviolent intervention and methods consistent with the department's rules for the use of physical intervention;
- 5 "(c) Complete a minimum of 12 hours of continuing education every two years;
 - "(d) Be recertified at least once every two years; and
- 8 "(e) Demonstrate written and physical competency before receiving certification or recertification.
 - "(4) The department's rules must require that program staff who administer restraint or involuntary seclusion to children in care must be certified in the administration of specific types of permissible restraint or involuntary seclusion. The department's rules must describe the minimum certification requirements, including:
 - "(a) Completion of a minimum of 12 hours of initial training in person from an instructor certified as provided in subsection (3) of this section, including at least six hours of training in positive behavior support, nonviolent crisis intervention and other methods of non-physical intervention to support children in care in crisis;
- 20 "(b) Annual continuing education with a certified instructor; and
- "(c) Demonstration of a mastery of the training program material both in writing and by physical competency before receiving certification.
 - "(5) A certification issued under this section:
- 25 "(a) Must be personal to the individual certified by the training program;
- 27 "(b) May be valid for no more than two years without recertif-28 ication;
- 29 "(c) Must require annual continuing education to maintain;
- 30 "(d) Must require additional training to renew the certification;

- "(e) Must be portable between employers; and
- 2 "(f) Must include:

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- 3 "(A) The dates during which the certification is current;
- "(B) The types of restraint and involuntary seclusion that the individual is certified to administer, if any;
- 6 "(C) The types of training the individual is certified to conduct, if any;
 - "(D) Any special endorsements earned by the individual;
- 9 "(E) The level of training; and
- "(F) The name of the certified instructor who conducted the training and administered the assessment of proficiency.
 - "(6) An individual whose certification is consistent with the department's rules under this section shall maintain the documentation of the certification and make that documentation available to the department upon request.
 - "SECTION 7. Information provided to children in care. (1) Each child in care receiving services from a child-caring agency must be provided with information that:
 - "(a) Explains the provisions of sections 1 to 7 of this 2021 Act;
 - "(b) Provides instruction regarding how a child in care may report suspected inappropriate use of restraint or involuntary seclusion;
 - "(c) Assures the child in care that the child will not experience retaliation for reporting suspected inappropriate uses of restraint or involuntary seclusion; and
 - "(d) Includes the telephone number for the toll-free child abuse hotline described in ORS 417.805 and the telephone numbers and electronic mail addresses for the program's licensing or certification agency, the child in care's caseworker and attorney, the child in care's court appointed special advocate and Disability Rights Oregon.
 - "(2) The information described in subsection (1) of this section must

- 1 be provided by:
- 2 "(a) The Department of Human Services if the department placed 3 the child in care in the child-caring agency;
- "(b) The Oregon Youth Authority if the child in care has been committed to the custody of the authority; or
- 6 "(c) The child-caring agency, as required by the department by rule,
 7 for all other children in care.
- 8 "SECTION 8. ORS 418.205, as amended by sections 15a and 15b, chapter 19, Oregon Laws 2020 (first special session), is amended to read:
- "418.205. As used in ORS 418.205 to 418.327, 418.470, 418.475, 418.950 to 418.970 and 418.992 to 418.998, unless the context requires otherwise:
- "(1) 'Child' means an unmarried person under 21 years of age who resides in or receives care or services from a child-caring agency.
- "(2)(a) 'Child-caring agency':
- 15 "(A) Means any private school, private agency, private organization or 16 county program providing:
- "(i) Day treatment for children with emotional disturbances;
- "(ii) Adoption placement services;
- "(iii) Residential care, including but not limited to foster care or residential treatment for children;
- "(iv) Residential care in combination with academic education and therapeutic care, including but not limited to treatment for emotional, behavioral or mental health disturbances;
- 24 "(v) Outdoor youth programs; or
- 25 "(vi) Other similar care or services for children.
- 26 "(B) Includes the following:
- 27 "(i) A shelter-care home that is not a foster home subject to ORS 418.625 28 to 418.645;
- "(ii) An independent residence facility as described in ORS 418.475;
- "(iii) A private residential boarding school; [and]

- "(iv) A child-caring facility as defined in ORS 418.950[.]; and
- "(v) A private secure transportation services company that transports or provides escort services for children on the highways of this
 state along a route that begins or ends in this state, to or from a
 school, agency, organization or program described in this paragraph
 or to or from any other treatment program, and which transportation
 or escort service is not incidental to the transportation or escort services the company offers to the general public.
- 9 "(b) 'Child-caring agency' does not include:

- "(A) Residential facilities or foster care homes certified or licensed by the Department of Human Services under ORS 443.400 to 443.455, 443.830 and 443.835 for children receiving developmental disability services;
- "(B) Any private agency or organization facilitating the provision of respite services for parents pursuant to a properly executed power of attorney
 under ORS 109.056. For purposes of this subparagraph, 'respite services'
 means the voluntary assumption of short-term care and control of a minor
 child without compensation or reimbursement of expenses for the purpose
 of providing a parent in crisis with relief from the demands of ongoing care
 of the parent's child;
- 20 "(C) A youth job development organization as defined in ORS 344.415;
- 21 "(D) A shelter-care home that is a foster home subject to ORS 418.625 to 418.645;
- 23 "(E) A foster home subject to ORS 418.625 to 418.645;
- 24 "(F) A facility that exclusively serves individuals 18 years of age and 25 older; or
- "(G) A facility that primarily serves both adults and children but requires that any child must be accompanied at all times by at least one custodial parent or guardian.
- 29 "(3) 'Child-caring facility' has the meaning given that term in ORS 30 418.950.

- "(4)(a) 'County program' means any county operated program that provides care or services to children:
- "(A) In the custody of the Department of Human Services or the Oregon
 4 Youth Authority[.]; or
- 5 "(B) Under a contract with the Oregon Health Authority.
- "(b) 'County program' does not include any local juvenile detention facility that receives state services provided and coordinated by the Department of Corrections under ORS 169.070.
- "(5) 'Governmental agency' means an executive, legislative or judicial agency, department, board, commission, authority, institution or instrumentality of this state or of a county, municipality or other political subdivision of this state.
- 13 "(6) 'Independent residence facility' means a facility established or certi-14 fied under ORS 418.475.
- "(7)(a) 'Outdoor youth program' means a program that provides, in an outdoor living setting, services to children who have behavioral problems, mental health problems or problems with abuse of alcohol or drugs.
- 18 "(b) 'Outdoor youth program' does not include any program, facility or 19 activity:
- 20 "(A) Operated by a governmental entity;
- "(B) Operated or affiliated with the Oregon Youth Corps;
- 22 "(C) Licensed by the Department of Human Services under other author-23 ity of the department; or
- 24 "(D) Operated by a youth job development organization as defined in ORS 25 344.415.
- "(8) 'Private' means not owned, operated or administered by any governmental agency or unit.
- 28 "(9) 'Private residential boarding school' means either of the following 29 as the context requires:
- 30 "(a) A child-caring agency that is a private school that provides residen-

- tial care in combination with academic education and therapeutic care, in-
- 2 cluding but not limited to treatment for emotional, behavioral or mental
- 3 health disturbances; or
- 4 "(b) A private school providing residential care that is primarily engaged
- 5 in educational work under ORS 418.327.
- 6 "(10) 'Proctor foster home' means a foster home certified by a child-caring 7 agency under ORS 418.248 that is not subject to ORS 418.625 to 418.645.
- 8 "(11) 'Provider of care or services for children' means a person, entity or
- 9 organization that provides care or services to children, regardless of whether
- the child is in the custody of the Department of Human Services, and that
- does not otherwise meet the definition of, or requirements for, a child-caring
- 12 agency. 'Provider of care or services for children' includes a proctor foster
- 13 home certified by a child-caring agency under ORS 418.248.
- "(12) 'Qualified residential treatment program' means a program described
- in section 12b, chapter 19, Oregon Laws 2020 (first special session).
- "(13) 'Shelter-care home' has the meaning given that term in ORS 418.470.
- "SECTION 9. ORS 418.257 is amended to read:
- 18 "418.257. As used in ORS 418.257 to 418.259 and sections 1 to 7 of this

19 **2021 Act**:

- "(1) 'Abuse' means one or more of the following:
- "(a) Any physical injury to a child in care caused by other than acci-
- dental means, or that appears to be at variance with the explanation given
- 23 of the injury.
- 24 "(b) Neglect of a child in care.
- 25 "(c) Abandonment, including desertion or willful forsaking of a child in
- care or the withdrawal or neglect of duties and obligations owed a child in
- 27 care by a child-caring agency, caretaker, certified foster home, developmental
- 28 disabilities residential facility or other person.
- 29 "(d) Willful infliction of physical pain or injury upon a child in care.
- "(e) An act that constitutes a crime under ORS 163.375, 163.405, 163.411,

- 1 163.415, 163.425, 163.427, 163.465, 163.467 or 163.525.
- 2 "(f) Verbal abuse.
- 3 "(g) Financial exploitation.
- 4 "(h) Sexual abuse.

- 5 "(i) Involuntary seclusion of a child in care for the convenience of a
- 6 child-caring agency, caretaker, certified foster home or developmental disa-
- 7 bilities residential facility or to discipline the child in care.
- 8 "[(j) A wrongful use of a physical or chemical restraint of a child in care,
- 9 excluding an act of restraint prescribed by a physician licensed under ORS
- 10 chapter 677 and any treatment activities that are consistent with an approved
- 11 treatment plan or in connection with a court order.]
 - "(j) The use of restraint or involuntary seclusion of a child in care in violation of section 2 or 3 of this 2021 Act.
- "(2) 'Certified foster home' means a foster home certified by the Department of Human Services and subject to ORS 418.625 to 418.645.
- 16 "(3)(a) 'Child in care' means a person under 21 years of age who is re-17 siding in or receiving care or services from:
- 18 "(A) A child-caring agency or proctor foster home subject to ORS 418.205 19 to 418.327, 418.470, 418.475 or 418.950 to 418.970;
- 20 "(B) A certified foster home; or
- "(C) A developmental disabilities residential facility.
- "(b) 'Child in care' does not include a person under 21 years of age who is residing in any of the entities listed in paragraph (a) of this subsection when the care provided is in the home of the child by the child's parent.
- 25 "[(4) 'Child-caring agency' has the meaning given that term in ORS 26 418.205.]
- "[(5)] (4) 'Developmental disabilities residential facility' means a residential facility or foster home for children who are [18] 17 years of age or younger and receiving developmental disability services that is subject to ORS 443.400 to 443.455, 443.830 and 443.835.

- "[(6) 'Involuntary seclusion' means the confinement of a child in care alone
- 2 in a room from which the child in care is physically prevented from leaving.
- 3 'Involuntary seclusion' does not include age-appropriate discipline, including
- 4 but not limited to a time-out.]

14

17

18

22

23

24

25

26

- 5 "[(7) 'Proctor foster home' has the meaning given that term in ORS 6 418.205.]
- "[(8)(a)] (5)(a) 'Financial exploitation' means:
- 8 "(A) Wrongfully taking the assets, funds or property belonging to or in-9 tended for the use of a child in care.
- "(B) Alarming a child in care by conveying a threat to wrongfully take or appropriate moneys or property of the child in care if the child would reasonably believe that the threat conveyed would be carried out.
 - "(C) Misappropriating, misusing or transferring without authorization any moneys from any account held jointly or singly by a child in care.
- 15 "(D) Failing to use the income or assets of a child in care effectively for 16 the support and maintenance of the child in care.
 - "(b) 'Financial exploitation' does not include age-appropriate discipline that may involve the threat to withhold, or the withholding of, privileges.
- "[(9)] (6) 'Intimidation' means compelling or deterring conduct by threat.

 'Intimidation' does not include age-appropriate discipline that may involve
 the threat to withhold privileges.
 - "(7)(a) 'Involuntary seclusion' means the confinement of a child in care alone in a room from which the child in care is prevented from leaving by any means.
 - "(b) 'Involuntary seclusion' does not include age-appropriate discipline, including, but not limited to, a time-out if the time-out is in a setting from which the child in care is not prevented from leaving.
- "[(10)] (8) 'Law enforcement agency' means:
- 29 "(a) Any city or municipal police department.
- 30 "(b) Any county sheriff's office.

- 1 "(c) The Oregon State Police.
- 2 "(d) Any district attorney.
- 3 "(e) A police department established by a university under ORS 352.121
- 4 or 353.125.
- 5 "[(11)] (9) 'Neglect' means:
- 6 "(a) Failure to provide the care, supervision or services necessary to 7 maintain the physical and mental health of a child in care; or
- "(b) The failure of a child-caring agency, proctor foster home, certified foster home, developmental disabilities residential facility, caretaker or other person to make a reasonable effort to protect a child in care from abuse.
- "[(12)] (10) 'Services' includes but is not limited to the provision of food, clothing, medicine, housing, medical services, assistance with bathing or personal hygiene or any other service essential to the well-being of a child in care.
- "[(13)] (11) 'Sexual abuse' means:
- 16 "(a) Sexual harassment, sexual exploitation or inappropriate exposure to 17 sexually explicit material or language;
- "(b) Any sexual contact between a child in care and an employee of a child-caring agency, proctor foster home, certified foster home, developmental disabilities residential facility, caretaker or other person responsible for the provision of care or services to a child in care;
- "(c) Any sexual contact between a person and a child in care that is unlawful under ORS chapter 163 and not subject to a defense under that chapter; or
- 25 "(d) Any sexual contact that is achieved through force, trickery, threat or coercion.
- "[(14)] (12) 'Sexual contact' has the meaning given that term in ORS 163.305.
- "[(15)] (13) 'Sexual exploitation' means sexual exploitation as described in ORS 419B.005 (1)(a)(E).

- "[(16)] (14) 'Verbal abuse' means to threaten significant physical or emotional harm to a child in care through the use of:
- 3 "(a) Derogatory or inappropriate names, insults, verbal assaults, profanity 4 or ridicule; or
- 5 "(b) Harassment, coercion, threats, intimidation, humiliation, mental cru-6 elty or inappropriate sexual comments.
- "SECTION 10. ORS 418.259, as amended by section 9, chapter 19, Oregon

 8 Laws 2020 (first special session), is amended to read:
- 9 "418.259. (1) The investigation conducted by the Department of Human 10 Services under ORS 418.258 must result in one of the following findings:
 - "(a) That the report is substantiated. A report is substantiated when there is reasonable cause to believe that the abuse of a child in care occurred.
 - "(b) That the report is unsubstantiated. A report is unsubstantiated when there is no evidence that the abuse of a child in care occurred.
 - "(c) That the report is inconclusive. A report is inconclusive when there is some indication that the abuse occurred but there is insufficient evidence to conclude that there is reasonable cause to believe that the abuse occurred.
 - "(2) When a report is received under ORS 418.258 alleging that a child in care may have been subjected to abuse, the department shall notify the case managers for the child, the attorney for the child, the child's court appointed special advocate, the parents or guardians of the child, any attorney representing a parent or guardian of the child and any governmental agency that has a contract with the child-caring agency or developmental disabilities residential facility to provide care or services to the child that a report has been received.
 - "(3)(a) The department may interview the child in care who is the subject of suspected abuse and any witnesses, including other children, without the presence of employees of the child-caring agency, proctor foster home or developmental disabilities residential facility, the provider of services at a certified foster home or department personnel. The department shall inform

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- the child in care that the child may have the child's parent or guardian, if
- 2 the child has not been committed to the custody of the department or the
- 3 Oregon Youth Authority, or attorney present when participating in an
- 4 interview conducted in the course of an abuse investigation.
- 5 "(b) When investigating an allegation of inappropriate use of re-6 straint or involuntary seclusion, the department shall:
- "(A) Conduct the interviews described in paragraph (a) of this subsection without the presence of employees of the child-caring agency, proctor foster home or developmental disabilities residential facility;
- "(B) Review all incident reports related to the child in care and other reports related to the restraint or involuntary seclusion of the child in care;
 - "(C) Review any audio, video or photographic recordings of the restraint or involuntary seclusion, including the circumstances immediately before and following the incident;
 - "(D) During an interview with the child in care who is the subject of the suspected abuse, ask the child about whether they experienced any reportable injury or pain as a result of the restraint or involuntary seclusion;
 - "(E) Review the training records related to all of the staff who were involved in the use of restraint or involuntary seclusion; and
 - "(F) Make all reasonable efforts to conduct trauma-informed interviews of each child witness, including the child in care who is the subject of suspected abuse unless the investigator makes a specific determination that the interview may significantly traumatize the child and is not in the best interests of the child.
- "(4) The department shall notify the following when a report of abuse is substantiated:
- 29 "(a) The Director of Human Services.
- 30 "(b) Personnel in the department responsible for the licensing, certificate

14

15

16

17

18

19

20

21

22

23

24

25

or authorization of child-caring agencies.

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

- "(c) The department's lead personnel in that part of the department that is responsible for child welfare generally.
- "(d) With respect to the child in care who is the subject of the abuse report and investigation, the case managers for the child, the attorney for the child, the child's court appointed special advocate, the parents or guardians of the child, any attorney representing a parent or guardian of the child and any governmental agency that has a contract with the child-caring agency to provide care or services to the child.
 - "(e) The parents or guardians of the child in care who is the subject of the abuse report and investigation if the child in care has not been committed to the custody of the department or the youth authority. Notification under this paragraph may not include any details or information other than that a report of abuse has been substantiated.
 - "(f) Any governmental agency that has a contract with the child-caring agency to provide care or services to a child in care.
 - "(g) The local citizen review board established by the Judicial Department under ORS 419A.090.
 - "(5) The department shall report on a quarterly basis to the interim legislative committees on child welfare for the purposes of public review and oversight of the quality and safety of child-caring agencies, certified foster homes and developmental disabilities residential facilities that are licensed, certified or authorized by the department in this state and of proctor foster homes that are certified by the child-caring agencies. Information provided in reports under this subsection may not contain the name or any identifying information of a child in care but must contain all of the following:
 - "(a) The name of any child-caring agency, including an out-of-state child-caring agency, proctor foster home or developmental disabilities residential facility, or, provided there are five or more certified foster homes in the county, the name of the county where a certified foster home is located,

- where the department conducted an investigation pursuant to ORS 418.258
- 2 that resulted in a finding that the report of abuse was substantiated during
- 3 that quarter;

12

13

14

15

16

17

18

19

20

21

22

23

24

- 4 "(b) The approximate date that the abuse occurred;
- 5 "(c) The nature of the abuse and a brief narrative description of the abuse 6 that occurred;
- 7 "(d) Whether physical injury, sexual abuse or death resulted from the 8 abuse;
- 9 "(e) Corrective actions taken or ordered by the department and the out-10 come of the corrective actions; and
 - "(f) Information the department received in that quarter regarding any substantiated allegations of child abuse made by any other state involving a congregate care residential setting, as defined in ORS 419B.354, in which the department has placed Oregon children.
 - "(6) The department's quarterly report under subsection (5) of this section must also contain all of the following:
 - "(a) The total number of restraints used in programs that quarter;
 - "(b) The total number of programs that reported the use of restraints of children in care that quarter;
 - "(c) The total number of individual children in care who were subject to restraints that quarter;
 - "(d) The number of reportable injuries to children in care that resulted from those restraints;
 - "(e) The number of restraints applied by program staff who were not appropriately trained to implement the restraint; and
- 26 "(f) The number of restraints that were reported for potential in-27 appropriate use of restraint.
- "[(6)] (7) In compiling records, reports and other information during an investigation under ORS 418.258 (1) and in issuing findings, letters of concern or reprimands, the Director of Human Services or the director's

- designee and the department may not refer to the employee, person or entity
- 2 that is the subject of the investigation as an 'alleged perpetrator' but must
- 3 refer to the employee, person or entity as the 'respondent.'
- 4 "(8) As used in this section, 'reportable injury,' 'restraint' and
- 5 'program' have the meanings given those terms in section 1 of this
- 6 2021 Act.
- ⁷ "SECTION 11. Reports first due. The reports under section 5 of this
- 8 2021 Act, are due on November 1, 2021, and quarterly thereafter. The
- 9 reports due on November 1, 2021, must include data regarding the
- program's use of restraint and involuntary seclusion from July 1, 2021,
- 11 through September 1, 2021.
- "SECTION 12. Operative dates. (1) Sections 3, 4, 5 and 6 as amended
- by sections 3a, 4a, 5a and 6a of this 2021 Act become operative on July
- 14 **1, 2022.**
- 15 "(2) The Department of Human Services may adopt rules and take
- any other action before the operative date specified in subsection (1)
- of this section that is necessary to enable the department, on or after
- 18 the operative date specified in subsection (1) of this section, to
- 19 undertake and exercise all of the duties, functions and powers con-
- 20 ferred on the department by sections 3, 4, 5 and 6 of this 2021 Act as
- amended by sections 3a, 4a, 5a and 6a of this 2021 Act.
 - "SECTION 13. Captions. The section captions used in this 2021 Act
- 23 are provided only for the convenience of the reader and do not become
- 24 part of the statutory law of this state or express any legislative intent
- 25 in the enactment of this 2021 Act.
- 26 "SECTION 14. Effective date. This 2021 Act being necessary for the
- 27 immediate preservation of the public peace, health and safety, an
- 28 emergency is declared to exist, and this 2021 Act takes effect on its
- 29 passage.".