



Oregon

Kate Brown, Governor

Oregon Department of Corrections

Office of the Director

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April 3, 2019

The Honorable Jackie Winters, Co-Chair
The Honorable Carla Piluso, Co-Chair
Ways and Means Subcommittee on Public Safety
900 Court Street NE
H-170 State Capitol
Salem, OR 97301-4048

RE: Responses to questions asked during Ways and Means presentation on March 27, 2019

Dear Ways and Means Public Safety Subcommittee Members,

Thank you for the opportunity to provide information related to the Oregon Department of Corrections (DOC). Below are responses to the questions asked or information requested during our presentation to the Ways and Means Public Safety Subcommittee on Wednesday, March 27, 2019.

1. Actual cost study details

Cost study details are included in the three attached documents.

2. Which programs have been cut off from funding?

During the 2017-19 biennium, 30 community-based programs have been evaluated to determine whether or not they are evidence-based. The vast majority have been found to need technical assistance, training, or support to correct areas related to clinical oversight, skill-based curriculum, fidelity to curriculum, and other practices not supported by science as effective in changing behavior.

DOC does not directly cut off funding to non-compliant programs. Technical assistance is provided, along with training and additional evaluations, to ensure programs are moving forward with corrective action. Local Supervisory Authorities (LSAs), at times, suspend referrals to programs that are either unwilling or unable to make changes. This typically results in programs opening up their willingness to change practices and start receiving referrals once again.

During the current biennium, DOC is aware of three programs that had referrals suspended:

- Allies in Change (Washington and Clackamas Counties);

- Choices (Marion County); and
- OnTrack (Jackson County).

As LSAs are not required to report suspensions to DOC, this should not be considered an exhaustive list. In addition, some or all of these programs may be receiving referrals again at the discretion of the LSA. DOC remains committed to assisting programs to ensure improvements are made and referrals can continue.

Thank you, for the opportunity to respond to your questions. If you wish to further discuss any of the items listed above, we would be happy to schedule a time to meet.

Sincerely,

A handwritten signature in cursive script, appearing to read "Colette S. Peters". The signature is written in black ink and is positioned below the word "Sincerely,".

Colette S. Peters
Director

Oregon Department of Corrections

Community Corrections Division

2018 Actual Cost Study of Community Supervision (ORS 423.486(1))

Total Hours per Month based on 2017 Statewide Time Study

Caseload Level	Supervised Caseload (excludes Local Control)	Avg Hours Per Month (per client)	Supervised Caseload Case Management Hours per Month	Total Hours Per Month (Statewide)			Total Caseload Hours per Month
		Case Management		Investigations	Pre-Release Case Mgmt	Pre-Sentence Assessments	
New	3,904	2.96	11,556.82	67	1,160	730	13,513
High	5,828	2.4	13,987.99	100			14,088
Med	9,850	1.56	15,366.51	169			15,535
Low	16,237	0.67	10,879.01	278			11,157
Totals	35,820		51,790				54,293

Total Hourly Rate based on 2018 Statewide Financial Study

	Annual Cost	Hourly Rate*
Supervision: Total County GF & Grant in Aid Budget Cost Study Summary spreadsheet. (adjusted for 10% Indirect) - FY18	\$117,863,985	\$180.91
Sanctions, Services, and Treatment: Total County GF & Grant in Aid Budget from Cost Study Summary spreadsheet - FY18	\$43,689,441	\$84.40
Total Hourly Rate		\$265.31

Daily Rates based on 2017-18 Statewide Time and Financial Studies

Caseload Level	Avg Monthly Case Mgmt Hours per Client	Monthly Cost per Client**	Community Caseload Daily Rates***
New	2.96	\$785.32	\$25.82
High	2.40	\$636.74	\$20.93
Medium	1.56	\$413.88	\$13.61
Low	0.67	\$121.21	\$3.98

* **Hourly Rate** Calculations: *Supervision Hourly Rate* is the **Annual Cost** divided by 12 (months) then divided by the **Total Caseload Hours per Month**. *Sanctions, Services, and Treatment Hourly Rate* is the **Annual Cost** divided by 12 (months) then divided by the **Caseload Hours per Month** for New/High/Medium only.

** **Monthly Cost per Client:** New/High/Medium calculated using the **Total Hourly Rate**. Low calculated using the *Supervision Hourly Rate* Only

*** **Community Caseload Daily Rates** Calculation: **Monthly Cost per Client** multiplied by 12 (months) then divided by 365 (days).

COMMUNITY CORRECTIONS**2019-2021 Budget Development****Capitated Rate Calculation (daily rates based on the 2018 Actual Cost Study)**

Type of case	%	Cases	2019-21 Daily Rates	Cost per Day
Local Control	2.0%	634.00	114.710	72,726.14
New	10.2%	3,274.33	25.819	84,538.81
High	17.8%	5,694.00	20.934	119,198.47
Medium	29.4%	9,423.67	13.607	128,229.12
Low	40.6%	13,015.00	3.985	51,863.77
TOTAL	100.0%	32,041.00		\$ 456,556.32
Capitated Rate				\$ 14.249

Oregon Department of Corrections

2019-2021 Agency Request Budget (April 2018 Forecast)

Community Corrections Grant in Aid Budget Calculation (based on the 2018 Actual Cost Study)

Month	Forecasted Caseload		Total Forecast Caseload	Days per Month	Supervision & Local Control	CMPO	Total GF Budget
	Supervision & Local Control	CMPO			Funding Per Day	Funding Per Day	
					\$ 14.249	\$ 3.985	
Jul-19	31,479	1,140	32,619	31	\$ 13,904,872	\$ 140,827	\$ 14,045,699
Aug-19	31,494	1,139	32,633	31	13,911,498	140,704	14,052,202
Sep-19	31,509	1,139	32,648	30	13,469,152	136,165	13,605,317
Oct-19	31,525	1,138	32,663	31	13,925,191	140,580	14,065,771
Nov-19	31,536	1,138	32,674	30	13,480,694	136,045	13,616,739
Dec-19	31,548	1,137	32,685	31	13,935,351	140,457	14,075,808
Jan-20	31,567	1,137	32,704	31	13,943,744	140,457	14,084,201
Feb-20	31,580	1,136	32,716	29	13,049,519	131,279	13,180,798
Mar-20	31,594	1,136	32,730	31	13,955,670	140,333	14,096,003
Apr-20	31,609	1,135	32,744	30	13,511,899	135,687	13,647,586
May-20	31,622	1,135	32,757	31	13,968,038	140,210	14,108,248
Jun-20	31,634	1,135	32,769	30	13,522,586	135,687	13,658,273
Jul-20	31,649	1,134	32,783	31	13,979,965	140,086	14,120,051
Aug-20	31,661	1,134	32,795	31	13,985,265	140,086	14,125,351
Sep-20	31,673	1,133	32,806	30	13,539,257	135,448	13,674,705
Oct-20	31,685	1,133	32,818	31	13,995,867	139,962	14,135,829
Nov-20	31,693	1,132	32,825	30	13,547,807	135,328	13,683,135
Dec-20	31,702	1,130	32,832	31	14,003,376	139,592	14,142,968
Jan-21	31,719	1,130	32,849	31	14,010,885	139,592	14,150,477
Feb-21	31,729	1,130	32,859	28	12,658,983	126,083	12,785,066
Mar-21	31,741	1,129	32,870	31	14,020,603	139,468	14,160,071
Apr-21	31,753	1,129	32,882	30	13,573,455	134,969	13,708,424
May-21	31,766	1,128	32,894	31	14,031,646	139,345	14,170,991
Jun-21	31,779	1,128	32,907	30	13,584,569	134,850	13,719,419
Average	31,635	1,134	32,769	731	\$ 329,509,892	\$ 3,303,240	\$ 332,813,132

2017 Statewide Time Study

The *Statewide Time Study (STS)* is one of two components of the *Actual Cost Study (ACS)*, which is required in ORS 423.486(1) and is used to calculate statewide community corrections funding for the next 3 biennia (6 years). The second component is the *Statewide Financial Study (SFS)*.

All time is in hours, average time is hours per individual per month.

* Gender data not available **Participants may be at more than one supervision level during study period, thus the sum of the H/M/L categories will exceed the total participants number.

Statewide Time Study Data Used in Actual Cost Study

H/M/L <i>(Supervision Level)</i>			New Cases/Intakes	Investigations*	Pre-release Case Mgmt.	Presentence Asses.*
Avg. Hours/mo			Avg. Hours/mo	Total Hours	Total Hours	Total Hours
High	Medium	Low	2.96	1,226	2,319	1,459
2.40	1.56	0.67				
Total Participants**			Total Participants	Total Participants	Total Participants	Total Participants
3,026			344	564	1,941	427

2017 Statewide Time Study Summary

High/Medium/Low

- Description – Targeted/individualized case management activities associated with identified H/M/L adults on supervision
- Duration – September 5 through November 3, 2017
- Total Participants – 3026 (10% of statewide population)
- Total Hours – 9,626

New Cases/Intake

- Description - All activities associated with a new probation case or DOC release occurring during the first 60 days of supervision
- Duration – Any new case between September 5 and November 3, 2017; study for 60 days
- Total Participants – 344 (10% of new intakes)
- Total Hours – 2,034

Investigations

- Description – All investigative activity conducted for a county to county transfer or incoming compact transfer (offenders moving to Oregon from other states)
- Duration – September 5 through November 3, 2017
- Total Participants - 564
- Total Hours – 1,226

Pre-Release Case Management

- Description – All case management activities performed by community corrections staff such as Reach Ins, Pre-Release field investigations, Community Notification, etc. occurring prior to release from a county jail or DOC prison facility
- Duration – September 5 through November 3, 2017
- Total Participants – 1,941
- Total Hours – 2,319

Pre-Sentence Assessments

- Description - Assessments and reports performed pre-sentence as requested by the court such as PSIs, 3194 Assessments, Family Sentencing Alternative Pilot Program assessment, etc
- Duration – Any assessments assigned between September 5 and November 3, 2017
- Total Participants - 427
- Total Hours – 1,459