SB 669-4 (LC 1408) 4/8/19 (LHF/ps)

Requested by Senator KNOPP

## PROPOSED AMENDMENTS TO SENATE BILL 669

1 On <u>page 1</u> of the printed bill, line 3, after "ORS" delete the rest of the 2 line and insert "410.604, 443.315, 443.325,".

3 In line 4, delete ", 443.350 and 443.355" and insert "and 653.261".

4 Delete lines 6 through 29 and delete pages 2 through 10 and insert:

5 "<u>SECTION 1.</u> The Department of Human Services shall require all 6 case managers who have clients served by home care workers, as de 7 fined in ORS 410.600, to report to the Home Care Commission all 8 complaints by the clients or other individuals regarding the care pro-9 vided by the home care workers, no later than 24 hours after the case 10 manager receives the complaint.

<sup>11</sup> "SECTION 2. ORS 410.604 is amended to read:

"410.604. (1) The Home Care Commission shall ensure the quality of home
 care services by:

"(a) Establishing qualifications for home care workers, with the advice
 and consent of the Department of Human Services, to ensure the effective
 delivery of home care services by a qualified, committed, experienced and
 well-trained home care workforce;

18 "(b) Conducting orientation sessions for home care workers;

"(c) Establishing training requirements for home care workers, including personal support workers, as the commission deems appropriate, and providing training opportunities for home care workers and elderly persons and persons with disabilities who employ home care workers or personal support
workers;

"(d) Establishing the home care registry and maintaining the registry
with qualified home care workers;

5 "(e) Providing routine, emergency and respite referrals of home care 6 workers;

"(f) Entering into contracts with public and private organizations and
individuals for the purpose of obtaining or developing training materials and
curriculum or other services as may be needed by the commission;

"(g) Establishing occupational health and safety standards for home care workers, in accordance with ORS 654.003 (3), and informing home care workers of the standards; [and]

"(h) Establishing a process to investigate all complaints, including
 those received under section 1 of this 2019 Act, and compiling and
 making publicly available a list of every home care worker for whom
 the commission substantiated a complaint:

"(A) Of abuse or neglect of an elderly person or a person with a
 disability; or

"(B) Involving conduct that affected the health, safety or well being
 of an elderly person or a person with a disability; and

"[(h)] (i) Working on its own, if resources are available, or cooperatively with area agencies and state and local agencies to accomplish the duties listed in paragraphs (a) to [(g)] (h) of this subsection.

"(2)(a) The commission shall enter into an interagency agreement with the department to contract for a department employee to serve as executive director of the commission. The executive director shall be appointed by the Director of Human Services in consultation with the Governor and subject to approval by the commission, and shall serve at the pleasure of the Director of Human Services. The commission may delegate to the executive director the authority to act on behalf of the commission to carry out its

1 duties and responsibilities, including but not limited to:

2 "(A) Entering into contracts or agreements; and

"(B) Taking reasonable or necessary actions related to the commission's
role as employer of record for home care workers under ORS 410.612.

5 "(b) The commission shall enter into an interagency agreement with the 6 department for carrying out any of the duties or functions of the commission, 7 for department expenditures and for the provision of staff support by the 8 department.

9 "(3) When conducting its activities, and in making decisions relating to 10 those activities, the commission shall first consider the effect of its activities 11 and decisions on:

12 "(a) Improving the quality of service delivered by home care workers;

"(b) Ensuring adequate hours of service are provided to elderly persons
and persons with disabilities by home care workers; and

"(c) Ensuring that services, activities and purchases that are purchased
 by elderly persons and persons with disabilities other than home care ser vices, including adult support services, are not compromised or diminished.

"(4) The commission shall work with culturally diverse community-based organizations to train and certify community health workers and personal health navigators. The workers and navigators shall work as part of a multidisciplinary team under the direction of a licensed or certified health care professional. The commission shall recruit qualified home care workers who desire to be trained and certified as community health workers or personal health navigators.

<sup>25</sup> "(5) The commission shall ensure that each coordinated care organization <sup>26</sup> honors all of the terms and conditions of employment established by the <sup>27</sup> commission with respect to the community health workers and personal <sup>28</sup> health navigators referred by the commission. This subsection does not re-<sup>29</sup> quire a coordinated care organization to employ or contract with community <sup>30</sup> health workers and personal health navigators certified by the commission so long as the community health workers and personal health navigators
employed or otherwise retained by the organization meet competency standards established by the authority under ORS 414.665.

"(6) The commission has the authority to contract for services, lease, acquire, hold, own, encumber, insure, sell, replace, deal in and with and dispose
of real and personal property in its own name.

"(7) As used in this section, 'community health worker,' 'coordinated care
organization' and 'personal health navigator' have the meanings given those
terms in ORS 414.025.

"SECTION 3. ORS 410.604, as amended by section 6, chapter 75, Oregon
 Laws 2018, is amended to read:

"410.604. (1) The Home Care Commission shall ensure the quality of home
 care services by:

"(a) Establishing qualifications for home care workers and personal support workers, with the advice and consent of the Department of Human
Services, to ensure the effective delivery of home care services by a qualified,
committed, experienced and well-trained workforce;

"(b) Conducting orientation sessions for home care workers and personal
 support workers;

"(c) Ensuring training opportunities for home care workers and personal
 support workers in accordance with section 2, chapter 75, Oregon Laws 2018;
 "(d) Providing training opportunities to elderly persons and persons with
 disabilities who employ home care workers or personal support workers;

"(e) Establishing the home care registry and maintaining the registry
with qualified home care workers and personal support workers;

26 "(f) Providing routine, emergency and respite referrals of home care 27 workers and personal support workers;

"(g) Entering into contracts with public and private organizations and
 individuals for the purpose of obtaining or developing training materials and
 curriculum or other services as may be needed by the commission;

"(h) Establishing occupational health and safety standards for home care workers and personal support workers, in accordance with ORS 654.003 (3), and informing home care workers and personal support workers of the standards; [and]

"(i) Establishing a process to investigate all complaints, including
those received under section 1 of this 2019 Act, and compiling and
making publicly available a list of every home care worker for whom
the commission substantiated a complaint:

9 "(A) Of abuse or neglect of an elderly person or a person with a
10 disability; or

"(B) Involving conduct that affected the health, safety or well being
 of an elderly person or a person with a disability; and

"[(*i*)] (**j**) Working on its own, if resources are available, or cooperatively with area agencies and state and local agencies to accomplish the duties listed in paragraphs (a) to [(*h*)] (**i**) of this subsection.

"(2)(a) The commission shall enter into an interagency agreement with 16 the department to contract for a department employee to serve as executive 17 director of the commission. The executive director shall be appointed by the 18 Director of Human Services in consultation with the Governor and subject 19 to approval by the commission, and shall serve at the pleasure of the Direc-20tor of Human Services. The commission may delegate to the executive di-21rector the authority to act on behalf of the commission to carry out its 22duties and responsibilities, including but not limited to: 23

<sup>24</sup> "(A) Entering into contracts or agreements; and

"(B) Taking reasonable or necessary actions related to the commission's
role as employer of record for home care workers and personal support
workers under ORS 410.612.

(b) The commission shall enter into an interagency agreement with the department for carrying out any of the duties or functions of the commission, for department expenditures and for the provision of staff support by the 1 department.

"(3) When conducting its activities, and in making decisions relating to
those activities, the commission shall first consider the effect of its activities
and decisions on:

"(a) Improving the quality of service delivered by home care workers and
personal support workers;

"(b) Ensuring adequate hours of service are provided to elderly persons
and persons with disabilities by home care workers and personal support
workers; and

"(c) Ensuring that services, activities and purchases that are purchased
 by elderly persons and persons with disabilities other than home care services, including adult support services, are not compromised or diminished.

"(4) The commission shall work with culturally diverse community-based organizations to train and certify community health workers and personal health navigators. The workers and navigators shall work as part of a multidisciplinary team under the direction of a licensed or certified health care professional. The commission shall recruit qualified home care workers and personal support workers who desire to be trained and certified as community health workers or personal health navigators.

"(5) The commission shall ensure that each coordinated care organization 20honors all of the terms and conditions of employment established by the 21commission with respect to the community health workers and personal 22health navigators referred by the commission. This subsection does not re-23quire a coordinated care organization to employ or contract with community 24health workers and personal health navigators certified by the commission 25so long as the community health workers and personal health navigators 26employed or otherwise retained by the organization meet competency stan-27dards established by the authority under ORS 414.665. 28

29 "(6) The commission has the authority to contract for services, lease, ac-30 quire, hold, own, encumber, insure, sell, replace, deal in and with and dispose 1 of real and personal property in its own name.

"(7) As used in this section, 'community health worker,' 'coordinated care
organization' and 'personal health navigator' have the meanings given those
terms in ORS 414.025.

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"SECTION 4. ORS 443.315 is amended to read:

"443.315. (1) A person may not establish, manage or operate an in-home
care agency or purport to manage or operate an in-home care agency without
obtaining a license from the Oregon Health Authority.

9 "(2) The authority shall establish classification requirements and quali-10 fications for licensure under this section by rule. The authority shall issue 11 a license to an applicant that has the necessary qualifications and meets all 12 requirements established by rule, including the payment of required fees. An 13 in-home care agency shall be required to maintain administrative and pro-14 fessional oversight to ensure the quality of services provided.

"(3) Application for a license required under subsection (1) of this section
shall be made in the form and manner required by the authority by rule and
shall be accompanied by any required fees.

"(4) A license may be granted, or may be renewed annually, upon paymentof a fee as follows:

20 "(a) For the initial licensure of an in-home care agency that is classified 21 as:

<sup>22</sup> "(A) Limited, the fee is \$2,000.

23 "(B) Basic, the fee is \$2,250.

<sup>24</sup> "(C) Intermediate, the fee is \$2,500.

<sup>25</sup> "(D) Comprehensive, the fee is \$3,000.

26 "(b) There is an additional fee of \$1,250 for the initial licensure of each

27 subunit in any classification of in-home care agency.

<sup>28</sup> "(c) For the renewal of a license classified as:

<sup>29</sup> "(A) Limited or basic, the fee is \$1,000.

30 "(B) Intermediate, the fee is \$1,250.

1 "(C) Comprehensive, the fee is \$1,500.

"(d) There is an additional fee of \$1,000 for the renewal of licensure for
each subunit in any classification of in-home care agency.

4 "(e) For a change of ownership at a time other than the annual renewal5 date:

6 "(A) The fee is \$350; and

7 "(B) There is an additional fee of \$350 for each subunit.

8 "(5) A license issued under this section is valid for one year. A license 9 may be renewed by payment of the required renewal fee and by demon-10 stration of compliance with requirements for renewal established by rule.

11 "(6) A license issued under this section is not transferable.

"(7) The authority shall conduct an on-site inspection of each in-home
 care agency prior to services being rendered and once every [*three*] **two** years
 thereafter as a requirement for licensing.

15 "(8) In lieu of the on-site inspection required by subsection (7) of this 16 section, the authority may accept a certification or accreditation from a 17 federal agency or an accrediting body approved by the authority that the 18 state licensing standards have been met, if the in-home care agency:

"(a) Notifies the authority to participate in any exit interview conducted
by the federal agency or accrediting body; and

"(b) Provides copies of all documentation concerning the certification or
 accreditation requested by the authority.

<sup>23</sup> "SECTION 5. ORS 443.325 is amended to read:

"443.325. (1) The Oregon Health Authority may impose a civil penalty and may deny, suspend or revoke the license of any in-home care agency licensed under ORS 443.315 for failure to comply with ORS 443.305 to 443.350 or with rules adopted thereunder.

"(2) The authority may deny, suspend or revoke the license of any inhome care agency licensed under ORS 443.315:

30 "(a) For failure to comply with ORS 443.004; or

"(b) If the Bureau of Labor and Industries reports to the authority
under ORS 653.261 a significant pattern of violations of ORS 653.261 by
the in-home care agency.

"(3) As used in this section, a 'failure to comply with ORS 443.305 to
443.350' includes, but is not limited to:

6 "[(1)] (a) Failure to provide a written disclosure statement to the client 7 or the client's representative prior to in-home care services being rendered;

8 "[(2)] (b) Failure to provide the contracted in-home care services; or

9 "[(3)] (c) Failure to correct deficiencies identified during an inspection
10 by the authority.

11 "SECTION 6. ORS 443.340 is amended to read:

"443.340. The Oregon Health Authority shall adopt administrative rules
necessary for the implementation and administration of ORS 443.305 to
443.350. [*These*] **The** rules [*shall*] **must** include[, *but are not limited to*,]:

"(1) A requirement that an in-home care agency [must] conduct criminal
 background checks on all individuals employed by or contracting with the
 agency as in-home caregivers; and

"(2) The minimum number of hours of orientation, training and
 competency assessment, appropriate to the level of care to be pro vided, that a caregiver must receive before providing in-home care
 services.

<sup>22</sup> "SECTION 7. ORS 653.261 is amended to read:

"653.261. (1)(a) The Commissioner of the Bureau of Labor and Industries 23may adopt rules prescribing such minimum conditions of employment, ex-24cluding minimum wages, in any occupation as may be necessary for the 25preservation of the health of employees. The rules may include, but are not 26limited to, minimum meal periods and rest periods, and maximum hours of 27work, but not less than eight hours per day or 40 hours per workweek; 28however, after 40 hours of work in one workweek overtime may be paid, but 29 in no case at a rate higher than one and one-half times the regular rate of 30

pay of the employees when computed without benefit of commissions, overrides, spiffs and similar benefits.

"(b) As used in this subsection, 'workweek' means a fixed period of time established by an employer that reflects a regularly recurring period of 168 hours or seven consecutive 24-hour periods. A workweek may begin on any day of the week and any hour of the day and need not coincide with a calendar week. The beginning of the workweek may be changed if the change is intended to be permanent and is not designed to evade overtime requirements.

"(2) Rules adopted by the commissioner pursuant to subsection (1) of this section do not apply to individuals employed by this state or a political subdivision or quasi-municipal corporation thereof if other provisions of law or collective bargaining agreements prescribe rules pertaining to conditions of employment referred to in subsection (1) of this section, including meal periods, rest periods, maximum hours of work and overtime.

"(3) Rules adopted by the commissioner pursuant to subsection (1) of this section regarding meal periods and rest periods do not apply to nurses who provide acute care in hospital settings if provisions of collective bargaining agreements entered into by the nurses prescribe rules concerning meal periods and rest periods.

"(4)(a) The commissioner shall adopt rules regarding meal periods for employees who serve food or beverages, receive tips and report the tips to the employer.

"(b) In rules adopted by the commissioner under paragraph (a) of this subsection, the commissioner shall permit an employee to waive a meal period. However, an employer may not coerce an employee into waiving a meal period.

"(c) Notwithstanding ORS 653.256 (1), in addition to any other penalty
provided by law, the commissioner may assess a civil penalty not to exceed
\$2,000 against an employer that the commissioner finds has coerced an em-

ployee into waiving a meal period in violation of this subsection. Each violation is a separate and distinct offense. In the case of a continuing
violation, each day's continuance is a separate and distinct violation.

"(d) Civil penalties authorized by this subsection shall be imposed in the
manner provided in ORS 183.745. All sums collected as penalties under this
subsection shall be applied and paid over as provided in ORS 653.256 (4).

"(5) If requested by the Oregon Health Authority, the commissioner
shall provide an annual report to the authority of violations by any
in-home care agency, as defined in ORS 443.305, of the rules adopted
under this section.

"SECTION 8. Section 2, chapter 75, Oregon Laws 2018, is amended to
 read:

"Sec. 2. (1) The Department of Human Services, acting on behalf of and 13 subject to the approval of the Home Care Commission, shall adopt by rule 14 minimum training standards for home care workers and personal support 15 workers and shall establish procedures for testing and monitoring home 16 care workers and personal support workers on their mastery of the skills and 17 knowledge to be acquired through the training. The rules must require a 18 home care worker and a personal support worker to complete a num-19 ber of hours, as prescribed by the department, of orientation, training 20and competency assessment, appropriate to the level of care to be 21provided, before a home care worker or a personal support worker may 22provide home care services. 23

"(2) The department shall provide training on the mandatory training topics established under this subsection and on any other training that the department or the commission deems appropriate for the professionalization of home care workers and personal support workers. The training provided pursuant to this section may include, but is not limited to, training on the following topics:

30 "(a) Safety and emergency measures.

1 "(b) Understanding the requirements for providers paid with Medicaid 2 funds.

3 "(c) Providing person-centered care.

"(d) Understanding how to support the physical and emotional needs of
the individual who is receiving care.

6 "(e) Managing medications.

7 "(f) Providing personal care and assistance with activities of daily living.

8 "(3) The training provided in accordance with this section must be ge-9 ographically accessible in all areas of this state and culturally appropriate 10 for workers of all language abilities.

11 "(4) In developing the training provided under this section, the depart-12 ment shall:

"(a) Consider the needs of each worker type, including workers who are
 relatives of the individuals receiving care, workers who provide respite care,
 experienced workers and new workers; and

"(b) Strive to align the training with the training requirements for careproviders in other long term care settings.

"(5) The testing and monitoring of home care workers and personal
 support workers under subsection (1) of this section must include, but
 is not limited to, a quarterly:

"(a) Visit to a home care worker or personal support worker on site
to evaluate the worker's:

"(A) Ability to use the skills and knowledge acquired through the
 training; and

"(B) Provision of safe and appropriate care to an elderly person or
 a person with a disability; and

"(b) Review of the home care worker's or personal support worker's
billings for services paid by the medical assistance program to ensure
that the billings are as allowed by law.

(5) (6) The department shall consult with the Developmental Disabili-

ties and Mental Health Committee, unions representing home care workers
and personal support workers and other stakeholders in the adoption of rules
to carry out the provisions of this section.".

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