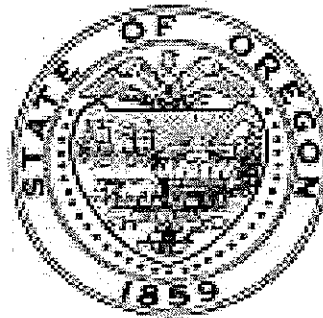


OREGON GOVERNMENT

ETHICS COMMISSION



2019 - 2021 GOVERNOR'S BUDGET

OREGON GOVERNMENT ETHICS COMMISSION
2019-2021 Governor's Budget

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CERTIFICATION

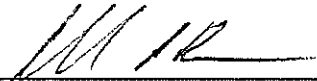
I hereby certify that the accompanying summary and detailed statements are true and correct to the best of my knowledge and belief and that the accuracy of all numerical information has been verified.

Oregon Government Ethics Commission

3218 Pringle Rd SE, Suite 220, Salem, OR 97302

Agency Name

Agency Address



Signature Richard Burke
by Ronald A. Bersin, Executive Director

Chairperson

Title

____ Agency Request

Governor's

____ Legislatively Adopted

Budget Page ii

Legislative Action

SB 5520 A BUDGET REPORT and MEASURE SUMMARY

Carrier: Sen. Johnson

Joint Committee On Ways and Means

Action Date: 04/14/17

Action: Do pass with amendments. (Printed A-Eng.)

Senate Vote

Yeas: 11 - Devlin, Frederick, Girod, Hansell, Johnson, Manning Jr, Monroe, Roblan, Steiner Hayward, Thomsen, Winters

Exc: 1 - DeBoer

House Vote

Yeas: 9 - Gomberg, Holvey, McLane, Nathanson, Smith G, Smith Warner, Stark, Whisnant, Williamson

Exc: 2 - Huffman, Rayfield

Prepared By: Robert Otero, Department of Administrative Services

Reviewed By: Amanda Beitel, Legislative Fiscal Office

**Oregon Government Ethics Commission
2017-19**

Budget Summary*

	2015-17 Legislatively Approved Budget ⁽¹⁾	2017-19 Current Service Level	2017-19 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
				\$ Change	% Change
Other Funds Limited	\$ 2,791,303	\$ 2,704,274	\$ 2,733,861	\$ (57,442)	-2.1%
Total	\$ 2,791,303	\$ 2,704,274	\$ 2,733,861	\$ (57,442)	-2.1%

Position Summary

Authorized Positions	9	9	9	0
Full-time Equivalent (FTE) positions	8.88	9.00	9.00	0.12

⁽¹⁾ Includes adjustments through December 2016

* Excludes Capital Construction expenditures

Summary of Revenue Changes

The funding for the Oregon Government Ethics Commission comes from an assessment equally shared between state agencies and local government entities. State agencies are assessed based upon their number of full-time equivalent positions. Local entities are assessed based upon a formula connected to the Municipal Audit charge collected by the Secretary of State. A portion of these assessment revenues originate as General Fund. Additionally, the Commission collects fines and forfeitures through the imposition of civil penalties. These revenues are transferred to the General Fund and are not used to support agency operations. The estimated Other Funds ending balance for the Commission is \$517,093, which provides a four-and-a-half-month operating reserve.

Summary of General Government Subcommittee Action

The mission of the Government Ethics Commission is to impartially administer and enforce Oregon's government ethics laws. Oregon Government Ethics law, Lobbying Regulation law, and the executive session provisions of Oregon Public Meetings law are within the regulatory jurisdiction of the Commission.

The Subcommittee approved a budget of \$2,733,861 Other Funds. The approved budget is a 2.1 percent decrease from the 2015-17 Legislatively Approved Budget and includes nine positions (9.00 FTE).

The Subcommittee approved the following recommendations:

- Package 90, Analyst Adjustment - Increase Other Funds expenditure limitation by \$25,308 to reclassify the agency Executive Director position from a Principal Executive/Manager D to a Principal Executive/Manager E. The agency has continued to grow over the last several biennia due to changes in government ethics law and an increased focus on government transparency. As the single management position for the agency, this growth, including additional full-time equivalency (FTE) positions and board members, has resulted in increased responsibilities and visibility for the Executive Director. The Department of Administrative Services, Chief Human Resource Office (DAS, CHRO) determined the position meets the Principal Executive/Manager E classification.
- Package 101, Reclassification Package - Increase Other Funds expenditure limitation by \$9,566 to reclassify the staff position that supports the Electronic Filing System from a Program Analyst 1 to an Operations and Policy Analyst 2. The Electronic Filing System, launched in December 2015, is used to collect and make available to the public: Statements of Economic Interest (SEIs), lobbyist registrations, lobbying expenditure reports and legal expense trust fund reports. In response to changes in the duties of the position and at the request of the agency, DAS CHRO reviewed the position and determined the expanded job duties meet the Operations and Policy Analyst 2 classification.
- Package 801, LFO Analyst Adjustments - Technical adjustment decreasing Other Funds expenditure limitation by \$5,287 to adjust the amount included in the budget for the Electronic Case Management System subscription fees. The package also increases the Other Funds beginning balance by \$76,645 to account for updated projections for the remainder of the 2015-17 biennium and reduces assessment revenue by \$200,000, for a total 2017-19 assessment of \$2,524,954.

Summary of Performance Measure Action

See attached Legislatively Adopted 2017-19 Key Performance Measures form.

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

Oregon Government Ethics Commission
Robert Otero - 503-986-8670

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
2015-17 Legislatively Approved Budget at Dec 2016 *	\$ -	\$ -	\$ 2,791,303	\$ -	\$ -	\$ -	2,791,303	9	8.88
2017-19 Current Service Level (CSL)*	\$ -	\$ -	\$ 2,704,274	\$ -	\$ -	\$ -	2,704,274	9	9.00
SUBCOMMITTEE ADJUSTMENTS (from CSL)									
SCR 010 - General Program									
Package 090: Analyst Adjustment									
Personal Services	\$ -	\$ -	\$ 25,308	\$ -	\$ -	\$ -	25,308	0	0.00
Package 101: Reclassification Package									
Personal Services	\$ -	\$ -	\$ 9,566	\$ -	\$ -	\$ -	9,566	0	0.00
Package 801: LFO Analyst Adjustments									
Services and Supplies	\$ -	\$ -	\$ (5,287)	\$ -	\$ -	\$ -	(5,287)		
TOTAL ADJUSTMENTS	\$ -	\$ -	\$ 29,587	\$ -	\$ -	\$ -	29,587	0	0.00
SUBCOMMITTEE RECOMMENDATION *	\$ -	\$ -	\$ 2,733,861	\$ -	\$ -	\$ -	2,733,861	9	9.00
% Change from 2015-17 Leg Approved Budget	0.0%	0.0%	-2.1%	0.0%	0.0%	0.0%	-2.1%	0.0%	1.4%
% Change from 2017-19 Current Service Level	0.0%	0.0%	1.1%	0.0%	0.0%	0.0%	1.1%	0.0%	0.0%

*Excludes Capital Construction Expenditures

Legislatively Approved 2017 - 2019 Key Performance Measures

Published: 4/13/2017 10:53:37 AM

Agency: Government Ethics Commission

Mission Statement:

The Oregon Government Ethics Commission will impartially and effectively administer and enforce Oregon's government ethics laws for the benefit of Oregon's citizens. The Commission will emphasize education in achieving its mission. The regulatory jurisdiction of the Oregon Government Ethics Commission covers provisions of ORS Chapter 244, Oregon Government Ethics law; ORS 171.725 to 171.785 and 171.992, Lobby Regulation law; and executive session provisions of Oregon Public Meetings law, ORS 192.660

Legislatively Approved KPMs	Metrics	Agency Request	Last Reported Result	Target 2018	Target 2019
1. Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.		Approved	98.20%	85%	85%
2. Quality of investigations completed		Approved	5	5	5
3. Training Program's Effectiveness		Approved	69.64%	70%	70%
4. Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.		Approved	100	100	100
5. Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Overall	Approved	77	95	95
	Accuracy		78	85	85
	Timeliness		85	90	90
	Helpfulness		76	95	95
	Expertise		76	90	90
	Availability of Information		73	75	75
6. Governance Best Practices - Percent of total best practices met by the commission.		Approved	99	100	100

LFO Recommendation:

The Legislative Fiscal Office recommends approval of the proposed Key Performance Measures and targets.

SubCommittee Action:

The General Government Subcommittee approved the Legislative Fiscal Office recommendations.

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Agency Summary

BUDGET NARRATIVE

Oregon Government Ethics Commission

Agency Summary

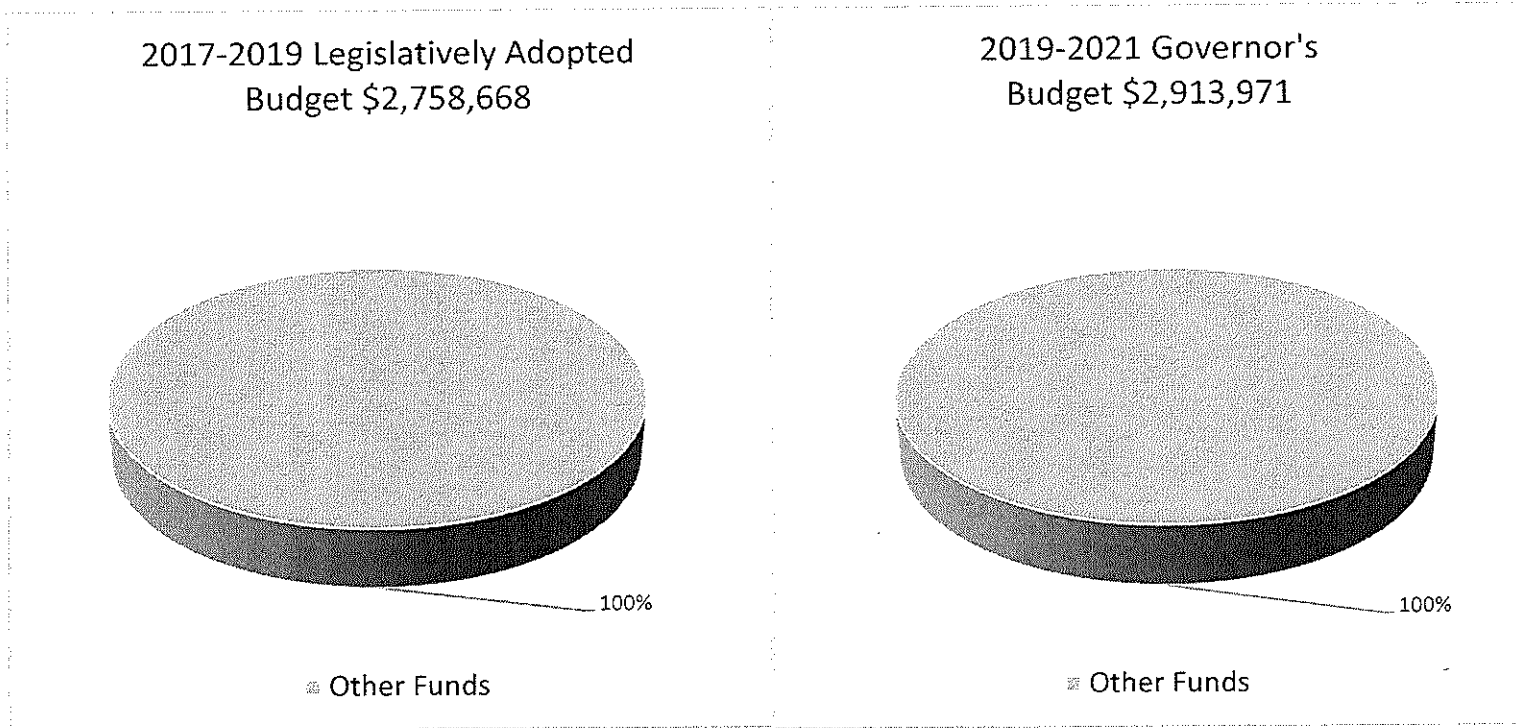
In 1974, more than 70 percent of the voters approved a statewide ballot measure to create the Oregon Government Ethics Commission. The ballot measure also established a set of laws (ORS Chapter 244) requiring financial disclosure by certain officials and creating a process to deal with conflicts of interest. The drafters of the original laws recognized that conflicts of interest are inevitable in any government that relies on citizen lawmakers.

The Government Ethics Commission issues advisory opinions and makes preliminary findings of violations of Oregon Government Ethics law and the Executive Session provisions of Public Meetings law (ORS 192.660). It also oversees the lobbying regulations of ORS 171.725-171.785. Staff members train public officials and lobbyists, provide written and oral advice to public officials, and investigate allegations of violations of the statutes within the Commission's jurisdiction.

The Commission has nine volunteer members. Eight members are appointed by the Governor upon recommendation by the Democratic and Republican leaders of the Oregon House and Senate. The Governor selects one member directly. All members must be confirmed by the Senate, and no more than three of the members may be from the same political party. The law allows members to serve only one four-year term.

The Government Ethics Commission is administered by an Executive Director selected by the commissioners. The Commission also employs investigative, educational, program and support staff, who are appointed by the Executive Director.

Oregon Government Ethics Commission



BUDGET NARRATIVE

MISSION STATEMENT AND STATUTORY AUTHORITY

The mission of the Oregon Government Ethics Commission (OGEC) is to impartially and effectively administer and enforce Oregon's government ethics laws for the benefit of Oregon's citizens. The Commission emphasizes education in achieving its mission.

The Commission was originally established as the Oregon Government Ethics Commission as the result of a referral to voters in the 1974 general election. The measure passed by a margin of three to one.

The regulatory jurisdiction of the Oregon Government Ethics Commission includes ORS Chapter 244, Oregon Government Ethics law; ORS 171.725 to 171.785 and 171.992, Lobby Regulation law; and the executive session provisions of Oregon Public Meetings law, ORS 192.660. Additional information regarding the implementation of those statutes is contained in Oregon Administrative Rules Division 199, the Oregon Government Ethics Commission administrative rules.

The Commission focuses on training public officials, lobbyists and the public on Oregon Government Ethics law, lobbying regulations, and the executive session provisions of public meeting law so that violations can be avoided.

AGENCY PLANS

2017-2027 Ten-Year Plan

The primary focus of the agency's ten-year plan is on education and transparency, with enforcement as a secondary focus. This was a fundamental shift for the agency that began around 2007, since the agency's primary role prior to that date was enforcement.

The OGEC worked with the 2007 Legislature to develop Senate Bill 10, which created an assessment-based funding source for the agency's biennial budget. The funding model assesses all state agencies based on FTE for 50% of the agency's operating budget. The remaining 50% is assessed on local governments based on a percentage of their municipal audit fee. The Department of Administrative Services accounts for the collection of the assessments and transfers the moneys to the agency. The assessment model was a collaborative effort among all local governments. The concept of using the municipal audit fee came directly from the cities, counties and special districts. The agency continues to monitor the usage of the agency's services (state agencies versus local governments) to alter the funding model to ensure an equitable division. Despite the fact that OGEC had to initiate collection efforts to achieve compliance from a few large jurisdictions, compliance with the assessment remains well over 99% for the 2017-19 biennium.

Since 2007, the agency has focused on being proactive, directing its resources toward training public officials and lobbyists on the statutes within the agency's jurisdiction rather than waiting until a violation is alleged. The Commission's two full-time trainers, positions funded by the Legislature in 2007 and 2009, devote their time to training public officials and lobbyists, as well as providing written and oral advice to public officials who call or write to the agency with questions about the application of the statutes within its jurisdiction. A new position, funded in 2015, adds an additional 0.4 FTE focused on education and advice. Since many of the complaints the agency receives are a result of public officials not knowing or understanding the restrictions set forth by the ethics or public meeting laws, this education and advice is crucial to avoid violations.

Over the next decade, the OGEC anticipates growth in several areas. First, the agency continues to increase the amount of training given to public officials each year and the platforms through which the training takes place. This includes web-based trainings and videos, as well as use of interactive technology during in-person training. The agency purchased 50 clickers and the associated software (Turning Point) that allows immediate, interactive participation by each audience member during training sessions, so that the trainers receive instant feedback on whether their teaching has been effective. This technology permits the trainers to adjust their methods and content

immediately and is a much more practical assessment measurement than prior post-training written reviews.

Second, the agency is reviewing and developing administrative rules, updating the ethics administrative rules and developing new administrative rules regarding executive session. The Legislature gave the agency authority to write rules on executive session in 2015. These new and updated rules will provide comprehensive guidance in areas of law that frequently create questions for public officials.

Third, as further detailed below, the agency is using improved technology to increase transparency and address shorter investigatory timelines mandated by statute. The electronic filing system for statements and reports filed with the agency is now online and immediately accessible by the public. A case management system was recently implemented to allow the public to access advice and the outcome of cases more quickly, as well as to improve agency efficiency through the consolidation of advice and streamlining of tasks related to investigations. The public is no longer required to submit a public records request as the information on completed cases is available on the Agency's website, as is approved written advice.

Senate Bill 10 (2007) required the agency to move its Lobbyist and Statement of Economic Interest filings to an electronic format. Due to budget reductions in the 2007-09 biennial budget, the agency ultimately proposed a one-time assessment for the 2013-15 biennium to fund the development and implementation of the electronic reporting system. The electronic reporting system will save local jurisdictions, businesses, and lobbyists money and time in filing their statutorily-required reports with the Commission. The electronic system serves Oregonians by making the information from these reports available on-line immediately and at no cost to them. The agency has eliminated the need for postage and printing of the paper reports. The system launched on time in December of 2015 for Lobbyist registrations. SEI filers and Lobbyist/Clients were able to file their reports on the system starting in first quarter 2016. The system has been a success and was recognized nationally as Innovation of the Year (2016) for the State Scoop Awards. The agency continues to receive praise from filers on the ease of filing their reports, and from the public on the ease of obtaining the information contained on these electronically filed reports. In 2019-21, the agency will add a feature that will allow the compilation of aggregate reports, which will provide the agency and members of the public with statistical data.

On the heels of the successful launch of the agency's Electronic Filing System, the agency was granted funds during the 2015-17 biennium to develop and launch a Case Management System (CMS) to electronically track and publish the outcome of investigations and the advice developed by the agency. The CMS system successfully launched in 2017 providing the public with online access to the results of completed cases. The public may also submit complaints online. In addition, the advice completed by the agency will be available online in a searchable format.

Because the advice is now publicly available, attorneys representing government agencies and public officials, members of the public, and public officials themselves are able to access the advice on their own, improving transparency and reducing some of the more common inquiries that take up staff time and resources. This helps staff ensure that advice the agency develops for one public body or public official is consistent with that given to other public bodies and officials. CMS will also be a tool used by the agency to complete preliminary reviews and investigations in a timely manner under the shortened timelines created by the Legislature in House Bill 2019 (2015). The timeline for preliminary reviews was shortened from 135 days to 30 days, resulting in a need for increased efficiency in addressing complaints as soon as they are received by the agency. The CMS has enabled the agency to meet these increased statutory deadlines. The CMS was recognized nationally as Innovation of the Year (2018) for the State Scoop Awards.

2019-21 Two-Year Plan

1. Training on and enforcing the following programs:

Administer Oregon Government Ethics law – ORS Chapter 244

This chapter prohibits public officials from using their official position for personal financial gain or for the financial gain of a relative or member of household, or for the financial gain of a business with which the public official or a relative or member of household is associated. It also addresses conflicts of interest, gifts that public officials may accept, and nepotism. The agency's functions related to this program include the dissemination of advisory opinions and informal advice, making training presentations, collecting annual Statement of Economic Interest (SEI) filings and investigating complaints. Training will include the provisions of Ethics law, including the changes from HB 2019 and 2020 (2015). SEI filers will continue to be trained on how to use the electronic reporting system (implemented on January 1, 2016) to file their annual SEI online. The information contained within the SEI is immediately available to the public on the agency's website at no cost. The agency also continues to create on-line training modules and webinars allowing public officials to obtain needed training at their own computers. The agency launched its Case Management System (CMS) on time in January 2017 to publish advice and the outcome of investigations in a searchable format on the agency website as required in legislation passed in the 2015 legislative session. 2015 legislation also reduced timeframes for preliminary reviews, and the electronic case management system helps the agency to meet those shortened deadlines.

Administer Lobbying Regulation law – ORS 171.725 – 171.785

These provisions require certain persons who lobby Legislative or Executive officials to register with the agency. The law also requires each lobbyist and each entity that utilizes a lobbyist to periodically report the amount of money they have spent in pursuit of their lobbying objectives. The registrations and quarterly filings are now done through the agency's electronic filing system. The system eliminates the need to mail forms to the lobbyists. They simply register their clients and file their quarterly reports on the agency's on-line system. The information contained within the reports is immediately available to the public on the agency's website. Agency functions under this program area include making training presentations to educate lobbyists on how to comply with the law. This provision also requires that the agency investigate complaints of suspected violations. Violations can result in civil penalties.

Administer the Executive Sessions provisions of Public Meetings law – ORS 192.660

This portion of law identifies 14 specific purposes for which the governing body of a public body may convene a non-public meeting. It is the only provision of Oregon Public Meetings law that is enforceable by a government agency. Agency functions under this program area include making training presentations in an attempt to help public officials comply with the law. The agency also investigates complaints of possible violations. If violations are found, civil penalties can be imposed on each member of a governing body who participates in an unauthorized executive session.

Training on the use of the new Case Management System

The Case Management System debuted in January 2017. In the 2019-21 biennium, the agency will need to continue to train users (public officials, public bodies and members of the public) on use of the public aspects of the system, including searching for advice, submitting online complaint forms and researching final dispositions of cases.

2. Environmental Factors

In 2005, the Oregon Law Commission was asked to review the ethics laws. With this review came many proposed changes to the laws in the 2007 legislative session. Some of the major changes were assessing public bodies for the operating costs of the OGEC, restricting gifts to no more than \$50.00 per year from a

source with a legislative or administrative interest, prohibiting the acceptance of entertainment by public officials, increasing the reporting frequency of lobbyists and entities that employ lobbyists, expanding the number of Statement of Economic Interest filers, expanding the agency's authority to promulgate administrative rules, and increasing the civil penalties for violations. The 2007 legislature also increased the agency's staffing to accommodate the increased workload created by the revisions of the laws. The agency promulgated administrative rules to bring clarity to the changes to ORS Chapter 244. Both formal and informal written opinions were issued and training sessions were conducted bringing clarity to the new provisions of ORS Chapter 244 and OAR Chapter 199.

The 2009 legislature made additional changes to the ethics laws, some of which were designed to fix problems that arose out of the 2007 legislation. These changes included removal of relatives and members of household from Statement of Economic Interest filings (SEI), a change to the definition of legislative and administrative interest, elimination of the Quarterly Statements filed by SEI filers, and others. Some of these changes dramatically increased the agency's workload by allowing staff to give informal advice to public officials and public bodies and providing protections for public officials who follow informal advice from the agency. The number of formal written opinions decreased, with public officials choosing to use the less formal staff advice. As public officials become increasingly aware of this option via training, staff field more questions on the informal level. Staff advice has been a major consumer of staff resources, to date, approximately 1.8 FTE, using the agency trainers and investigators, as well as the executive director. The agency's case management system, which was launched in January 2017, provides a platform to track advice given by staff.

In 2015, Governor Brown and the Legislature made additional changes to the ethics statutes in ORS Chapter 244. The most significant change for the agency's work was a shortening of the timeline for preliminary review, which is the first stage of investigating a complaint that alleges violations of the statutes within the agency's jurisdiction. This period is now 30 days long where it used to be 135 days. Agency investigators must work on a much shorter timeline. Since preliminary reviews are opened based on complaints, the agency has no control over the timing of the complaints and, at times, resources have been stretched to accommodate the new deadlines.

The agency was also given authority to write administrative rules for ORS 192.660, the executive session provisions of Public Meetings law. These rules will help public officials, public bodies and the public understand the statutes within the agency's jurisdiction. Another change in 2015 was the addition of a Compliance and Education Coordinator to the agency, a position that combines education and investigation, as well as work on administrative rules, advice and policy. During the last biennium, the Agency promulgated

and adopted administrative rules clarifying the provisions of executive session law. During the upcoming biennium, the Agency will propose new administrative rules and revise existing administrative rules to reflect the current state of the law.

As the State of Oregon focuses on transparency, the OGEC is looking for new ways to increase transparency, particularly how to increase public access to the many documents filed with or held by the Commission. The agency launched an Electronic Filing System in late 2015 and early 2016. This system allows public officials to file Statements of Economic Interest through the agency website. Lobbyists also register through the system, and lobbyists and their clients file quarterly expense reports online. All submissions are immediately available to the public. Additional information on cases and advice is publicly available online with the launch of the case management system in 2017. This allows the agency to publish advice and findings of violation online in a searchable format for the public. The result of these two electronic systems is that the work of the agency is much more visible and transparent to the people of the State of Oregon.

The agency maintains a significant commitment to education. In-person training sessions are conducted on location at state agencies, local government bodies and special districts. On-line webinars and training modules continue to train large groups of public officials from many different locations at the same time. Trainers also made instructional videos, available online, to train users on the new electronic filing system, revised pre-existing training materials to reflect changes in the law, and created new training handouts/technical assistance materials. The agency has partnered with the Department of Administrative Services to offer trainings to new members of Boards and Commissions, as well as new DAS management staff, and with the Oregon Health Authority to train new OHA staff as part of their onboarding. The training staff recently began working with Metro and the Department of Environmental Quality to develop and implement regular training programs for their agencies. In the next biennium, the agency will continue to increase the reach of training and to build transparency by making information more widely available.

The Commission also continues to adjudicate complaints alleging violations of the ethics laws (ORS Chapter 244), the executive session provisions of public meetings law (ORS 192.660), and the lobbying regulations (ORS 171.725-171.785). In 2017 the agency migrated its manual complaint process to an electronic Case Management System (CMS). Citizens now file complaints with the agency on-line through CMS. The system was built to include educational tutorials on the agency's jurisdiction. This education has reduced the number of complaints filed that are outside of the agency's statutorily-mandated jurisdiction. Also, CMS includes all advice issued by the agency. Reviewing this advice helps to educate public officials on the Ethics laws, therefore reducing violations. The agency will continue to monitor the effectiveness of its electronic systems.

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Complaints received	112	109	52	93	113

3. Agency Initiatives

The agency will continue to use education through formal training sessions, on-line training programs, formal written advice, and informal staff advice to educate public officials on the current state of the law and administrative rules in the 2019-21 biennium. The agency plans the following:

- Prepare and present training sessions *so that* public officials, lobbyists, and the public will have knowledge of the law (*intermediate outcome*)

Measure: Training Program's Effectiveness

- Prepare and issue advisory opinions and staff advice both upon request and by agency initiative *so that* public officials and lobbyists have knowledge of the law (*intermediate outcome*)

Measure: Percentage of statutory time used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.

The agency began implementing the new case management system in the 2015-17 biennium, and January 1, 2017 was the statutory date for publishing the outcome of investigations to the agency website, which was successfully accomplished. The implementation of this new system requires the agency to provide user instructions to public officials, public bodies, and members of the public to allow them to access the full range of what the system offers. The agency will also continue to improve the user experience with the electronic filing system, launched in early 2016, by responding to experiences reported by users and staff.

The high-level outcome of both initiatives is to reduce the number of possible violations of the law reported to the Commission, thereby contributing to the achievement of Oregon Benchmark number 35, Public Management Quality. The result also contributes to the agency's ten-year plan including improving government.

4. Criteria for 2019-2021 Budget Development

The Oregon Government Ethics Commission has a primary link to Oregon Benchmark number 35, Public Management Quality. The agency is also aligned with the 10-year outcome of improving government. A primary objective of the OGECE is to provide education and information to all persons in the state who are subject to the agency's jurisdiction, as well as the general public. This can be achieved through the proactive dissemination of educational information and through enforcement actions. The desired intermediate outcome is a decrease in the number of violations of state law committed by public officials and lobbyists. The desired high-level outcome is improving the quality of government and public management in Oregon.

The agency's ability to achieve these outcomes was significantly impaired because of funding reductions in the 2001-2003 and 2003-2005 biennia and again in 2008. The agency made progress on these outcomes with the increased funding that started in the 2009-11 biennium; however, the size of the agency, changes in the law, and the constantly changing roster of public officials throughout Oregon means that the task of training public officials is ongoing.

A new electronic filing system has relieved some of the pressure of quarterly and annual mailing and receipt of filings from public officials, lobbyists and lobbyist clients, but launching the system required significant staff resources to train and assist filers. This continues to improve with each round of filing.

The agency completed a second electronic system, a case management system, to address new timelines and legislative requirements for publication of certain agency documents. Both electronic systems increase governmental transparency because they make information from filings, advice and cases available to the public online. This also alleviates the resources needed to respond to the frequent requests for public records the agency experiences.

During the 2019-21 biennium, the agency will continue to focus its efforts towards training public officials, lobbyists and the public on the requirements of the ethics laws. In addition, the agency will also concentrate on training public officials and the general public on the use of new case management system and accessing the electronically-available information from the case management system and the electronic filing system. The agency will continue to improve the electronic filing system and the case management system. The training to the public will include conveying the value of the agency to Oregonians and how they can benefit from the agency's success.

The criteria for development of the 2019-21 budget are as follows:

1. Meet training needs of public bodies, public officials statewide, lobbyists, entities that hire lobbyists and the public through the increased use of on-line, web-based trainings.
2. Complete the implementation of the case management system, the first phase of which launched initially in 2017. This will include training of the public officials, public bodies and members of the public, including online video instructions, on how to access information in the system.
3. Increase customer service to public bodies and public officials statewide, including responding to requests for advice to help educate on specific matters.

5. Performance Measurement Criteria

- Relationship to agency goals and high-level outcomes
- Within agency control
- Availability and reliability of measurement data
- Realistic and identifiable targets
- Legislative mandates

6. Other Considerations

- a. Inmate work opportunities – The OGEC has not had nor do we anticipate any inmate work opportunities due to the specialized nature of agency responsibilities.
- b. Alternative Dispute Resolution – The OGEC has used ADR (negotiated settlement) in the disposition of cases for over a decade with a high degree of success. The agency continues to attempt to resolve all contested cases through a negotiated settlement agreement. In the past three years, 100% of the contested cases have been completed through a negotiated settlement.

7. Policy Packages

None.

8. Major Information Technology Projects

None

9. Sustainability

Not applicable to this agency

10. Regulatory Streamlining

Not applicable to this agency

Summary of 2019-21 Biennium Budget

Oregon Government Ethics Commission
 Oregon Government Ethics Commission
 2019-21 Biennium

Governor's Budget
 Cross Reference Number: 19900-000-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2017-19 Leg Adopted Budget	9	9.00	2,705,247	-	-	2,705,247	-	-	-
2017-19 Emergency Boards	-	-	53,441	-	-	53,441	-	-	-
2017-19 Leg Approved Budget	9	9.00	2,758,688	-	-	2,758,688	-	-	-
2019-21 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	96,382	-	-	96,382	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
Subtotal 2019-21 Base Budget	9	9.00	2,855,070	-	-	2,855,070	-	-	-
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Non-PICS Personal Service Increase/(Decrease)	-	-	7,307	-	-	7,307	-	-	-
Subtotal	-	-	7,307	-	-	7,307	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase - In	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
Subtotal	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	62,587	-	-	62,587	-	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	9,628	-	-	9,628	-	-	-
Subtotal	-	-	72,215	-	-	72,215	-	-	-

Summary of 2019-21 Biennium Budget

Oregon Government Ethics Commission
 Oregon Government Ethics Commission
 2019-21 Biennium

Governor's Budget
 Cross Reference Number: 19900-000-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
Subtotal: 2019-21 Current Service Level	9	9.00	2,934,592	-	-	2,934,592	-	-	-

Summary of 2019-21 Biennium Budget

Oregon Government Ethics Commission
 Oregon Government Ethics Commission
 2019-21 Biennium

Governor's Budget
 Cross Reference Number: 19900-000-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2019-21 Current Service Level	9	9.00	2,934,592	-	-	2,934,592	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
Modified 2019-21 Current Service Level	9	9.00	2,934,592	-	-	2,934,592	-	-	-
080 - E-Boards									
080 - May 2018 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
081 - September 2018 Emergency Board	-	-	-	-	-	-	-	-	-
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Adjustment DAS Chgs	-	-	(9,041)	-	-	(9,041)	-	-	-
092 - Statewide AG Adjustment	-	-	(11,580)	-	-	(11,580)	-	-	-
Subtotal Policy Packages	-	-	(20,621)	-	-	(20,621)	-	-	-
Total 2019-21 Governor's Budget	9	9.00	2,913,971	-	-	2,913,971	-	-	-
Percentage Change From 2017-19 Leg Approved Budget	-	-	5.63%	-	-	5.63%	-	-	-
Percentage Change From 2019-21 Current Service Level	-	-	-0.70%	-	-	-0.70%	-	-	-

Summary of 2019-21 Biennium Budget

Oregon Government Ethics Commission
 General Program
 2019-21 Biennium

Governor's Budget
 Cross Reference Number: 19900-010-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2017-19 Leg Adopted Budget	9	9.00	2,705,247	-	-	2,705,247	-	-	-
2017-19 Emergency Boards	-	-	53,441	-	-	53,441	-	-	-
2017-19 Leg Approved Budget	9	9.00	2,758,688	-	-	2,758,688	-	-	-
2019-21 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	96,382	-	-	96,382	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
Subtotal 2019-21 Base Budget	9	9.00	2,855,070	-	-	2,855,070	-	-	-
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Non-PICS Personal Service Increase/(Decrease)	-	-	7,307	-	-	7,307	-	-	-
Subtotal	-	-	7,307	-	-	7,307	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase - In	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
Subtotal	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	62,587	-	-	62,587	-	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	9,628	-	-	9,628	-	-	-
Subtotal	-	-	72,215	-	-	72,215	-	-	-

Summary of 2019-21 Biennium Budget

**Oregon Government Ethics Commission
General Program
2019-21 Biennium**

**Governor's Budget
Cross Reference Number: 19900-010-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
Subtotal: 2019-21 Current Service Level	9	9.00	2,934,592	-	-	2,934,592	-	-	-

Summary of 2019-21 Biennium Budget

Oregon Government Ethics Commission
 General Program
 2019-21 Biennium

Governor's Budget
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
Subtotal: 2019-21 Current Service Level	9	9.00	2,934,592	-	-	2,934,592	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
Modified 2019-21 Current Service Level	9	9.00	2,934,592	-	-	2,934,592	-	-	-
080 - E-Boards									
080 - May 2018 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
081 - September 2018 Emergency Board	-	-	-	-	-	-	-	-	-
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Adjustment DAS Chgs	-	-	(9,041)	-	-	(9,041)	-	-	-
092 - Statewide AG Adjustment	-	-	(11,580)	-	-	(11,580)	-	-	-
Subtotal Policy Packages	-	-	(20,621)	-	-	(20,621)	-	-	-
Total 2019-21 Governor's Budget	9	9.00	2,913,971	-	-	2,913,971	-	-	-
Percentage Change From 2017-19 Leg Approved Budget	-	-	5.63%	-	-	5.63%	-	-	-
Percentage Change From 2019-21 Current Service Level	-	-	-0.70%	-	-	-0.70%	-	-	-

Summary Cross Reference Number	Cross Reference Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
010-00-00-00000	General Program						
	Other Funds	2,477,666	2,705,247	2,758,688	2,934,592	2,913,971	-
TOTAL AGENCY							
	Other Funds	2,477,666	2,705,247	2,758,688	2,934,592	2,913,971	-

PROGRAM PRIORITIZATION FOR 2019-21

Agency Name: Oregon Government Ethics Commission																	Agency Number: 19900				
2019-21 Biennium																					
Agency-Wide Priorities for 2019-21 Biennium																					
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
Priority (ranked with highest priority first)	Agency Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program- Activity Code	GF	LF	OF	NL-OF	FF	NL-FF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Req. Code (C, D, FM, FO, S)	Legal Citation	Explain What is Mandatory (for C, FM, and FO Only)	Comments on Proposed Changes to CSL Included In Agency Request	
1	1	OGEC	CMS/EFS Case Management System/Electronic Filing System	5	3			303,400				\$ 303,400	0	0.00	Y	N	S	ORS 244.290		Agency was required to have a functional Case Management System and Electronic Filing System for Statement of Economic Interest Statements and Lobby reporting. Funds are used to pay for the subscription fees to vendor for hosting data and maintenance of systems.	
2	2	OGEC	TRN Training	2, 5	3			601,325				\$ 601,325	2	2.50	N	Y	S	ORS 244.290		Includes 1/2 CS3 position for training	
3	3	OGEC	INV Investigations	1, 3 & 5	3			821,577				\$ 821,577	3	2.50	N	Y	S	ORS Chap 244		Includes 1/2 CS2 position for investigations	
4	4	OGEC	ADMIN Administration	4, 5 & 6	3			1,187,669				\$ 1,187,669	4	4.00	N	Y	S	ORS Chap 244, ORS Chap 171			
												\$ -									
												\$ -									
												\$ -									
												\$ -									
								2,913,971				\$ 2,913,971	9	9.00							

7. Primary Purpose Program/Activity Exists

- 1 Civil Justice
- 2 Community Development
- 3 Consumer Protection
- 4 Administrative Function
- 5 Criminal Justice
- 6 Economic Development
- 7 Education & Skill Development
- 8 Emergency Services
- 9 Environmental Protection
- 10 Public Health
- 11 Recreation, Heritage, or Cultural
- 12 Social Support

19. Legal Requirement Code

- C Constitutional
- D Debt Service
- FM Federal - Mandatory
- FO Federal - Optional (once you choose to participate, certain requirements exist)
- S Statutory

Prioritize each program activity for the Agency as a whole

Document criteria used to prioritize activities:

Oregon Government Ethics Commission is a small agency that depends on all programs to function properly to complete its mission. The agency has been focusing its efforts on training during the past two biennia to reduce the number of violations occurring with public officials throughout the state. Also, the past two biennia have produced many changes to the Ethics laws, requiring the agency to train public officials. The prioritization is designed to reflect the agency's focus; however, the agency is statutorily required to perform all functions. With only nine employees, it is difficult to reduce its functions. The \$303,400 is prioritized first, because the agency is required by contract to pay the subscription fees for its Case Management System and Electronic Filing System to NIC USA. These electronic systems help the agency meet its transparency goals set out in prior legislative sessions. Both electronic systems give the public access through the agency's website to the Statement of Economic Interest and Lobby filings, and the final dispositions and final advice issued by the agency. The public can now report violations on-line using the case management system.

BUDGET NARRATIVE

Reduction Options

A 10% budget reduction would be \$291,397. A budget reduction of such magnitude would likely render the agency unable to perform its duties in any viable manner. The Government Ethics Commission budget is such that approximately two-thirds is devoted to personal services. Subscription services for the agency's on-line filing system and its case management system will be approximately 11% of the agency's operating budget. Government Ethics Commission responsibilities are defined in statute and require certain activities by the agency, such as collecting public official and lobbyist forms, and requiring associated records to be created and maintained.

In order for the Government Ethics Commission to continue the administration of these programs and provide service for compliance with the various filing requirements, certain costs for overhead cannot be reduced. A reduction could be made in personal services; however, this would require the elimination of a position or the conversion of full-time positions to part-time. Alternatively, to continue operations under a potential reduction utilizing existing staff, a revision of statute to remove or amend currently mandated functions would be needed.

Any reduction in FTE would have an impact on the ability of the Government Ethics Commission to meet its performance measures in most areas, including training, investigations, compliance review, and records maintenance. It would seriously degrade the timeliness and quality of services offered to stakeholders and the public. This would have a direct impact on meeting Oregon Benchmark No. 35, Public Management Quality.

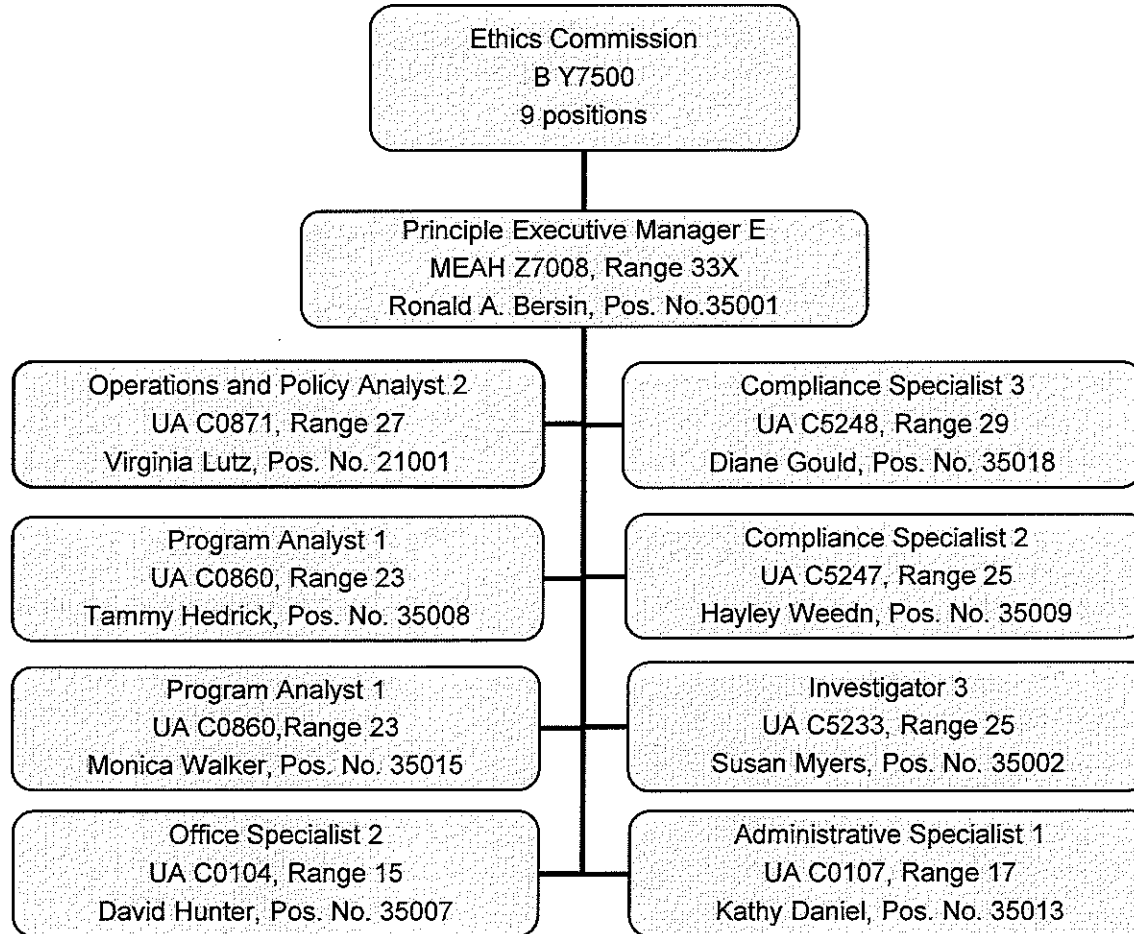
A reduction of 25% would require a reduction of four staff members including the remaining trainer and another investigator of the agency, as well as the remaining front desk staff person not already eliminated in the 10% reduction plan. This reduction in staff would make it impossible for the agency to meet its statutory deadlines and training requirements.

10% REDUCTION OPTIONS (ORS 291.216)

ACTIVITY OR PROGRAM	DESCRIBE REDUCTION	AMOUNT AND FUND TYPE	RANK AND JUSTIFICATION
(WHICH PROGRAM OR ACTIVITY WILL NOT BE UNDERTAKEN)	(DESCRIBE THE EFFECTS OF THIS REDUCTION. INCLUDE POSITIONS AND FTE IN 2015-17 AND 2017-19)	(GF, LF, OF, FF. IDENTIFY REVENUE SOURCE FOR OF, FF)	(RANK THE ACTIVITIES OR PROGRAMS NOT UNDERTAKEN IN ORDER OF LOWEST COST FOR BENEFIT OBTAINED)
1. Eliminate OS2 position	Elimination of position would prevent the agency from answering telephones, opening mail, and processing reports timely. The agency also would find it very difficult to answer public records requests.	\$123,476 OF	1
2. Eliminate PA1 Trainer	Elimination of a trainer position (reducing from two positions to one) will make it difficult to respond to requests for trainings, respond to telephone and email questions and writing informal and formal advice requested.	\$174,136 OF	2

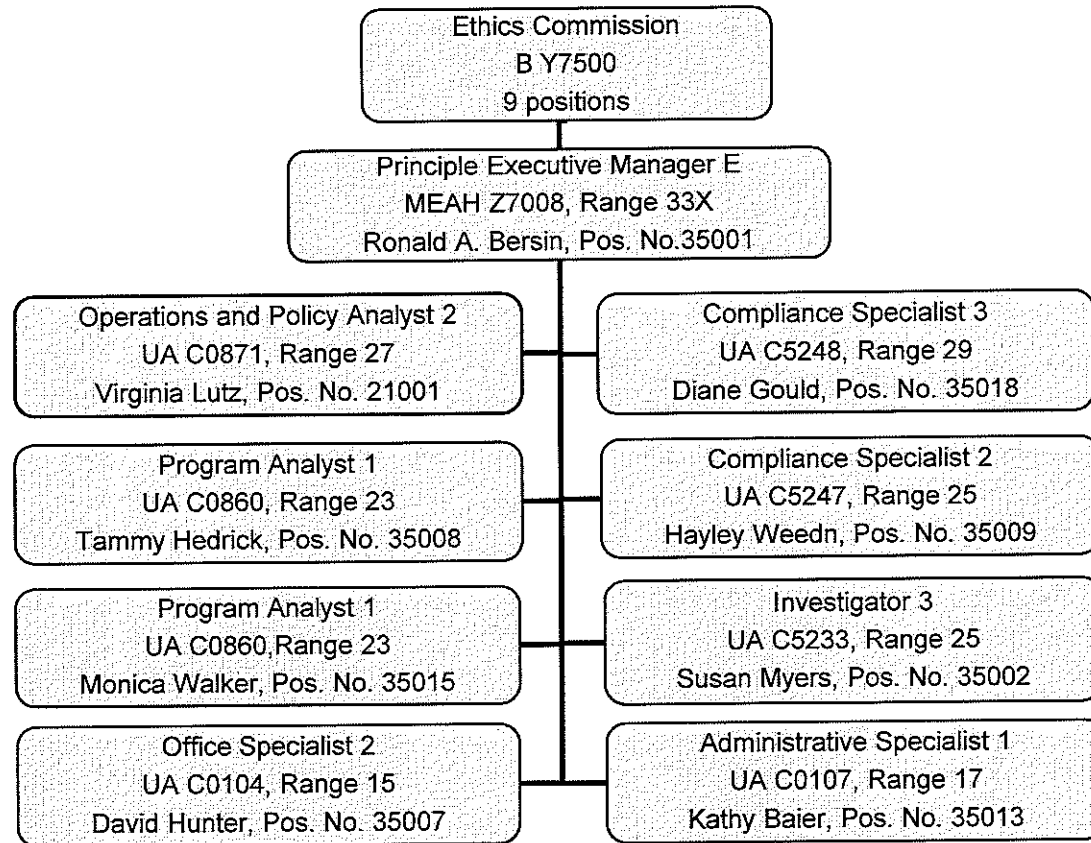
Oregon Government Ethics Commission

2017 - 2019 Organizational Chart



Oregon Government Ethics Commission

2019 - 2021 Organizational Chart



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Revenues

BUDGET NARRATIVE

REVENUE FORECAST NARRATIVE

SOURCES

1. Fines and Forfeitures - \$30,000

- ORS 171.992 and ORS 244.350 authorize the Oregon Government Ethics Commission (OGEC) to impose civil penalties for violations of Lobby Regulation laws, Government Ethics laws and Executive Sessions provisions of the Public Meetings laws. In addition, ORS 244.360 permits the Commission to order a person who has been found in violation, and who has obtained financial gain from public office, to forfeit a sum of up to twice the amount gained.
- General Limitations on Use: All fines and forfeitures are deposited to the General Fund for general governmental purposes and are not available for agency use.

2. Charges for Other Services - \$0

- The OGEC charges fees to recover actual costs of producing and distributing public documents such as copies of investigative reports, opinions, lobbyist or public official filings, and audio recordings. The estimate for this potential revenue has been eliminated because of the Electronic Filing System and the Case Management System. Most items are or soon will be available online at no cost.
- Limitation on Use: No restrictions apply. Expenditures from these revenues are used for general program purposes, primarily to augment payment of Attorney General legal fees.

3. Assessments on Public Entities

- The OGEC receives its operating revenue from an assessment imposed on public entities. Half of the assessment comes from state government and the remaining half is received from all other public entities. The state assessment is based on FTE. Other public entities' assessments are based on the municipal audit fee they currently pay. A percentage is applied to that fee to determine the assessment. The Department of Administrative Services administers the assessment program, including collecting the assessments. The assessments total \$2,934,592 for the 2017-19 biennium, which is \$1,467,296 from local governments and \$1,467,296 from state agencies.

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Oregon Government Ethics Commission
2019-21 Biennium

Agency Number: 19900
Cross Reference Number: 19900-000-00-00-00000

Source	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
Other Funds						
Admin and Service Charges	2,038,619	2,524,954	2,524,954	2,958,949	2,958,949	-
Fines and Forfeitures	38,807	30,000	30,000	30,000	30,000	-
Other Revenues	11,439	2,000	2,000	2,000	-	-
Transfer In - Intrafund	95,250	-	-	-	-	-
Transfer Out - Intrafund	(95,250)	-	-	-	-	-
Transfer to General Fund	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	-
Total Other Funds	\$2,050,058	\$2,526,954	\$2,526,954	\$2,960,949	\$2,958,949	-

**Detail of Lottery Funds, Other Funds, and Federal Funds Revenue
2019-21 Oregon Government Ethics Commission**

Source	Fund	ORBITS Revenue Acct	2015-2017 Actual	2017-19 Legislatively Adopted	2017-19 Legislatively Approved	2019-21		
						Agency Request	Governor's Recommended	Legislatively Adopted
Administrative and Service Charges – Other Fund	4150	0415	2,038,619	2,524,954	2,524,954	2,958,949	2,958,949	
Fines and Forfeitures – General Fund Revenue	0050	0505	38,807	30,000	30,000	30,000	30,000	
Other Revenues – Other Fund	4150	0975	11,439	2,000	2,000	2,000	0	
Transfer In - Intrafund			95,250	0	0	0	0	
Transfer Out – Intrafund			(95,250)	0	0	0	0	
Transfer to General Fund	0050	2060	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	
TOTAL:			\$2,050,058	\$2,526,954	2,526,954	2,960,949	2,958,949	

Agency Request

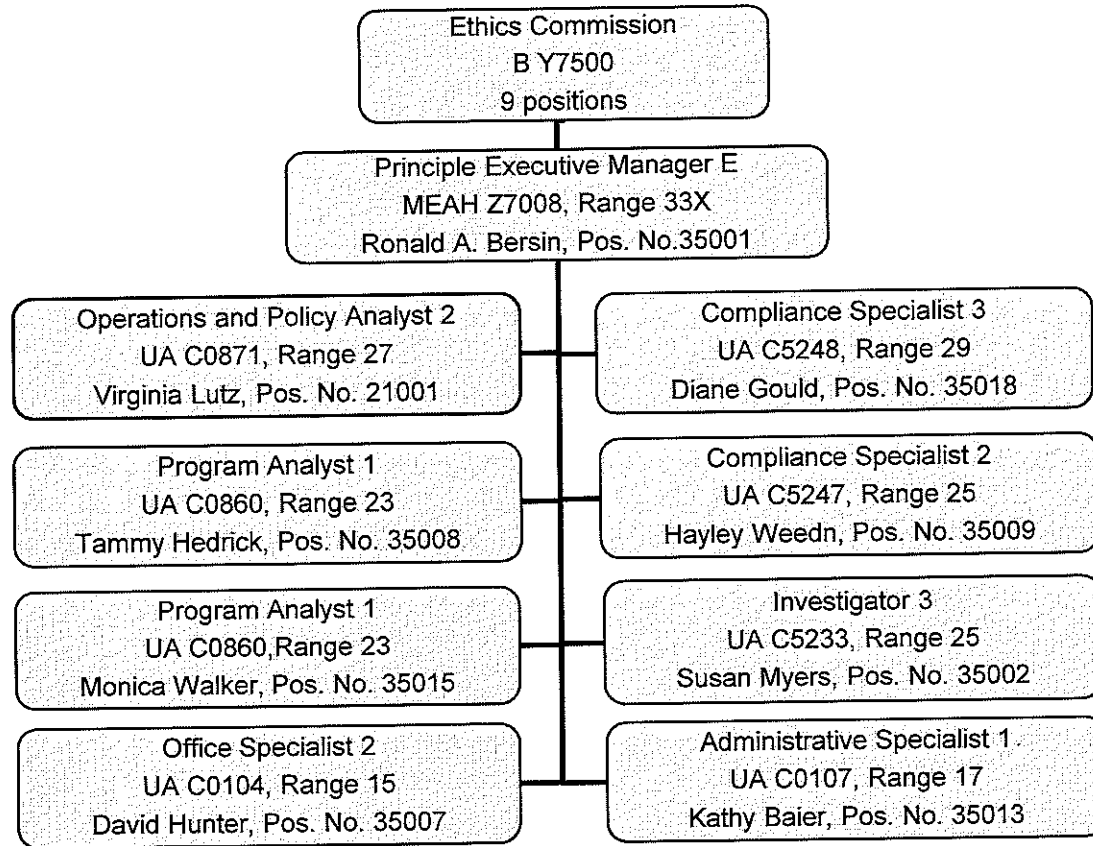
Governor's

Legislatively Adopted

Program Units

Oregon Government Ethics Commission

2019 - 2021 Organizational Chart



General Program

Program Description:

Administer Government Ethics law – ORS Chapter 244

This statute prohibits public officials from using their official position for personal financial gain, for the financial gain of a relative or member of household, or for the financial gain of a business with which the public official or a relative or member of household is associated. Other provisions deal with nepotism, methods of handling conflicts of interest, and limitations on gifts that public officials may accept. The agency's functions related to this program include providing written and oral advice to public officials, making training presentations, managing online filing of annual Statements of Economic Interest, and investigating complaints of ethics violations. Violations can result in sanctions ranging from a letter of education to a \$5,000 civil penalty per violation. In 2015, HB 2020 raised the maximum possible sanction to \$10,000 per violation in cases where a public official willfully violates the provisions of ORS 244.040, which prohibits use of official position or office for personal financial gain.

Administer Lobbying Regulation law – ORS 171.725 – 171.785

These provisions require certain persons who lobby the Legislative Assembly to register with this agency. Registration takes place online. It requires contact information of the lobbyist and the entity on whose behalf they lobby. The law also requires each lobbyist and each entity that utilizes a lobbyist to report the amount of money they have spent in pursuit of their lobbying objectives each quarter. Agency functions under this program area include training to help lobbyists comply with the law and assisting lobbyists and their clients with the electronic filing. This provision also provides that the agency investigate complaints of suspected violations. Violations can result in civil penalties.

Administer Executive Sessions provisions of Public Meetings law – ORS 192.660

This portion of law identifies 14 specific purposes for which the governing body of a public body may convene a non-public meeting. It is the only provision of Oregon Public Meetings law that is enforceable by a government agency. Agency functions under this program area include making training presentations in an attempt to help public officials comply with the law. The agency also investigates complaints of possible violations. If violations are found, civil penalties may be imposed on the members of a governing body who participated in the unauthorized executive session.

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Oregon Government Ethics Commission
 Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: General Program
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Personal Services							
Temporary Appointments	-	-	18	-	-	-	18
Pension Obligation Bond	-	-	6,540	-	-	-	6,540
Social Security Taxes	-	-	1	-	-	-	1
Mass Transit Tax	-	-	748	-	-	-	748
Total Personal Services	-	-	\$7,307	-	-	-	\$7,307
Total Expenditures							
Total Expenditures	-	-	7,307	-	-	-	7,307
Total Expenditures	-	-	\$7,307	-	-	-	\$7,307
Ending Balance							
Ending Balance	-	-	(7,307)	-	-	-	(7,307)
Total Ending Balance	-	-	(\$7,307)	-	-	-	(\$7,307)

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Oregon Government Ethics Commission
 Pkg: 031 - Standard Inflation

Cross Reference Name: General Program
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Instate Travel	-	-	722	-	-	-	722
Employee Training	-	-	269	-	-	-	269
Office Expenses	-	-	819	-	-	-	819
Telecommunications	-	-	711	-	-	-	711
State Gov. Service Charges	-	-	9,628	-	-	-	9,628
Data Processing	-	-	3,734	-	-	-	3,734
Publicity and Publications	-	-	39	-	-	-	39
Professional Services	-	-	398	-	-	-	398
IT Professional Services	-	-	13,043	-	-	-	13,043
Attorney General	-	-	32,626	-	-	-	32,626
Employee Recruitment and Develop	-	-	94	-	-	-	94
Dues and Subscriptions	-	-	17	-	-	-	17
Facilities Rental and Taxes	-	-	3,591	-	-	-	3,591
Other Services and Supplies	-	-	6,235	-	-	-	6,235
Expendable Prop 250 - 5000	-	-	289	-	-	-	289
Total Services & Supplies	-	-	\$72,215	-	-	-	\$72,215
Total Expenditures							
Total Expenditures	-	-	72,215	-	-	-	72,215
Total Expenditures	-	-	\$72,215	-	-	-	\$72,215

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Oregon Government Ethics Commission
 Pkg: 031 - Standard Inflation

Cross Reference Name: General Program
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Ending Balance							
Ending Balance	-	-	(72,215)	-	-	-	(72,215)
Total Ending Balance	-	-	(\$72,215)	-	-	-	(\$72,215)

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Oregon Government Ethics Commission
 Pkg: 091 - Statewide Adjustment DAS Chgs

Cross Reference Name: General Program
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Office Expenses	-	-	(978)	-	-	-	(978)
State Gov. Service Charges	-	-	(4,196)	-	-	-	(4,196)
Data Processing	-	-	(35)	-	-	-	(35)
Other Services and Supplies	-	-	(3,832)	-	-	-	(3,832)
Total Services & Supplies	-	-	(\$9,041)	-	-	-	(\$9,041)
Total Expenditures							
Total Expenditures	-	-	(9,041)	-	-	-	(9,041)
Total Expenditures	-	-	(\$9,041)	-	-	-	(\$9,041)
Ending Balance							
Ending Balance	-	-	9,041	-	-	-	9,041
Total Ending Balance	-	-	\$9,041	-	-	-	\$9,041

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Oregon Government Ethics Commission
 Pkg: 092 - Statewide AG Adjustment

Cross Reference Name: General Program
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Attorney General	-	-	(11,580)	-	-	-	(11,580)
Total Services & Supplies	-	-	(\$11,580)	-	-	-	(\$11,580)
Total Expenditures							
Total Expenditures	-	-	(11,580)	-	-	-	(11,580)
Total Expenditures	-	-	(\$11,580)	-	-	-	(\$11,580)
Ending Balance							
Ending Balance	-	-	11,580	-	-	-	11,580
Total Ending Balance	-	-	\$11,580	-	-	-	\$11,580

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Oregon Government Ethics Commission
2019-21 Biennium

Agency Number: 19900
Cross Reference Number: 19900-010-00-00-00000

<i>Source</i>	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
Other Funds						
Admin and Service Charges	2,038,619	2,524,954	2,524,954	2,958,949	2,958,949	-
Fines and Forfeitures	38,807	30,000	30,000	30,000	30,000	-
Other Revenues	11,439	2,000	2,000	2,000	-	-
Transfer In - Intrafund	95,250	-	-	-	-	-
Transfer Out - Intrafund	(95,250)	-	-	-	-	-
Transfer to General Fund	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	-
Total Other Funds	\$2,050,058	\$2,526,954	\$2,526,954	\$2,960,949	\$2,958,949	-

**Detail of Lottery Funds, Other Funds, and Federal Funds Revenue
2019-21 Oregon Government Ethics Commission**

Source	Fund	ORBITS Revenue Acct	2015-2017 Actual	2017-19 Legislatively Adopted	2017-19 Legislatively Approved	2019-21		
						Agency Request	Governor's Recommended	Legislatively Adopted
Administrative and Service Charges – Other Fund	4150	0415	2,038,619	2,524,954	2,524,954	2,958,949	2,958,949	
Fines and Forfeitures – General Fund Revenue	0050	0505	38,807	30,000	30,000	30,000	30,000	
Other Revenues – Other Fund	4150	0975	11,439	2,000	2,000	2,000	0	
Transfer In - Intrafund			95,250	0	0	0	0	
Transfer Out – Intrafund			(95,250)	0	0	0	0	
Transfer to General Fund	0050	2060	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	
TOTAL:			\$2,050,058	\$2,526,954	2,526,954	2,960,949	2,958,949	

Agency Request

Governor's

Legislatively Adopted

Budget Page 45

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Special Reports

Government Ethics Commission

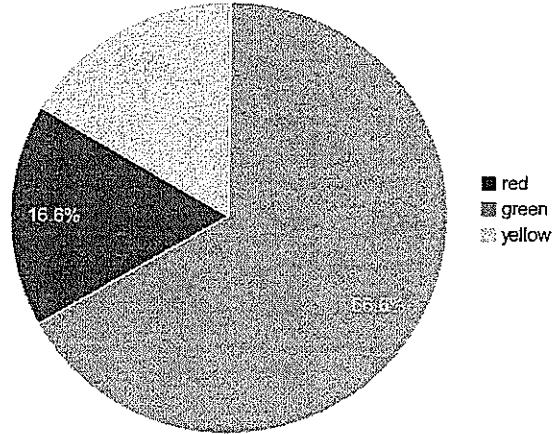
Annual Performance Progress Report

Reporting Year 2018

Published: 9/27/2018 4:15:37 PM

KPM # Approved Key Performance Measures (KPMs)

- 1 Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions. -
- 2 Quality of investigations completed -
- 3 Training Program's Effectiveness -
- 4 Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.
- 5 Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.
- 6 Governance Best Practices - Percent of total best practices met by the commission.



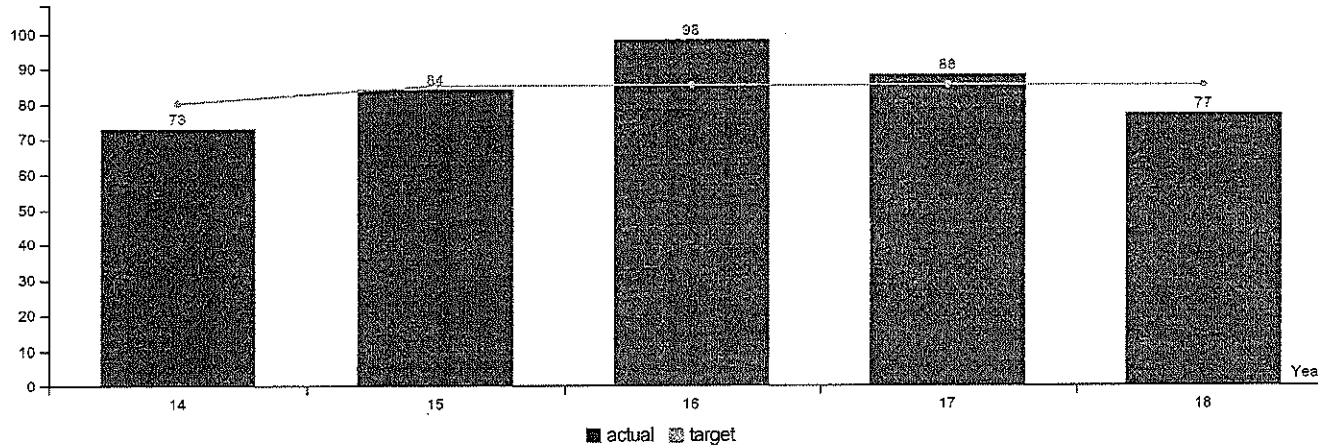
Performance Summary

Summary Stats:

Green	Yellow	Red
= Target to -5%	= Target -5% to -15%	= Target > -15%
66.67%	16.67%	16.67%

KPM #1 Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions. -
 Data Collection Period: Jan 01 - Dec 31

* Upward Trend = negative result



Report Year	2014	2015	2016	2017	2018
percent usage of statutory time limits, preliminary reviews, investigations, staff and advisory opinions					
Actual	73%	84%	98%	88%	77%
Target	80%	85%	85%	85%	85%

How Are We Doing

The data reflected is from the calendar year 2017. We are outperforming our target. Each of the categories, Preliminary Reviews (80%), Investigations (63%) Staff Opinions (97%) and Advisory Opinions (70%) were completed well within the statutory time limitation . During the majority of the time period, the agency was fully staffed, which helped us to excel in this measure.

Factors Affecting Results

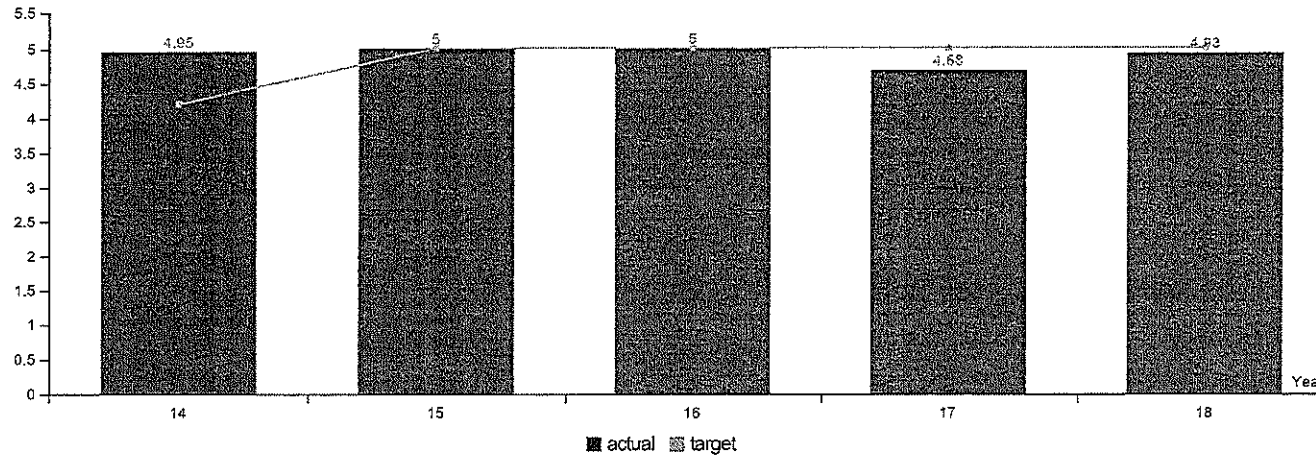
Staffing, as mentioned earlier, helped the agency to exceed this measure goal. The majority of the year, the agency was fully staffed with experienced personnel.

KPM #2

Quality of investigations completed -

Data Collection Period: Jan 01 - Dec 31

* Upward Trend = positive result



Report Year	2014	2015	2016	2017	2018
Quality of Investigations					
Actual	4.95	5	5	4.68	4.93
Target	4.20	5	5	5	5

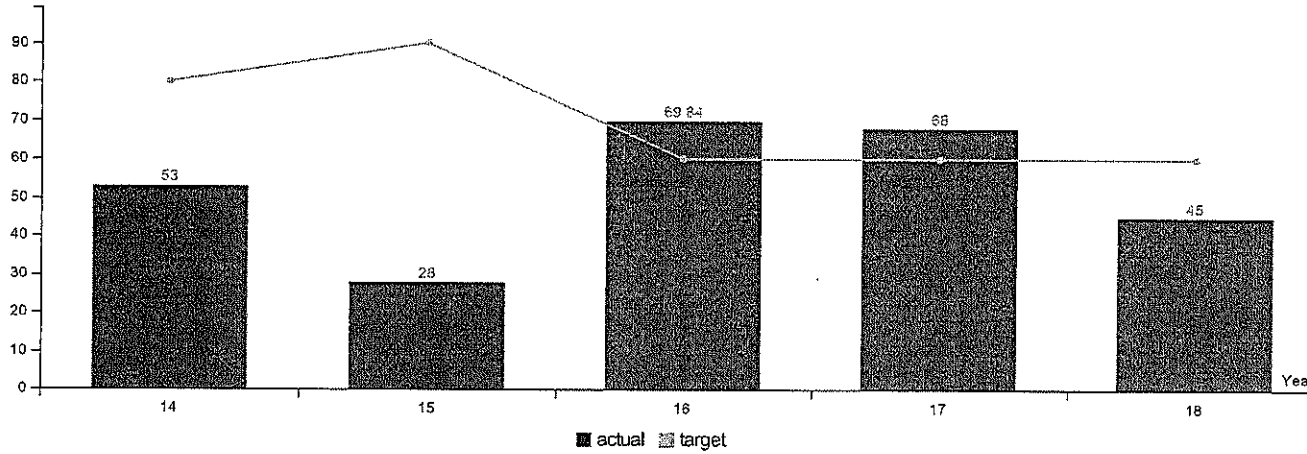
How Are We Doing

The review categories scored at Timeliness (4.8), Accessibility (5.0), Objectivity (5.0), and Organization (4.9), out of a maximum score of 5. This is an improvement from the previous year (4.68). The improvement was due to more experienced personnel. The agency will continue to strive for a perfect score (target) of 5.

Factors Affecting Results

Investigative personnel were more experienced in 2017 over 2016, resulting in an increased score. However we still fell short of our target of a perfect score of 5.

* Upward Trend = positive result



Report Year	2014	2015	2016	2017	2018
Percentage of increase/decrease of knowledge base					
Actual	53%	28%	69.84%	68%	45%
Target	80%	90%	60%	60%	60%

How Are We Doing

The measurement here is the difference between the number of correct answers to a pretest before the training, in comparison with the number of correct answers at the end of the training session. Before the trainings, participants scored 63.48% correct answers. At the end of the training session, participants scored 91.79% correctly. This is a substantial increase in knowledge, but below our target percentage.

Factors Affecting Results

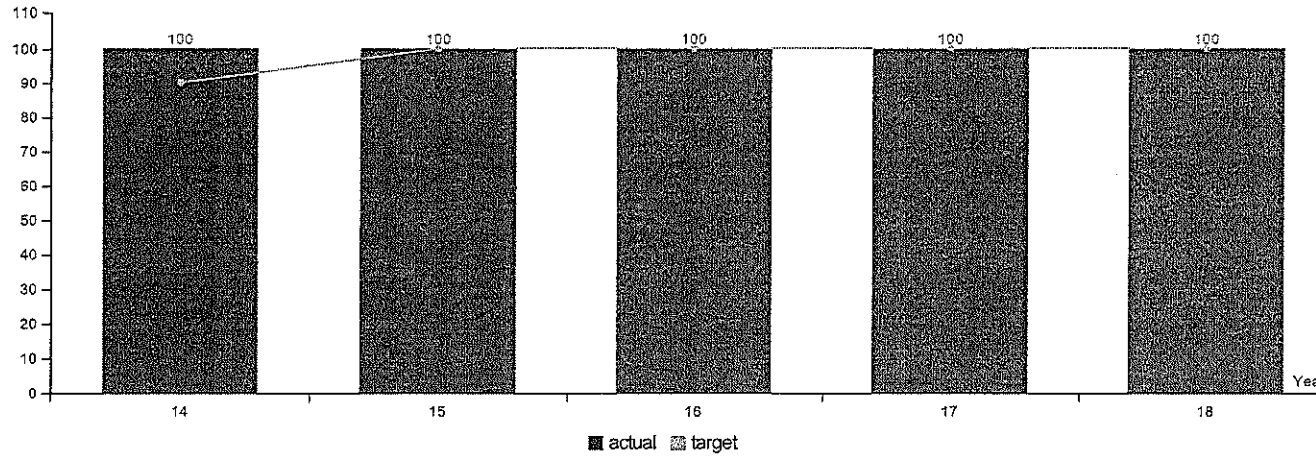
The agency began using electronic devices (clickers) to capture answers to questions asked by the trainers. The participation of the attendees has dramatically increased.

KPM #4

Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.

Data Collection Period: Jan 01 - Dec 31

* Upward Trend = positive result



Report Year

2014

2015

2016

2017

2018

Percentage of Contested Cases Settled Before Hearing

Actual	100	100	100	100	100
Target	90	100	100	100	100

How Are We Doing

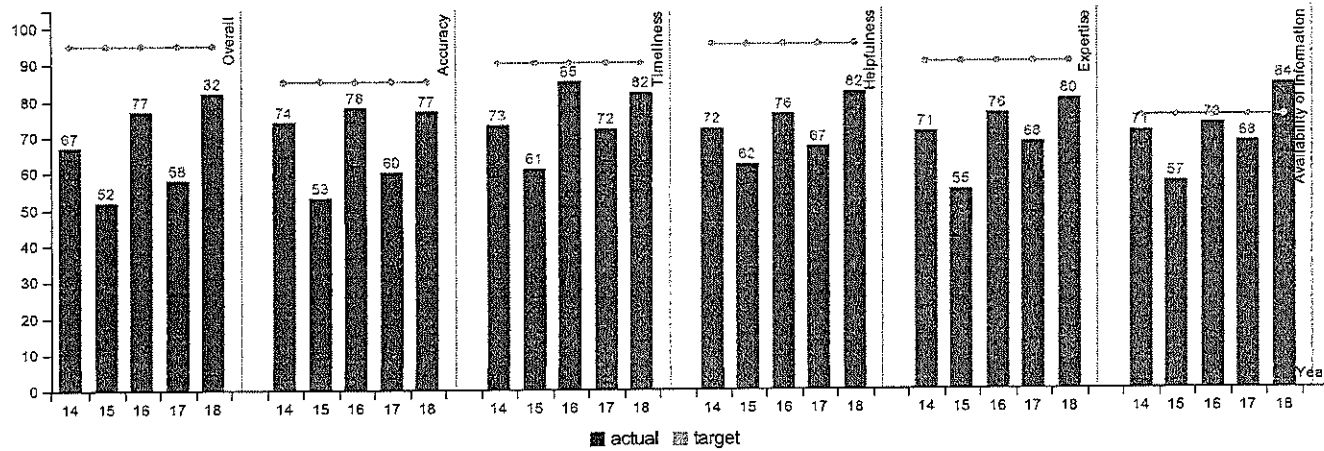
The data reported is for the calendar year 2017. The agency continues to settle 100 % of its cases.

Factors Affecting Results

The agency is required to pay the respondent's attorney fees if the agency does not prevail in a contested case proceeding. The agency is unique in state government with that requirement. The risk of taking cases to contested case hearings is simply too high; therefore, the agency prefers to settle its cases. The agency has submitted a legislative concept to address this problem.

KPM #5 Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.

Data Collection Period: Jan 01 - Dec 31



Report Year	2014	2015	2016	2017	2018
Overall					
Actual	67	52	77	58	82
Target	95	95	95	95	95
Accuracy					
Actual	74	53	78	60	77
Target	85	85	85	85	85
Timeliness					
Actual	73	61	85	72	82
Target	90	90	90	90	90
Helpfulness					
Actual	72	62	76	67	82
Target	95	95	95	95	95
Expertise					
Actual	71	55	76	68	80
Target	90	90	90	90	90
Availability of Information					
Actual	71	57	73	68	84
Target	75	75	75	75	75

How Are We Doing

The data included 116 responses. The agency is still affected by the Kitzhaber/Hayes cases with many comments that the agency should have been harsher with the former Governor and First Lady. Overall, I am please with the results, especially when you consider the Commission's regulatory functions. The agency will continue to focus on our customer service by looking for improvements in each category next year.

Factors Affecting Results

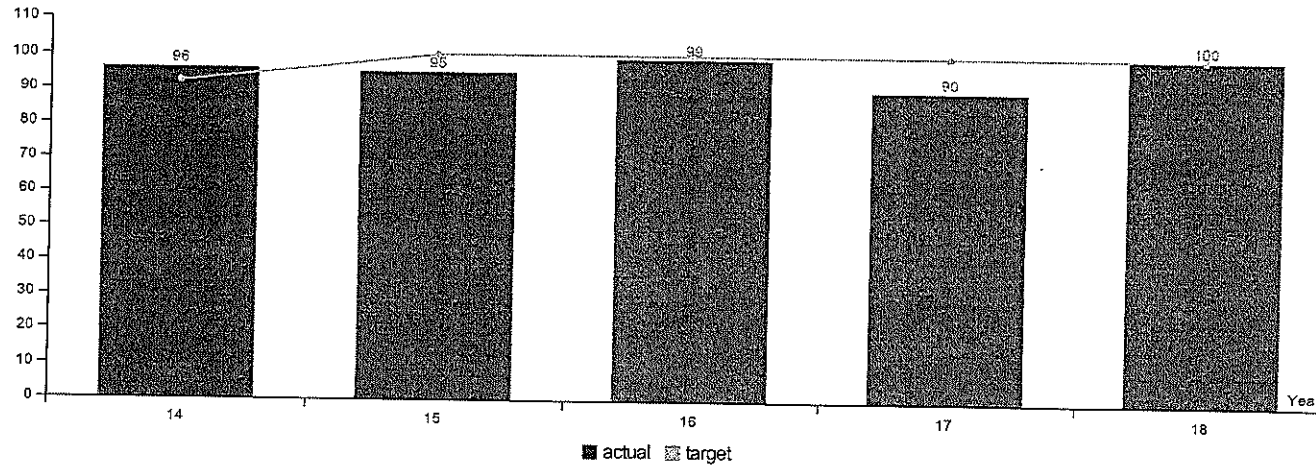
Some high profile cases have affected the comments from participants of the survey. The agency will continue to look for ways to improve its customer service. Currently, the agency's Case Management System allows the public to view the agency's final outcomes on investigations. We have received numerous positive comments on the system and the information it provides to the public on-line without the need for a public records request. The agency also continues to benefit from the information gathered and displayed on-line from its Electronic Filing System for Statements of Economic Interest (SEIs) and Lobby reports. The agency believes this continued increased on-line reporting will have positive impacts on its customer service.

KPM #6

Governance Best Practices - Percent of total best practices met by the commission.

Data Collection Period: Jan 01 - Dec 31

* Upward Trend = positive result



Report Year	2014	2015	2016	2017	2018
Best Practices					
Actual	96	95	99	90	100
Target	92	100	100	100	100

How Are We Doing

The Commission was unanimous in its assessment of the Best Practices Survey.

Factors Affecting Results

Future years should also see similar results, since this Commission should stay intact through the next couple of years with no turnover.

Oregon Government Ethics Commission
Affirmative Action EEO Data

12/31/18 STATISTICS - EEO CATEGORY	TOTL EMP	MEN FT	MEN %	WOMEN FT	WOMEN %	WOMEN PRTY	WOMEN GOAL	WOMEN < GOAL	POC FT	POC %	POC PRTY	POC GOAL	POC < GOAL	AF-AM FT	AF-AM %	AF-AM PRTY	AF-AM GOAL
Z7008 PRINCIPAL EXECUTIVE/MANAGER E	1	1	100.00%	0	0.00%	36.60%	0.3	0.3	0	0.00%	12.20%	0.1	0.1	0	0.00%	5.80%	0
A02 UPPER MANAGEMENT (SR 31+)	1	1	100.00%	0	0.00%	36.60%	0.3	0.3	0	0.00%	12.20%	0.1	0.1	0	0.00%	5.80%	0
A OFFICIAL/ADMINISTRATOR	1	1	100.00%	0	0.00%			0.3	0	0.00%			0.1	0	0.00%		
C5233 INVESTIGATOR 3	1	0	0.00%	1	100.00%	48.10%	0.4		0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0
C5247 COMPLIANCE SPECIALIST 2	1	0	0.00%	1	100.00%	48.10%	0.4		0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0
C5248 COMPLIANCE SPECIALIST 3	1	0	0.00%	1	100.00%	48.10%	0.4		0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0
B11 INSPECTOR/COMPLIANCE/INVESTGTR	3	0	0.00%	3	100.00%	48.10%	1.4		0	0.00%	10.70%	0.3	0.3	0	0.00%	3.10%	0
C0860 PROGRAM ANALYST 1	2	0	0.00%	2	100.00%	41.10%	0.8		0	0.00%	9.50%	0.1	0.1	0	0.00%	2.40%	0
C0871 OPERATIONS & POLICY ANALYST 2	1	0	0.00%	1	100.00%	41.10%	0.4		0	0.00%	9.50%	0		0	0.00%	2.40%	0
B16 PROGRAM COORDINATOR/ANALYST	3	0	0.00%	3	100.00%	41.10%	1.2		0	0.00%	9.50%	0.2	0.2	0	0.00%	2.40%	0
B PROFESSIONALS	6	0	0.00%	6	100.00%				0	0.00%			0.5	0	0.00%		
C0104 OFFICE SPECIALIST 2	1	1	100.00%	0	0.00%	70.30%	0.7	0.7	0	0.00%	9.70%	0		0	0.00%	1.80%	0
C0107 ADMINISTRATIVE SPECIALIST 1	1	0	0.00%	1	100.00%	70.30%	0.7		0	0.00%	9.70%	0		0	0.00%	1.80%	0
F00 ADMINISTRATIVE SUPPORT	2	1	50.00%	1	50.00%	70.30%	1.4	0.4	0	0.00%	9.70%	0.1	0.1	0	0.00%	1.80%	0
F ADMINISTRATIVE SUPPORT	2	1	50.00%	1	50.00%			0.4	0	0.00%			0.1	0	0.00%		
TOTALS	9	2	22.22%	7	77.77%			0.7	0	0.00%			0.7	0	0.00%		

Oregon Government Ethics Commission
Affirmative Action EEO Data

AF-AM < GOAL	HISP FT	HISP %	HISP PRTY	HISP GOAL	HISP < GOAL	ASIAN FT	ASIAN %	ASIAN PRTY	ASIAN GOAL	ASIAN < GOAL	NATAM FT	NATAM %	NATAM PRTY	NATAM GOAL	NATAM < GOAL	PWD FT	PWD %	PWD PRTY	PWD GOAL	PWD < GOAL
0	0	0.00%	3.50%	0		0	0.00%	2.00%	0		0	0.00%	1.00%	0		0	0.00%	6.00%	0	
0	0	0.00%	3.50%	0		0	0.00%	2.00%	0		0	0.00%	1.00%	0		0	0.00%	6.00%	0	
0	0	0.00%				0	0.00%				0	0.00%				0	0.00%			
0	0	0.00%	3.80%	0		0	0.00%	2.70%	0		0	0.00%	1.00%	0		0	0.00%	6.00%	0	
0	0	0.00%	3.80%	0		0	0.00%	2.70%	0		0	0.00%	1.00%	0		1	100.00%	6.00%	0	
0	0	0.00%	3.80%	0		0	0.00%	2.70%	0		0	0.00%	1.00%	0		0	0.00%	6.00%	0	
0	0	0.00%	3.80%	0.1	0.1	0	0.00%	2.70%	0		0	0.00%	1.00%	0		1	33.33%	6.00%	0.1	
0	0	0.00%	3.20%	0		0	0.00%	3.10%	0		0	0.00%	0.80%	0		0	0.00%	6.00%	0.1	0.1
0	0	0.00%	3.20%	0		0	0.00%	3.10%	0		0	0.00%	0.80%	0		0	0.00%	6.00%	0.1	0.1
0	0	0.00%			0.1	0	0.00%				0	0.00%				1	16.66%			0.1
0	0	0.00%	3.60%	0		0	0.00%	2.40%	0		0	0.00%	1.80%	0		0	0.00%	6.00%	0	
0	0	0.00%	3.60%	0		0	0.00%	2.40%	0		0	0.00%	1.80%	0		0	0.00%	6.00%	0	
0	0	0.00%	3.60%	0		0	0.00%	2.40%	0		0	0.00%	1.80%	0		0	0.00%	6.00%	0.1	0.1
0	0	0.00%				0	0.00%				0	0.00%				0	0.00%			0.1
0	0	0.00%			0.1	0	0.00%				0	0.00%				1	11.11%			0.2

Oregon Government Ethics Commission
Affirmative Action EEO Data

	TOTAL EMP	MEN	MEN %	WOMEN	WOMEN %	POC	POC %	AF-AM	ASIAN	HISP	NATAM	PWD	PWD %
12/31/18 STATS FUNCTIONAL UNIT (RDC)													
C0104 OFFICE SPECIALIST 2	1	1	100.00%	0	0.00%	0	0.00%	0	0	0	0	0	0.00%
C0107 ADMINISTRATIVE SPECIALIST 1	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
C0860 PROGRAM ANALYST 1	2	0	0.00%	2	100.00%	0	0.00%	0	0	0	0	0	0.00%
C0871 OPERATIONS & POLICY ANALYST 2	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
C5233 INVESTIGATOR 3	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
C5247 COMPLIANCE SPECIALIST 2	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	1	100.00%
C5248 COMPLIANCE SPECIALIST 3	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
Z7008 PRINCIPAL EXECUTIVE/MANAGER E	1	1	100.00%	0	0.00%	0	0.00%	0	0	0	0	0	0.00%
	0	9	22.22%	7	77.77%	0	0.00%	0	0	0	0	1	11.11%
TOTALS	9	2	22.22%	7	77.77%	0	0.00%	0	0	0	0	1	11.11%

Oregon Government Ethics Commission
Affirmative Action EEO Data

12/31/18 STATISTICS - EEO CATEGORY	TOTL EMP	MEN FT	MEN %	WOMEN FT	WOMEN %	WOMEN PRTY	WOMEN GOAL	WOMEN < GOAL	POC FT	POC %	POC PRTY	POC GOAL	POC < GOAL	AF-AM FT	AF-AM %	AF-AM PRTY	AF-AM GOAL
Z7008 PRINCIPAL EXECUTIVE/MANAGER E	1	1	100.00%	0	0.00%	36.60%	0.3	0.3	0	0.00%	12.20%	0.1	0.1	0	0.00%	5.80%	0
000 A02	1	1	100.00%	0	0.00%	36.60%	0.3	0.3	0	0.00%	12.20%	0.1	0.1	0	0.00%	5.80%	0
C5233 INVESTIGATOR 3	1	0	0.00%	1	100.00%	48.10%	0.4	0	0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0
C5247 COMPLIANCE SPECIALIST 2	1	0	0.00%	1	100.00%	48.10%	0.4	0	0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0
C5248 COMPLIANCE SPECIALIST 3	1	0	0.00%	1	100.00%	48.10%	0.4	0	0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0
000 B11	3	0	0.00%	3	100.00%	48.10%	1.4	0	0	0.00%	10.70%	0.3	0.3	0	0.00%	3.10%	0
C0860 PROGRAM ANALYST 1	2	0	0.00%	2	100.00%	41.10%	0.8	0	0	0.00%	9.50%	0.1	0.1	0	0.00%	2.40%	0
C0871 OPERATIONS & POLICY ANALYST 2	1	0	0.00%	1	100.00%	41.10%	0.4	0	0	0.00%	9.50%	0	0	0	0.00%	2.40%	0
000 B16	3	0	0.00%	3	100.00%	41.10%	1.2	0	0	0.00%	9.50%	0.2	0.2	0	0.00%	2.40%	0
C0104 OFFICE SPECIALIST 2	1	1	100.00%	0	0.00%	70.30%	0.7	0.7	0	0.00%	9.70%	0	0	0	0.00%	1.80%	0
C0107 ADMINISTRATIVE SPECIALIST 1	1	0	0.00%	1	100.00%	70.30%	0.7	0	0	0.00%	9.70%	0	0	0	0.00%	1.80%	0
000 F00	2	1	50.00%	1	50.00%	70.30%	1.4	0.4	0	0.00%	9.70%	0.1	0.1	0	0.00%	1.80%	0
TOTALS	9	2	22.22%	7	77.77%			0.7	0	0.00%			0.7	0	0.00%		

Oregon Government Ethics Commission
Affirmative Action EEO Data

AF-AM < GOAL	HISP FT	HISP %	HISP PRTY	HISP GOAL	HISP < GOAL	ASIAN FT	ASIAN %	ASIAN PRTY	ASIAN GOAL	ASIAN < GOAL	NATAM FT	NATAM %	NATAM PRTY	NATAM GOAL	NATAM < GOAL	PWD FT	PWD %	PWD PRTY	PWD GOAL	PWD < GOAL
0	0.00%	3.50%	0	0	0	0	0.00%	2.00%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0
0	0.00%	3.50%	0	0	0	0	0.00%	2.00%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0
0	0.00%	3.80%	0	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0
0	0.00%	3.80%	0	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	1	100.00%	6.00%	0	0	0
0	0.00%	3.80%	0	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0
0	0.00%	3.80%	0.1	0.1	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	1	33.33%	6.00%	0.1	0.1	0.1
0	0.00%	3.20%	0	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0.1	0.1
0	0.00%	3.20%	0	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0	0
0	0.00%	3.20%	0	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0.1	0.1
0	0.00%	3.60%	0	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0	0
0	0.00%	3.60%	0	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0	0
0	0.00%	3.60%	0	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0.1	0.1
0	0.00%				0.1	0	0.00%			0	0	0.00%			1	11.11%				0.2

Oregon Government Ethics Commission

**Summary Cross Reference Listing and Packages
2019-21 Biennium**

**Agency Number: 19900
BAM Analyst: Morse-Miller, Haylee
Budget Coordinator: UNASSIGNED**

Cross Reference Number	Cross Reference Description	Package Number	Priority	Package Description	Package Group
010-00-00-00000	General Program	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
010-00-00-00000	General Program	021	0	Phase - In	Essential Packages
010-00-00-00000	General Program	022	0	Phase-out Pgm & One-time Costs	Essential Packages
010-00-00-00000	General Program	031	0	Standard Inflation	Essential Packages
010-00-00-00000	General Program	032	0	Above Standard Inflation	Essential Packages
010-00-00-00000	General Program	033	0	Exceptional Inflation	Essential Packages
010-00-00-00000	General Program	040	0	Mandated Caseload	Essential Packages
010-00-00-00000	General Program	081	0	September 2018 Emergency Board	Policy Packages
010-00-00-00000	General Program	090	0	Analyst Adjustments	Policy Packages
010-00-00-00000	General Program	091	0	Statewide Adjustment DAS Chgs	Policy Packages
010-00-00-00000	General Program	092	0	Statewide AG Adjustment	Policy Packages

Oregon Government Ethics Commission

**Policy Package List by Priority
2019-21 Biennium**

Agency Number: 19900

BAM Analyst: Morse-Miller, Haylee

Budget Coordinator: UNASSIGNED

<i>Priority</i>	<i>Policy Pkg Number</i>	<i>Policy Pkg Description</i>	<i>Summary Cross Reference Number</i>	<i>Cross Reference Description</i>
0	081	September 2018 Emergency Board	010-00-00-00000	General Program
	090	Analyst Adjustments	010-00-00-00000	General Program
	091	Statewide Adjustment DAS Chgs	010-00-00-00000	General Program
	092	Statewide AG Adjustment	010-00-00-00000	General Program

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2019-21 Biennium

Oregon Government Ethics Commission

<i>Description</i>	<i>2015-17 Actuals</i>	<i>2017-19 Leg Adopted Budget</i>	<i>2017-19 Leg Approved Budget</i>	<i>2019-21 Agency Request Budget</i>	<i>2019-21 Governor's Budget</i>	<i>2019-21 Leg. Adopted Audit</i>
BEGINNING BALANCE						
0025 Beginning Balance						
3400 Other Funds Ltd	1,219,670	647,355	647,355	715,787	715,787	-
0030 Beginning Balance Adjustment						
3400 Other Funds Ltd	-	76,645	76,645	-	-	-
BEGINNING BALANCE						
3400 Other Funds Ltd	1,219,670	724,000	724,000	715,787	715,787	-
TOTAL BEGINNING BALANCE	\$1,219,670	\$724,000	\$724,000	\$715,787	\$715,787	-
REVENUE CATEGORIES						
CHARGES FOR SERVICES						
0415 Admin and Service Charges						
3400 Other Funds Ltd	2,038,619	2,524,954	2,524,954	2,958,949	2,958,949	-
FINES, RENTS AND ROYALTIES						
0505 Fines and Forfeitures						
8800 General Fund Revenue	38,807	30,000	30,000	30,000	30,000	-
OTHER						
0975 Other Revenues						
3400 Other Funds Ltd	11,439	2,000	2,000	2,000	-	-
TRANSFERS IN						
1010 Transfer In - Intrafund						
3400 Other Funds Ltd	95,250	-	-	-	-	-
REVENUE CATEGORIES						
3400 Other Funds Ltd	2,145,308	2,526,954	2,526,954	2,960,949	2,958,949	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2019-21 Biennium

Oregon Government Ethics Commission

<i>Description</i>	<i>2015-17 Actuals</i>	<i>2017-19 Leg Adopted Budget</i>	<i>2017-19 Leg Approved Budget</i>	<i>2019-21 Agency Request Budget</i>	<i>2019-21 Governor's Budget</i>	<i>2019-21 Leg. Adopted Audit</i>
8800 General Fund Revenue	38,807	30,000	30,000	30,000	30,000	-
TOTAL REVENUE CATEGORIES	\$2,184,115	\$2,556,954	\$2,556,954	\$2,990,949	\$2,988,949	-
TRANSFERS OUT						
2010 Transfer Out - Intrafund						
3400 Other Funds Ltd	(95,250)	-	-	-	-	-
2060 Transfer to General Fund						
8800 General Fund Revenue	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	-
TRANSFERS OUT						
3400 Other Funds Ltd	(95,250)	-	-	-	-	-
8800 General Fund Revenue	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	-
TOTAL TRANSFERS OUT	(\$134,057)	(\$30,000)	(\$30,000)	(\$30,000)	(\$30,000)	-
AVAILABLE REVENUES						
3400 Other Funds Ltd	3,269,728	3,250,954	3,250,954	3,676,736	3,674,736	-
TOTAL AVAILABLE REVENUES	\$3,269,728	\$3,250,954	\$3,250,954	\$3,676,736	\$3,674,736	-
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	949,382	1,081,128	1,119,322	1,205,832	1,205,832	-
3160 Temporary Appointments						
3400 Other Funds Ltd	-	466	466	484	484	-
3170 Overtime Payments						
3400 Other Funds Ltd	399	-	-	-	-	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2019-21 Biennium

Oregon Government Ethics Commission

Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
3190 All Other Differential						
3400 Other Funds Ltd	13,167	-	-	-	-	-
SALARIES & WAGES						
3400 Other Funds Ltd	962,948	1,081,594	1,119,788	1,206,316	1,206,316	-
TOTAL SALARIES & WAGES	\$962,948	\$1,081,594	\$1,119,788	\$1,206,316	\$1,206,316	-
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	346	456	456	488	488	-
3220 Public Employees' Retire Cont						
3400 Other Funds Ltd	162,543	202,950	206,804	201,575	201,575	-
3221 Pension Obligation Bond						
3400 Other Funds Ltd	58,134	61,151	61,420	67,960	67,960	-
3230 Social Security Taxes						
3400 Other Funds Ltd	73,147	82,741	82,741	92,282	92,282	-
3250 Worker's Comp. Assess. (WCD)						
3400 Other Funds Ltd	503	621	621	522	522	-
3260 Mass Transit Tax						
3400 Other Funds Ltd	5,770	6,490	6,490	7,238	7,238	-
3270 Flexible Benefits						
3400 Other Funds Ltd	238,819	300,024	311,148	316,656	316,656	-
OTHER PAYROLL EXPENSES						
3400 Other Funds Ltd	539,262	654,433	669,680	686,721	686,721	-
TOTAL OTHER PAYROLL EXPENSES	\$539,262	\$654,433	\$669,680	\$686,721	\$686,721	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2019-21 Biennium

Oregon Government Ethics Commission

<i>Description</i>	<i>2015-17 Actuals</i>	<i>2017-19 Leg Adopted Budget</i>	<i>2017-19 Leg Approved Budget</i>	<i>2019-21 Agency Request Budget</i>	<i>2019-21 Governor's Budget</i>	<i>2019-21 Leg. Adopted Audit</i>
P.S. BUDGET ADJUSTMENTS						
3465 Reconciliation Adjustment						
3400 Other Funds Ltd	-	(120)	(120)	-	-	-
PERSONAL SERVICES						
3400 Other Funds Ltd	1,502,210	1,735,907	1,789,348	1,893,037	1,893,037	-
TOTAL PERSONAL SERVICES	\$1,502,210	\$1,735,907	\$1,789,348	\$1,893,037	\$1,893,037	-
SERVICES & SUPPLIES						
4100 Instate Travel						
3400 Other Funds Ltd	19,855	19,004	19,004	19,726	19,726	-
4125 Out of State Travel						
3400 Other Funds Ltd	1,379	-	-	-	-	-
4150 Employee Training						
3400 Other Funds Ltd	7,563	7,080	7,080	7,349	7,349	-
4175 Office Expenses						
3400 Other Funds Ltd	16,331	21,557	21,557	22,376	21,398	-
4200 Telecommunications						
3400 Other Funds Ltd	22,285	18,723	18,723	19,434	19,434	-
4225 State Gov. Service Charges						
3400 Other Funds Ltd	50,774	52,557	52,557	62,185	57,989	-
4250 Data Processing						
3400 Other Funds Ltd	49,134	98,254	98,254	101,988	101,953	-
4275 Publicity and Publications						
3400 Other Funds Ltd	864	1,023	1,023	1,062	1,062	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2019-21 Biennium

Oregon Government Ethics Commission

<i>Description</i>	<i>2015-17 Actuals</i>	<i>2017-19 Leg Adopted Budget</i>	<i>2017-19 Leg Approved Budget</i>	<i>2019-21 Agency Request Budget</i>	<i>2019-21 Governor's Budget</i>	<i>2019-21 Leg. Adopted Audit</i>
4300 Professional Services						
3400 Other Funds Ltd	328,270	9,469	9,469	9,867	9,867	-
4315 IT Professional Services						
3400 Other Funds Ltd	104,952	310,552	310,552	323,595	323,595	-
4325 Attorney General						
3400 Other Funds Ltd	137,644	161,995	161,995	194,621	183,041	-
4375 Employee Recruitment and Develop						
3400 Other Funds Ltd	119	2,484	2,484	2,578	2,578	-
4400 Dues and Subscriptions						
3400 Other Funds Ltd	14	450	450	467	467	-
4425 Facilities Rental and Taxes						
3400 Other Funds Ltd	72,515	94,494	94,494	98,085	98,085	-
4575 Agency Program Related S and S						
3400 Other Funds Ltd	1,614	-	-	-	-	-
4650 Other Services and Supplies						
3400 Other Funds Ltd	136,052	164,091	164,091	170,326	166,494	-
4700 Expendable Prop 250 - 5000						
3400 Other Funds Ltd	5,869	7,607	7,607	7,896	7,896	-
4715 IT Expendable Property						
3400 Other Funds Ltd	11,004	-	-	-	-	-
SERVICES & SUPPLIES						
3400 Other Funds Ltd	966,238	969,340	969,340	1,041,555	1,020,934	-
TOTAL SERVICES & SUPPLIES	\$966,238	\$969,340	\$969,340	\$1,041,555	\$1,020,934	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2019-21 Biennium

Oregon Government Ethics Commission

<i>Description</i>	<i>2015-17 Actuals</i>	<i>2017-19 Leg Adopted Budget</i>	<i>2017-19 Leg Approved Budget</i>	<i>2019-21 Agency Request Budget</i>	<i>2019-21 Governor's Budget</i>	<i>2019-21 Leg. Adopted Audit</i>
CAPITAL OUTLAY						
5900 Other Capital Outlay						
3400 Other Funds Ltd	9,218	-	-	-	-	-
EXPENDITURES						
3400 Other Funds Ltd	2,477,666	2,705,247	2,758,688	2,934,592	2,913,971	-
TOTAL EXPENDITURES	\$2,477,666	\$2,705,247	\$2,758,688	\$2,934,592	\$2,913,971	-
ENDING BALANCE						
3400 Other Funds Ltd	792,062	545,707	492,266	742,144	760,765	-
TOTAL ENDING BALANCE	\$792,062	\$545,707	\$492,266	\$742,144	\$760,765	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	9	9	9	9	9	-
TOTAL AUTHORIZED POSITIONS	9	9	9	9	9	-
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	8.88	9.00	9.00	9.00	9.00	-
TOTAL AUTHORIZED FTE	8.88	9.00	9.00	9.00	9.00	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000

Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
BEGINNING BALANCE						
0025 Beginning Balance						
3400 Other Funds Ltd	1,219,670	647,355	647,355	715,787	715,787	-
0030 Beginning Balance Adjustment						
3400 Other Funds Ltd	-	76,645	76,645	-	-	-
BEGINNING BALANCE						
3400 Other Funds Ltd	1,219,670	724,000	724,000	715,787	715,787	-
TOTAL BEGINNING BALANCE	\$1,219,670	\$724,000	\$724,000	\$715,787	\$715,787	-
REVENUE CATEGORIES						
CHARGES FOR SERVICES						
0415 Admin and Service Charges						
3400 Other Funds Ltd	2,038,619	2,524,954	2,524,954	2,958,949	2,958,949	-
FINES, RENTS AND ROYALTIES						
0505 Fines and Forfeitures						
8800 General Fund Revenue	38,807	30,000	30,000	30,000	30,000	-
OTHER						
0975 Other Revenues						
3400 Other Funds Ltd	11,439	2,000	2,000	2,000	-	-
TRANSFERS IN						
1010 Transfer in - Intrafund						
3400 Other Funds Ltd	95,250	-	-	-	-	-
REVENUE CATEGORIES						
3400 Other Funds Ltd	2,145,308	2,526,954	2,526,954	2,960,949	2,958,949	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000

Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
8800 General Fund Revenue	38,807	30,000	30,000	30,000	30,000	-
TOTAL REVENUE CATEGORIES	\$2,184,115	\$2,556,954	\$2,556,954	\$2,990,949	\$2,988,949	-
TRANSFERS OUT						
2010 Transfer Out - Intrafund						
3400 Other Funds Ltd	(95,250)	-	-	-	-	-
2060 Transfer to General Fund						
8800 General Fund Revenue	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	-
TRANSFERS OUT						
3400 Other Funds Ltd	(95,250)	-	-	-	-	-
8800 General Fund Revenue	(38,807)	(30,000)	(30,000)	(30,000)	(30,000)	-
TOTAL TRANSFERS OUT	(\$134,057)	(\$30,000)	(\$30,000)	(\$30,000)	(\$30,000)	-
AVAILABLE REVENUES						
3400 Other Funds Ltd	3,269,728	3,250,954	3,250,954	3,676,736	3,674,736	-
TOTAL AVAILABLE REVENUES	\$3,269,728	\$3,250,954	\$3,250,954	\$3,676,736	\$3,674,736	-
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	949,382	1,081,128	1,119,322	1,205,832	1,205,832	-
3160 Temporary Appointments						
3400 Other Funds Ltd	-	466	466	484	484	-
3170 Overtime Payments						
3400 Other Funds Ltd	399	-	-	-	-	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000

Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
3190 All Other Differential						
3400 Other Funds Ltd	13,167	-	-	-	-	-
SALARIES & WAGES						
3400 Other Funds Ltd	962,948	1,081,594	1,119,788	1,206,316	1,206,316	-
TOTAL SALARIES & WAGES	\$962,948	\$1,081,594	\$1,119,788	\$1,206,316	\$1,206,316	-
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	346	456	456	488	488	-
3220 Public Employees' Retire Cont						
3400 Other Funds Ltd	162,543	202,950	206,804	201,575	201,575	-
3221 Pension Obligation Bond						
3400 Other Funds Ltd	58,134	61,151	61,420	67,960	67,960	-
3230 Social Security Taxes						
3400 Other Funds Ltd	73,147	82,741	82,741	92,282	92,282	-
3250 Worker's Comp. Assess. (WCD)						
3400 Other Funds Ltd	503	621	621	522	522	-
3260 Mass Transit Tax						
3400 Other Funds Ltd	5,770	6,490	6,490	7,238	7,238	-
3270 Flexible Benefits						
3400 Other Funds Ltd	238,819	300,024	311,148	316,656	316,656	-
OTHER PAYROLL EXPENSES						
3400 Other Funds Ltd	539,262	654,433	669,680	686,721	686,721	-
TOTAL OTHER PAYROLL EXPENSES	\$539,262	\$654,433	\$669,680	\$686,721	\$686,721	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000

Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
P.S. BUDGET ADJUSTMENTS						
3465 Reconciliation Adjustment						
3400 Other Funds Ltd	-	(120)	(120)	-	-	-
PERSONAL SERVICES						
3400 Other Funds Ltd	1,502,210	1,735,907	1,789,348	1,893,037	1,893,037	-
TOTAL PERSONAL SERVICES	\$1,502,210	\$1,735,907	\$1,789,348	\$1,893,037	\$1,893,037	-
SERVICES & SUPPLIES						
4100 Instate Travel						
3400 Other Funds Ltd	19,855	19,004	19,004	19,726	19,726	-
4125 Out of State Travel						
3400 Other Funds Ltd	1,379	-	-	-	-	-
4150 Employee Training						
3400 Other Funds Ltd	7,563	7,080	7,080	7,349	7,349	-
4175 Office Expenses						
3400 Other Funds Ltd	16,331	21,557	21,557	22,376	21,398	-
4200 Telecommunications						
3400 Other Funds Ltd	22,285	18,723	18,723	19,434	19,434	-
4225 State Gov. Service Charges						
3400 Other Funds Ltd	50,774	52,557	52,557	62,185	57,989	-
4250 Data Processing						
3400 Other Funds Ltd	49,134	98,254	98,254	101,988	101,953	-
4275 Publicity and Publications						
3400 Other Funds Ltd	864	1,023	1,023	1,062	1,062	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000

Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
4300 Professional Services						
3400 Other Funds Ltd	328,270	9,469	9,469	9,867	9,867	-
4315 IT Professional Services						
3400 Other Funds Ltd	104,952	310,552	310,552	323,595	323,595	-
4325 Attorney General						
3400 Other Funds Ltd	137,644	161,995	161,995	194,621	183,041	-
4375 Employee Recruitment and Develop						
3400 Other Funds Ltd	119	2,484	2,484	2,578	2,578	-
4400 Dues and Subscriptions						
3400 Other Funds Ltd	14	450	450	467	467	-
4425 Facilities Rental and Taxes						
3400 Other Funds Ltd	72,515	94,494	94,494	98,085	98,085	-
4575 Agency Program Related S and S						
3400 Other Funds Ltd	1,614	-	-	-	-	-
4650 Other Services and Supplies						
3400 Other Funds Ltd	136,052	164,091	164,091	170,326	166,494	-
4700 Expendable Prop 250 - 5000						
3400 Other Funds Ltd	5,869	7,607	7,607	7,896	7,896	-
4715 IT Expendable Property						
3400 Other Funds Ltd	11,004	-	-	-	-	-
SERVICES & SUPPLIES						
3400 Other Funds Ltd	966,238	969,340	969,340	1,041,555	1,020,934	-
TOTAL SERVICES & SUPPLIES	\$966,238	\$969,340	\$969,340	\$1,041,555	\$1,020,934	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000

Description	2015-17 Actuals	2017-19 Leg Adopted Budget	2017-19 Leg Approved Budget	2019-21 Agency Request Budget	2019-21 Governor's Budget	2019-21 Leg. Adopted Audit
CAPITAL OUTLAY						
5900 Other Capital Outlay						
3400 Other Funds Ltd	9,218	-	-	-	-	-
EXPENDITURES						
3400 Other Funds Ltd	2,477,666	2,705,247	2,758,688	2,934,592	2,913,971	-
TOTAL EXPENDITURES	\$2,477,666	\$2,705,247	\$2,758,688	\$2,934,592	\$2,913,971	-
ENDING BALANCE						
3400 Other Funds Ltd	792,062	545,707	492,266	742,144	760,765	-
TOTAL ENDING BALANCE	\$792,062	\$545,707	\$492,266	\$742,144	\$760,765	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	9	9	9	9	9	-
TOTAL AUTHORIZED POSITIONS	9	9	9	9	9	-
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	8.88	9.00	9.00	9.00	9.00	-
TOTAL AUTHORIZED FTE	8.88	9.00	9.00	9.00	9.00	-

Description	Agency Request Budget (V-01) 2019-21 Base Budget	Governor's Budget (Y-01) 2019-21 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
BEGINNING BALANCE				
0025 Beginning Balance				
3400 Other Funds Ltd	715,787	715,787	0	-
REVENUE CATEGORIES				
CHARGES FOR SERVICES				
0415 Admin and Service Charges				
3400 Other Funds Ltd	2,958,949	2,958,949	0	-
FINES, RENTS AND ROYALTIES				
0505 Fines and Forfeitures				
8800 General Fund Revenue	30,000	30,000	0	-
OTHER				
0975 Other Revenues				
3400 Other Funds Ltd	2,000	-	(2,000)	-100.00%
TOTAL REVENUES				
3400 Other Funds Ltd	2,960,949	2,958,949	(2,000)	-0.07%
8800 General Fund Revenue	30,000	30,000	0	-
TOTAL REVENUES	\$2,990,949	\$2,988,949	(\$2,000)	-0.07%
TRANSFERS OUT				
2060 Transfer to General Fund				
8800 General Fund Revenue	(30,000)	(30,000)	0	-
AVAILABLE REVENUES				
3400 Other Funds Ltd	3,676,736	3,674,736	(2,000)	-0.05%
EXPENDITURES				
PERSONAL SERVICES				

Description	Agency Request Budget (V-01) 2019-21 Base Budget	Governor's Budget (Y-01) 2019-21 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
SALARIES & WAGES				
3110 Class/Unclass Sal. and Per Diem				
3400 Other Funds Ltd	1,205,832	1,205,832	0	-
3160 Temporary Appointments				
3400 Other Funds Ltd	466	466	0	-
TOTAL SALARIES & WAGES				
3400 Other Funds Ltd	1,206,298	1,206,298	0	-
OTHER PAYROLL EXPENSES				
3210 Empl. Rel. Bd. Assessments				
3400 Other Funds Ltd	488	488	0	-
3220 Public Employees' Retire Cont				
3400 Other Funds Ltd	201,575	201,575	0	-
3221 Pension Obligation Bond				
3400 Other Funds Ltd	61,420	61,420	0	-
3230 Social Security Taxes				
3400 Other Funds Ltd	92,281	92,281	0	-
3250 Worker's Comp. Assess. (WCD)				
3400 Other Funds Ltd	522	522	0	-
3260 Mass Transit Tax				
3400 Other Funds Ltd	6,490	6,490	0	-
3270 Flexible Benefits				
3400 Other Funds Ltd	316,656	316,656	0	-
TOTAL OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	679,432	679,432	0	-

Description	Agency Request Budget (V-01) 2019-21 Base Budget	Governor's Budget (Y-01) 2019-21 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL PERSONAL SERVICES				
3400 Other Funds Ltd	1,885,730	1,885,730	0	-
SERVICES & SUPPLIES				
4100 Instate Travel				
3400 Other Funds Ltd	19,004	19,004	0	-
4150 Employee Training				
3400 Other Funds Ltd	7,080	7,080	0	-
4175 Office Expenses				
3400 Other Funds Ltd	21,557	21,557	0	-
4200 Telecommunications				
3400 Other Funds Ltd	18,723	18,723	0	-
4225 State Gov. Service Charges				
3400 Other Funds Ltd	52,557	52,557	0	-
4250 Data Processing				
3400 Other Funds Ltd	98,254	98,254	0	-
4275 Publicity and Publications				
3400 Other Funds Ltd	1,023	1,023	0	-
4300 Professional Services				
3400 Other Funds Ltd	9,469	9,469	0	-
4315 IT Professional Services				
3400 Other Funds Ltd	310,552	310,552	0	-
4325 Attorney General				
3400 Other Funds Ltd	161,995	161,995	0	-
4375 Employee Recruitment and Develop				

Description	Agency Request Budget (V-01) 2019-21 Base Budget	Governor's Budget (Y-01) 2019-21 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	2,484	2,484	0	-
4400 Dues and Subscriptions				
3400 Other Funds Ltd	450	450	0	-
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	94,494	94,494	0	-
4650 Other Services and Supplies				
3400 Other Funds Ltd	164,091	164,091	0	-
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	7,607	7,607	0	-
TOTAL SERVICES & SUPPLIES				
3400 Other Funds Ltd	969,340	969,340	0	-
TOTAL EXPENDITURES				
3400 Other Funds Ltd	2,855,070	2,855,070	0	-
ENDING BALANCE				
3400 Other Funds Ltd	821,666	819,666	(2,000)	-0.24%
AUTHORIZED POSITIONS				
8150 Class/Unclass Positions	9	9	0	-
AUTHORIZED FTE				
8250 Class/Unclass FTE Positions	9.00	9.00	0	-

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000
 Package: Non-PICS Psnl Svc / Vacancy Factor
 Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

PERSONAL SERVICES

SALARIES & WAGES

3160 Temporary Appointments

3400 Other Funds Ltd	18	18	0	0.00%
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OTHER PAYROLL EXPENSES

3221 Pension Obligation Bond

3400 Other Funds Ltd	6,540	6,540	0	0.00%
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3230 Social Security Taxes

3400 Other Funds Ltd	1	1	0	0.00%
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3260 Mass Transit Tax

3400 Other Funds Ltd	748	748	0	0.00%
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OTHER PAYROLL EXPENSES

3400 Other Funds Ltd	7,289	7,289	0	0.00%
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TOTAL OTHER PAYROLL EXPENSES	\$7,289	\$7,289	\$0	0.00%
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PERSONAL SERVICES

3400 Other Funds Ltd	7,307	7,307	0	0.00%
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TOTAL PERSONAL SERVICES	\$7,307	\$7,307	\$0	0.00%
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EXPENDITURES

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000
 Package: Non-PICS Psnl Svc / Vacancy Factor
 Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	7,307	7,307	0	0.00%
TOTAL EXPENDITURES	\$7,307	\$7,307	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(7,307)	(7,307)	0	0.00%
TOTAL ENDING BALANCE	(\$7,307)	(\$7,307)	\$0	0.00%

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

SERVICES & SUPPLIES

4100 Instate Travel

3400 Other Funds Ltd 722 722 0 0.00%

4150 Employee Training

3400 Other Funds Ltd 269 269 0 0.00%

4175 Office Expenses

3400 Other Funds Ltd 819 819 0 0.00%

4200 Telecommunications

3400 Other Funds Ltd 711 711 0 0.00%

4225 State Gov. Service Charges

3400 Other Funds Ltd 9,628 9,628 0 0.00%

4250 Data Processing

3400 Other Funds Ltd 3,734 3,734 0 0.00%

4275 Publicity and Publications

3400 Other Funds Ltd 39 39 0 0.00%

4300 Professional Services

3400 Other Funds Ltd 398 398 0 0.00%

4315 IT Professional Services

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	13,043	13,043	0	0.00%
4325 Attorney General				
3400 Other Funds Ltd	32,626	32,626	0	0.00%
4375 Employee Recruitment and Develop				
3400 Other Funds Ltd	94	94	0	0.00%
4400 Dues and Subscriptions				
3400 Other Funds Ltd	17	17	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	3,591	3,591	0	0.00%
4650 Other Services and Supplies				
3400 Other Funds Ltd	6,235	6,235	0	0.00%
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	289	289	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	72,215	72,215	0	0.00%
TOTAL SERVICES & SUPPLIES	\$72,215	\$72,215	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	72,215	72,215	0	0.00%
TOTAL EXPENDITURES	\$72,215	\$72,215	\$0	0.00%

Oregon Government Ethics Commission

Agency Number: 19900

**Package Comparison Report - Detail
2019-21 Biennium
General Program**

Cross Reference Number: 19900-010-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
ENDING BALANCE				
3400 Other Funds Ltd	(72,215)	(72,215)	0	0.00%
TOTAL ENDING BALANCE	(\$72,215)	(\$72,215)	\$0	0.00%

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000
 Package: Statewide Adjustment DAS Chgs
 Pkg Group: POL Pkg Type: 090 Pkg Number: 091

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4175 Office Expenses				
3400 Other Funds Ltd	-	(978)	(978)	100.00%
4225 State Gov. Service Charges				
3400 Other Funds Ltd	-	(4,196)	(4,196)	100.00%
4250 Data Processing				
3400 Other Funds Ltd	-	(35)	(35)	100.00%
4650 Other Services and Supplies				
3400 Other Funds Ltd	-	(3,832)	(3,832)	100.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	-	(9,041)	(9,041)	100.00%
TOTAL SERVICES & SUPPLIES	-	(\$9,041)	(\$9,041)	100.00%
EXPENDITURES				
3400 Other Funds Ltd	-	(9,041)	(9,041)	100.00%
TOTAL EXPENDITURES	-	(\$9,041)	(\$9,041)	100.00%
ENDING BALANCE				
3400 Other Funds Ltd	-	9,041	9,041	100.00%
TOTAL ENDING BALANCE	-	\$9,041	\$9,041	100.00%

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail
 2019-21 Biennium
 General Program

Cross Reference Number: 19900-010-00-00-00000
 Package: Statewide AG Adjustment
 Pkg Group: POL Pkg Type: 090 Pkg Number: 092

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4325 Attorney General				
3400 Other Funds Ltd	-	(11,580)	(11,580)	100.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	-	(11,580)	(11,580)	100.00%
TOTAL SERVICES & SUPPLIES	-	(\$11,580)	(\$11,580)	100.00%
EXPENDITURES				
3400 Other Funds Ltd	-	(11,580)	(11,580)	100.00%
TOTAL EXPENDITURES	-	(\$11,580)	(\$11,580)	100.00%
ENDING BALANCE				
3400 Other Funds Ltd	-	11,580	11,580	100.00%
TOTAL ENDING BALANCE	-	\$11,580	\$11,580	100.00%

12/12/18 REPORT NO.: PPDPBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY:19900 GOVERNMENT ETHICS COMMISSION
 SUMMARY XREF:010-00-00 000 General Program

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	B	Y7500	AE BOARD AND COMMISSION MEMBER		.00	.00	0.00		18,000			18,000
000	MEAHZ7008	HP	PRINCIPAL EXECUTIVE/MANAGER E	1	1.00	24.00	9,782.00		234,768			234,768
000	UA	C0104	AP OFFICE SPECIALIST 2	1	1.00	24.00	3,736.00		89,664			89,664
000	UA	C0107	AP ADMINISTRATIVE SPECIALIST 1	1	1.00	24.00	4,095.00		98,280			98,280
000	UA	C0860	AP PROGRAM ANALYST 1	2	2.00	48.00	5,080.50		243,864			243,864
000	UA	C0871	AP OPERATIONS & POLICY ANALYST 2	1	1.00	24.00	6,585.00		158,040			158,040
000	UA	C5233	AP INVESTIGATOR 3	1	1.00	24.00	4,509.00		108,216			108,216
000	UA	C5247	AP COMPLIANCE SPECIALIST 2	1	1.00	24.00	5,437.00		130,488			130,488
000	UA	C5248	AP COMPLIANCE SPECIALIST 3	1	1.00	24.00	5,188.00		124,512			124,512
000				9	9.00	216.00	2,749.61		1,205,832			1,205,832
				9	9.00	216.00	2,749.61		1,205,832			1,205,832
				9	9.00	216.00	2,749.61		1,205,832			1,205,832

12/12/18 REPORT NO.: PFDPLBUDCL
REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
AGENCY:19900 GOVERNMENT ETHICS COMMISSION
SUMMARY XREF:010-00-00 000 General Program

DEPT. OF ADMIN. SVCS. -- PRDB PICS SYSTEM

2019-21
PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
				9	9.00	216.00	2,749.61		1,205,832			1,205,832

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	B	Y7500	AE BOARD AND COMMISSION MEMBER		.00	.00	0.00		18,000			18,000
000	MEAHZ7008	HP	PRINCIPAL EXECUTIVE/MANAGER E	1	1.00	24.00	9,782.00		234,768			234,768
000	UA	C0104	AP OFFICE SPECIALIST 2	1	1.00	24.00	3,736.00		89,664			89,664
000	UA	C0107	AP ADMINISTRATIVE SPECIALIST 1	1	1.00	24.00	4,095.00		98,280			98,280
000	UA	C0860	AP PROGRAM ANALYST 1	2	2.00	48.00	5,080.50		243,864			243,864
000	UA	C0871	AP OPERATIONS & POLICY ANALYST 2	1	1.00	24.00	6,585.00		158,040			158,040
000	UA	C5233	AP INVESTIGATOR 3	1	1.00	24.00	4,509.00		108,216			108,216
000	UA	C5247	AP COMPLIANCE SPECIALIST 2	1	1.00	24.00	5,437.00		130,488			130,488
000	UA	C5248	AP COMPLIANCE SPECIALIST 3	1	1.00	24.00	5,188.00		124,512			124,512
				9	9.00	216.00	2,749.61		1,205,832			1,205,832

12/12/18 REPORT NO.: PPDPLAGYCL
REPORT: SUMMARY LIST BY PKG BY AGENCY
AGENCY:19900 GOVERNMENT ETHICS COMMISSION

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2019-21
PICS SYSTEM: BUDGET PREPARATION

PAGE 2
PROD FILE

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
				9	9.00	216.00	2,749.61		1,205,832			1,205,832

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